



**Assessment for Decision-Makers Scientific Assessment of  
Ozone Depletion: 2014 World Meteorological  
Organization United Nations Environment Programme  
National Oceanic and Atmospheric Administration  
National Aeronautics and Space Administration  
European Commission**

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# Assessment for Decision-Makers

*Scientific Assessment of Ozone Depletion: 2014*

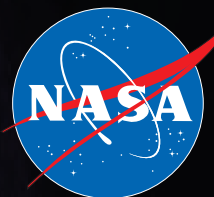
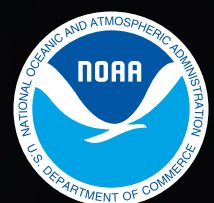
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WMO OMM



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<http://esrl.noaa.gov/csd/assessments/ozone/>

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**Assessment for Decision-Makers**  
***Scientific Assessment of Ozone Depletion: 2014***



# Executive Summary

***Actions taken under the Montreal Protocol have led to decreases in the atmospheric abundance of controlled ozone-depleting substances (ODSs), and are enabling the return of the ozone layer toward 1980 levels.***

- **The sum of the measured tropospheric abundances of substances controlled under the Montreal Protocol continues to decrease.** Most of the major controlled ODSs are decreasing largely as projected, and hydrochlorofluorocarbons (HCFCs) and halon-1301 are still increasing. Unknown or unreported sources of carbon tetrachloride are needed to explain its abundance.
- **Measured stratospheric abundances of chlorine- and bromine-containing substances originating from the degradation of ODSs are decreasing.** By 2012, combined chlorine and bromine levels (as estimated by Equivalent Effective Stratospheric Chlorine, EESC) had declined by about 10–15% from the peak values of ten to fifteen years ago. Decreases in atmospheric abundances of methyl chloroform ( $\text{CH}_3\text{CCl}_3$ ), methyl bromide ( $\text{CH}_3\text{Br}$ ), and chlorofluorocarbons (CFCs) contributed approximately equally to these reductions.
- **Total column ozone declined over most of the globe during the 1980s and early 1990s (by about 2.5% averaged over 60°S to 60°N). It has remained relatively unchanged since 2000,** with indications of a small increase in total column ozone in recent years, as expected. In the upper stratosphere there is a clear recent ozone increase, which climate models suggest can be explained by comparable contributions from declining ODS abundances and upper stratospheric cooling caused by carbon dioxide increases.
- **The Antarctic ozone hole continues to occur each spring,** as expected for the current ODS abundances. The Arctic stratosphere in winter/spring 2011 was particularly cold, which led to large ozone depletion as expected under these conditions.
- **Total column ozone will recover toward the 1980 benchmark levels over most of the globe** under full compliance with the Montreal Protocol. This recovery is expected to occur before midcentury in midlatitudes and the Arctic, and somewhat later for the Antarctic ozone hole.

***The Antarctic ozone hole has caused significant changes in Southern Hemisphere surface climate in the summer.***

- **Antarctic lower stratospheric cooling due to ozone depletion is very likely the dominant cause of observed changes in Southern Hemisphere tropospheric summertime circulation over recent decades,** with associated impacts on surface temperature, precipitation, and the oceans. In the Northern Hemisphere, no robust link has been found between stratospheric ozone depletion and tropospheric climate.

***Changes in  $\text{CO}_2$ ,  $\text{N}_2\text{O}$ , and  $\text{CH}_4$  will have an increasing influence on the ozone layer as ODSs decline.***

- **As controlled ozone-depleting substances decline, the evolution of the ozone layer in the second half of the 21<sup>st</sup> century will largely depend on the atmospheric abundances of  $\text{CO}_2$ ,  $\text{N}_2\text{O}$ , and  $\text{CH}_4$ .** Overall, increasing carbon dioxide ( $\text{CO}_2$ ) and methane ( $\text{CH}_4$ ) elevate global ozone, while increasing nitrous oxide ( $\text{N}_2\text{O}$ ) further depletes global ozone. The Antarctic ozone hole is less sensitive to  $\text{CO}_2$ ,  $\text{N}_2\text{O}$ , and  $\text{CH}_4$  abundances.
- **In the tropics, significant decreases in column ozone are projected during the 21<sup>st</sup> century.** Tropical ozone levels are only weakly affected by ODS decline; they are sensitive to circulation changes driven by  $\text{CO}_2$ ,  $\text{N}_2\text{O}$ , and  $\text{CH}_4$  increases.

***The climate benefits of the Montreal Protocol could be significantly offset by projected emissions of HFCs used to replace ODSs.***

The Montreal Protocol and its Amendments and adjustments have made large contributions toward reducing global greenhouse gas emissions. In 2010, the decrease of annual ODS emissions under the Montreal Protocol is estimated to be about 10 gigatonnes of avoided  $\text{CO}_2$ -equivalent emissions per year, which is about five times larger than the annual emissions reduction target for the first commitment period (2008–2012) of the Kyoto Protocol (from the Executive Summary of the *Scientific Assessment of Ozone Depletion: 2010*).<sup>1</sup>

<sup>1</sup> GWP-weighted emissions, also known as  $\text{CO}_2$ -equivalent emissions, are defined as the amount of gas emitted multiplied by its 100-year Global Warming Potential (GWP). Part of the effect of ODSs as greenhouse gases is offset by the cooling due to changes in ozone.

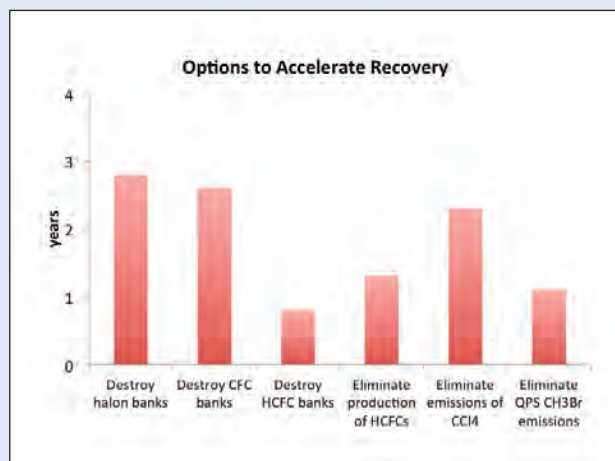
- The sum of the hydrofluorocarbons (HFCs) currently used as ODS replacements makes a small contribution of about 0.5 gigatonnes CO<sub>2</sub>-equivalent emissions per year. These emissions are currently growing at a rate of about 7% per year and are projected to continue to grow.
- If the current mix of these substances is unchanged, increasing demand could result in HFC emissions of up to 8.8 gigatonnes CO<sub>2</sub>-equivalent per year by 2050, nearly as high as the peak emission of CFCs of about 9.5 gigatonnes CO<sub>2</sub>-equivalent per year in the late 1980s.<sup>2</sup>
- Replacements of the current mix of high-Global Warming Potential (GWP) HFCs with low-GWP compounds or not-in-kind technologies would essentially avoid these CO<sub>2</sub>-equivalent emissions.
- Some of these candidate low-GWP compounds are hydrofluoro-olefins (HFOs), one of which (HFO-1234yf) yields the persistent degradation product trifluoroacetic acid (TFA) upon atmospheric oxidation. While the environmental effects of TFA are considered to be negligible over the next few decades, potential longer-term impacts could require future evaluations due to the environmental persistence of TFA and uncertainty in future uses of HFOs.
- By 2050, HFC banks are estimated to grow to as much as 65 gigatonnes CO<sub>2</sub>-equivalent. The climate change impact of the HFC banks could be reduced by limiting future use of high-GWP HFCs to avoid the accumulation of the bank, or by destruction of the banks.

***Additional important issues relevant to the Parties to the Montreal Protocol and other decision-makers have been assessed.***

- Derived emissions of carbon tetrachloride (CCl<sub>4</sub>), based on its estimated lifetime and its accurately measured atmospheric abundances, have become much larger than those from reported production and usage over the last decade.
- As of 2009, the controlled consumption of methyl bromide declined below the reported consumption for quarantine and pre-shipment (QPS) uses, which are not controlled by the Montreal Protocol.
- Increased anthropogenic emissions of very short-lived substances (VSLS) containing chlorine and bromine, particularly from tropical sources, are an emerging issue for stratospheric ozone. The relative contribution of these emissions could become important as levels of ODSs controlled under the Montreal Protocol decline.
- As the atmospheric abundances of ODSs continue to decrease over the coming decades, N<sub>2</sub>O, as the primary source of nitrogen oxides in the stratosphere, will become more important in future ozone depletion.
- Emissions of HFC-23, a by-product of HCFC-22 production, have continued despite mitigation efforts.
- While ODS levels remain high, a large stratospheric sulfuric aerosol enhancement due to a major volcanic eruption or geoengineering activities would result in a substantial chemical depletion of ozone over much of the globe.

***While past actions taken under the Montreal Protocol have substantially reduced ODS production and consumption, additional, but limited, options are available to reduce future ozone depletion.***

Emissions from the current banks are projected to contribute more to future ozone depletion than those caused by future ODS production, assuming compliance with the Protocol.



- Possible options to advance the return of the ozone layer to the 1980 level (analyses based on midlatitude EESC) are shown graphically. The cumulative effect of elimination of emissions from all banks and production advances this return by 11 years.

<sup>2</sup> This is equivalent to about 45% of the fossil fuel and cement emissions of CO<sub>2</sub> in the late 1980s.

# Preface

The present document will be part of the information upon which the Parties to the United Nations Montreal Protocol will base their future decisions regarding ozone-depleting substances, their alternatives, and protection of the ozone layer. It is the latest in a long series of scientific assessments that have informed the Parties.

## The Charge to the Assessment Panels

Specifically, the Montreal Protocol on Substances that Deplete the Ozone Layer<sup>3</sup> states (Article 6): "...the Parties shall assess the control measures...on the basis of available scientific, environmental, technical, and economic information." To provide the mechanisms whereby these assessments are conducted, the Protocol further states: "...the Parties shall convene appropriate panels of experts" and "the panels will report their conclusions...to the Parties."

To meet this request, the Scientific Assessment Panel (SAP), the Environmental Effects Assessment Panel (EEAP), and the Technology and Economic Assessment Panel (TEAP) have each prepared, about every 3–4 years, major assessment reports that updated the state of understanding in their purviews. These reports have been scheduled so as to be available to the Parties in advance of their meetings at which they consider the need to amend or adjust the Protocol.

## The Sequence of Scientific Assessments

The present 2014 report is the latest in a series of twelve scientific Assessments (shown below) prepared by the world's leading experts in the atmospheric sciences and under the international auspices of the World Meteorological Organization (WMO) and/or the United Nations Environment Programme (UNEP). This report is the eighth in the set of major Assessments that have been prepared by the Scientific Assessment Panel directly as input to the Montreal Protocol process. The chronology of all the scientific Assessments on the understanding of ozone depletion and their relation to the international policy process is summarized as follows:

Year	Policy Process	Scientific Assessment
1981		<i>The Stratosphere 1981: Theory and Measurements</i> . WMO No. 11
1985	Vienna Convention	<i>Atmospheric Ozone 1985</i> . Three volumes. WMO No. 16
1987	Montreal Protocol	
1988		<i>International Ozone Trends Panel Report 1988</i> . Two volumes. WMO No. 18
1989		<i>Scientific Assessment of Stratospheric Ozone: 1989</i> . Two volumes. WMO No. 20
1990	London Amendment and adjustments	
1991		<i>Scientific Assessment of Ozone Depletion: 1991</i> . WMO No. 25
1992		<i>Methyl Bromide: Its Atmospheric Science, Technology, and Economics</i> (Assessment Supplement). UNEP (1992).
1992	Copenhagen Amendment and adjustments	
1994		<i>Scientific Assessment of Ozone Depletion: 1994</i> . WMO No. 37
1995	Vienna adjustments	
1997	Montreal Amendment and adjustments	
1998		<i>Scientific Assessment of Ozone Depletion: 1998</i> . WMO No. 44
1999	Beijing Amendment and adjustments	
2002		<i>Scientific Assessment of Ozone Depletion: 2002</i> . WMO No. 47
2006		<i>Scientific Assessment of Ozone Depletion: 2006</i> . WMO No. 50
2007	Montreal adjustments	
2010		<i>Scientific Assessment of Ozone Depletion: 2010</i> . WMO No. 52
2014		<i>Scientific Assessment of Ozone Depletion: 2014</i> . WMO No. 55

<sup>3</sup> In this report, ozone-depleting substances (ODSs) refer to the gases listed in the Annexes to the Montreal Protocol. In addition to these gases, other chemicals also influence the ozone layer, and they are referred to as ozone-relevant gases.



## The Current Information Needs of the Parties

The genesis of *Scientific Assessment of Ozone Depletion: 2014* was the 23<sup>rd</sup> Meeting of the Parties to the Montreal Protocol held during 21–25 November 2011 in Bali, Indonesia, at which the scope of the scientific needs of the Parties was defined in their Decision XXIII/13 (4), which stated that “...for the 2014 report, the Scientific Assessment Panel should consider issues including:

- Assessment of the state of the ozone layer and its future evolution, including in respect of atmospheric changes from, for example, sudden stratospheric warming or accelerated Brewer-Dobson circulation;
- Evaluation of the Antarctic ozone hole and Arctic winter/spring ozone depletion and the predicted changes in these phenomena, with a particular focus on temperatures in the polar stratosphere;
- Evaluation of trends in the concentration in the atmosphere of ozone-depleting substances and their consistency with reported production and consumption of those substances and the likely implications for the state of the ozone layer and the atmosphere;
- Assessment of the interaction between the ozone layer and the atmosphere; including: (i) The effect of polar ozone depletion on tropospheric climate and (ii) The effects of atmosphere-ocean coupling;
- Description and interpretation of observed ozone changes and ultraviolet radiation, along with future projections and scenarios for those variables, taking into account among other things the expected impacts to the atmosphere;
- Assessment of the effects of ozone-depleting substances and other ozone-relevant substances, if any, with stratospheric influences, and their degradation products, the identification of such substances, their ozone-depletion potential and other properties;
- Identification of any other threats to the ozone layer.”

The 2014 SAP Assessment has addressed all the issues that were feasible to address to the best possible extent. Further, given the change in the structure of the report and the evolution of science, the UV changes will be addressed by the Environmental Effects Assessment Panel (EEAP) of the Montreal Protocol. The SAP has provided the necessary information on ozone levels, now and in the future, to EEAP as input to their assessments.

## The 2014 Assessment Process

The formal planning of the current Assessment was started early in 2013. The Cochairs considered suggestions from the Parties regarding experts from their countries who could participate in the process. Two key changes were incorporated for the 2014 Assessment: (1) creation of a Scientific Steering Committee consisting of the Cochairs and four other prominent scientists; and (2) instituting Chapter Editors for each chapter to ensure that the reviews were adequately and appropriately handled by the authors and key messages were clearly enunciated to take them to the next level. For this reason, the Chapter Editors are also Coauthors of the *Assessment for Decision Makers* (ADM) of the *Scientific Assessment of Ozone Depletion: 2014*. The plan for this Assessment was vetted by an ad hoc international scientific advisory group. This group also suggested participants from the world scientific community to serve as authors of the science chapters, reviewers, and other roles. In addition, this advisory group contributed to crafting the outline of this Assessment report. As in previous Assessments, the participants represented experts from the developed and developing world. The developing country experts bring a special perspective to the process, and their involvement in the process has also contributed to capacity building in those regions and countries.

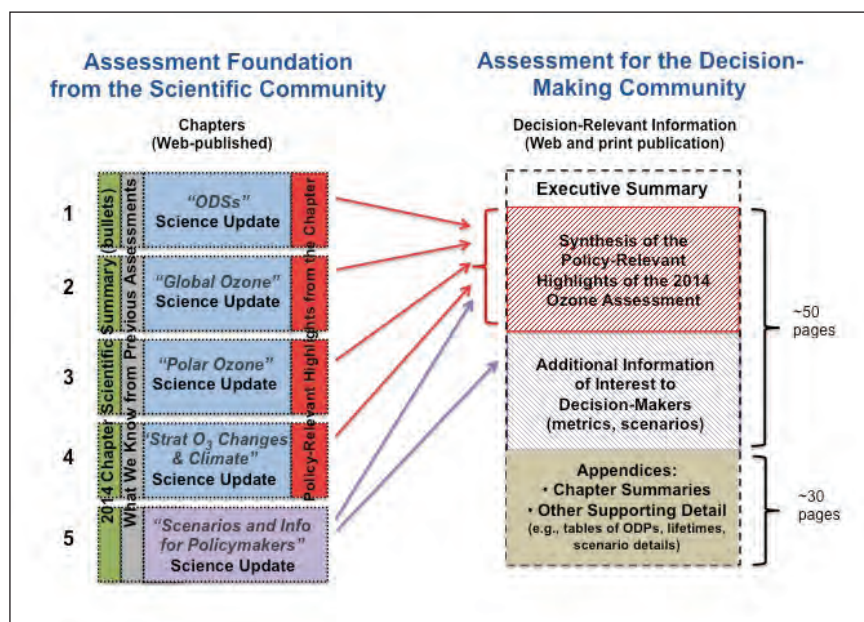
## The 2014 Scientific Assessment Panel (SAP) Report

The 2014 report of the Scientific Assessment Panel differs from the past seven reports in its structure and mode of publication. However, as in the past, it is a thorough examination and assessment of the science. The process by which this report was generated, as in the past, was also thorough; the documents underwent multiple reviews by international experts.

## The Structure of the 2014 Report

The previous SAP reports have served well the Parties to the Montreal Protocol, the scientific community, and the managers who deal with the research activities. However, the Montreal Protocol process has matured significantly and its needs have evolved. It was clear from the discussions between the Cochairs and both the Party representatives and the people involved in decision making that the previous very lengthy assessment reports would not meet the current needs of the Parties for a short, pithy, document that is written for them and not for the scientific community. Yet, it was also clear that the integrity of and the trust in the SAP reports come from the very thorough assessment of the science. Therefore, this 2014 Assessment was restructured to serve both purposes. The new structure is shown schematically below.

First, as in the past, a major scientific assessment process was carried out and the findings from these discussions and reviews constitute the five major chapters of the assessment foundation from the scientific community. This is shown on the left hand side of the diagram. The five scientific chapters are published only on the web but *are an integral part of the 2014 SAP report to the Parties*. Also, as discussed earlier, the assessment of the surface UV changes due to past ozone depletion or to projected future ozone levels is not included in this document. Readers are referred to the 2014 Environmental Effects Assessment Panel report for the UV discussion.



Second, the findings from the SAP's five scientific chapters were then synthesized and written in a language that is accessible to the Parties to the Protocol. The contents of the *Assessment for Decision-Makers* document—an Executive Summary and three sections—are shown on the right hand side. This short document, which contains all the major scientific summary points written in a clear and accessible language, is available in print and on the web. It is hoped that this new document will be useful to and usable by the Parties to the Protocol, countries, and high-level policymakers and managers. If more scientific details are needed, the complete document can be accessed via the web.

Third, for this Assessment, the *Twenty Questions and Answers About the Ozone Layer* has been only updated. This is because the overarching scientific understanding has not changed significantly from the previous Assessment. The update will ensure that the answers include the most current data and are consistent with the 2014 Assessment. These updated questions and answers are published separately (both in print and on the web) in a companion booklet to this report.

It is hoped that these steps will enhance the usefulness of the document to the Parties, meet the needs of the multiple user communities for the information, minimize the workload of the scientific community, and reduce costs.

## The Process of Preparing the 2014 Assessment

The initial plans for the scientific chapters of the 2014 Scientific Assessment Panel's report were examined at a meeting that occurred on 10–11 June 2013 in Cambridge, UK. The Lead Authors, the Scientific Steering Committee, and Chapter Editors—along with a few representatives of other assessment panels and organizations—focused on the planned content of the chapters and on the need for coordination among the chapters.

The first drafts of the scientific chapters were mailed to 213 experts for written reviews. The chapters were revised to take into account the comments of the reviewers. The revised drafts were subsequently sent to 65 reviewers who either attended a review meeting in Boulder or communicated their comments back to the group. These second drafts were reviewed by 63 experts in person in Boulder, CO, USA during 8–10 April 2014. Final changes to the chapters were decided upon at this meeting, and the final chapter summary points were agreed. Subsequently, the chapters were revised for clarity and to address specific points that were agreed to at the Boulder meeting. Final drafts of the scientific chapters were completed in May 2014.

Subsequent to the finalization of the five chapters, an author team consisting of the Scientific Steering Committee, Chapter Lead Authors, and Chapter Editors wrote a draft of the *Assessment for Decision-Makers* (ADM). This document was based on the science findings of the five chapters. The draft ADM was made available on June 13 to the attendees of a Panel Review Meeting that took place in Les Diablerets, Switzerland, on 23–27 June 2014. The overall ADM was reviewed, discussed, and agreed to by the 59 participants. The Executive Summary of the ADM, contained herein (and posted on the UNEP and WMO websites on 10 September 2014), was prepared and completed by the attendees of the Les Diablerets meeting.

The final result of this two-year endeavor is the present assessment report. As the accompanying list indicates (Appendix A), the *Scientific Assessment of Ozone Depletion: 2014* is the product of 285 scientists from 36 countries<sup>4</sup> of the developed and developing world who contributed to its preparation and review (130 scientists prepared the report and 220 scientists participated in the peer review process).

<sup>4</sup> Argentina, Australia, Austria, Belgium, Botswana, Brazil, Canada, People's Republic of China, Comoros, Costa Rica, Cuba, Czech Republic, Denmark, Finland, France, Germany, Greece, India, Israel, Italy, Japan, Korea, Malaysia, New Zealand, Norway, Poland, Russia, South Africa, Spain, Sweden, Switzerland, The Netherlands, Togo, United Kingdom, United States of America, Zimbabwe.



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# Introduction

The science of the stratospheric ozone layer and the ability to forecast its future have greatly advanced over the past few decades. In concert with this scientific development, the policy to avoid and mitigate ozone layer depletion has been successfully developed and implemented by the Montreal Protocol and its many Amendments and adjustments. The Protocol mandated periodic reports on the state of the ozone layer, ozone-depleting substances, and the future of the ozone layer. The Scientific Assessment Panel (SAP) was charged to prepare the reports under the auspices of the United Nations Environment Programme and the World Meteorological Organization. This quadrennial assessment of the science of the ozone layer has been one of the key components of the architecture of this science-policy enterprise. The SAP has also produced interim reports over the past decades on specific topics requested by the Parties. In addition, reports produced prior to the adoption of the Montreal Protocol helped pave the way for the Protocol and the SAP.

## Findings of the Previous (2010) SAP Report

This 2014 report is the eighth full report by the SAP. To place the current Assessment in context, we briefly recap the major conclusions of the previous (2010) report:

- **By successfully controlling the emissions of ozone-depleting substances (ODSs), the Montreal Protocol has protected the ozone layer from much higher levels of depletion. The Montreal Protocol also has had co-benefits for climate, because many ODSs are also greenhouse gases.**
- **The abundances of ODSs in the atmosphere are responding as expected to the controls of the Montreal Protocol.**
- **Atmospheric observations of ozone continue to show that the ozone layer is not depleting further, but it is too soon to determine if the recovery has started.**
- **The ozone layer and climate change are intricately coupled, and climate change will become increasingly more important to the future of the ozone layer.**
- **The impact of the Antarctic ozone hole on surface climate is becoming evident.**
- **The ozone layer and surface ultraviolet (UV) radiation are responding as expected to the ODS reductions achieved under the Montreal Protocol.**
- **Options for further limiting future emissions of ODSs could advance recovery dates by a few years; however, the impact on future ozone levels would be less than what has already been accomplished by the Montreal Protocol.**

## The 2014 SAP Report and *Its Assessment for Decision-Makers*

The five scientific chapters of the 2014 report build on the findings of the 2010 report and its predecessors. The information from the 2014 scientific chapters has been synthesized in this *Assessment for Decision-Makers* (ADM). All the findings of the ADM are traceable to the five scientific chapters.

The ADM has a high-level Executive Summary and policy-relevant highlights from the scientific chapters, presented in three levels of detail. Additional information that is useful for decision-makers is also included. Appendices contain the key findings of all the scientific chapters, as well as additional tables.



# POLICY-RELEVANT HIGHLIGHTS FROM THE 2014 OZONE ASSESSMENT

1. Current State of Ozone-Depleting Substances, Their Substitutes, and the Ozone Layer
2. Future Issues Regarding Ozone-Depleting Substances and Their Substitutes
3. Evolution of the Global Ozone Layer
4. Evolution of Polar Ozone
5. Past Stratospheric Ozone Changes and Climate
6. The Future of the Ozone Layer

This section of the *Assessment for Decision-Makers* presents policy-relevant highlights from the five scientific chapters of the 2014 Assessment. A high-level overarching finding appears in a light blue box at the start of each of the six topics shown above. Each of the six topics then presents major highlights in yellow boxes, with some additional information following the yellow highlights. This format allows the document to be viewed at various levels—a quick read of the six overarching findings (blue boxes) to get an overview of the state of the ozone layer issue, as well as a more detailed read of the highlights relevant to decision-makers (yellow boxes and their supporting detail). The underlying chapter material for the major highlights is indicated in [\[blue brackets\]](#). See the relevant chapter for any cited references, figures, tables, and sections.





# 1. Current State of Ozone-Depleting Substances, Their Substitutes, and the Ozone Layer

**Actions taken under the Montreal Protocol led to decreases in the atmospheric abundance of controlled substances, mitigating climate change and enabling the projected return of the ozone layer to the 1980 levels.**

Compliance with the Montreal Protocol is assessed in this report based on the measured abundances of controlled ozone-depleting substances (ODSs) in the troposphere from ground-based networks. Observations of atmospheric abundances of these chemicals and their degradation products also come from: episodic airborne and ground-based “snapshot” measurements; ground-based overhead column and profile measurements of tropospheric and stratospheric abundances; stratospheric balloon-borne and airborne measurements; and satellite-based measurements that provide global coverage. The tropospheric abundances of ODSs are used with modeling calculations to estimate stratospheric abundances of chlorine- and bromine-containing chemicals, which are checked against measurements from satellite, ground-based, and episodic aircraft and balloon-borne instruments. Fluorinated chemicals produced from the degradation of ODSs and their substitutes do not deplete ozone. They are also measured and tracked, as they provide another consistency check on the measured abundances of the ODSs.

Reconciliation of the observed tropospheric abundances of the ODSs with their known emission inventory requires values of their atmospheric lifetimes. The lifetimes are, in general, quantified using laboratory data, atmospheric observations, and atmospheric model calculations. If the lifetime of an individual species is known, the observed atmospheric abundance can be used to derive its historical global emission.

The data sets obtained are used to calculate the effects of ODSs, ODS substitutes, and related chemicals on stratospheric ozone (via the Equivalent Effective Stratospheric Chlorine (EESC) and Ozone Depletion Potential (ODP)-weighted emissions; see Box ADM 1-1) and Earth’s climate (via changes in radiative forcing (RF) and Global Warming Potential (GWP)-weighted emissions; see Box ADM 1-2). The tropospheric abundances are also used as key input to chemistry-climate models to calculate past, present, and future levels of stratospheric ozone abundances.

Future ODS levels depend on future production, emissions from existing banks (which are ODSs that have already been manufactured but not yet released to the atmosphere), and how quickly the atmosphere is cleansed of ODSs already there. The last factor requires knowledge of the atmospheric lifetimes of the individual ODSs. The baseline scenario assumes that controlled ODS emissions will be limited to future production allowed by the Montreal Protocol (complete compliance with the current agreement), and that there are no further Amendments and adjustments (e.g., the uncontrollable emissions from banks are left as they are). Clearly, future ODS levels could be further reduced by reducing or eliminating future production and/or recovering existing banks. The potential gains from such actions are quantified by comparing the changes in EESC, ODP-weighted emissions, RF, and GWP-weighted emissions for the different scenarios.

## Highlight 1-1

**The sum of the abundances of substances controlled under the Montreal Protocol continues to decrease. Measured atmospheric abundances of the main controlled ODSs are changing largely as projected, although gaps in understanding remain, particularly for carbon tetrachloride (see Highlight 2-1). Most of the major controlled ODSs are decreasing, while hydrochlorofluorocarbons and halon-1301 are still increasing (see Tables ADM 1-1 and 1-2). [Chapter 1: Sections 1.2, 1.4]**

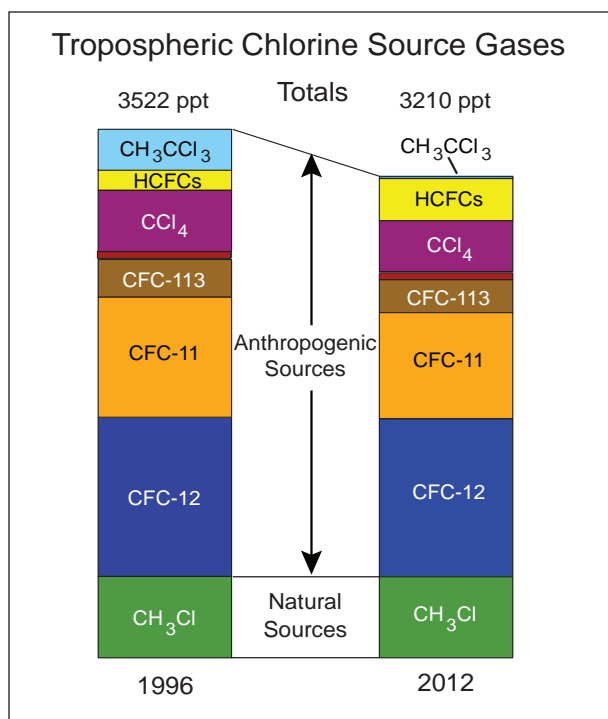
**Total tropospheric organic chlorine from methyl chloride (CH<sub>3</sub>Cl) and controlled ODSs<sup>5</sup> continued to decrease between 1996 and 2012, reaching 3210 parts per trillion (ppt) in 2012.** The observed abundances of various classes of compounds and individual compounds that contributed to the total tropospheric chlorine between the two years are shown in Figure ADM 1-1.

**During the period 2008–2012, the decline in tropospheric chlorine from controlled substances was  $13.4 \pm 0.9$  ppt per year<sup>6</sup>, with major contributions from ODSs listed in Table ADM 1-1.** The annual rate of decrease in the sum of chlorine during this period is about 50% smaller than the maximum annual decrease rate observed between 1996 and 2001, the period when the atmospheric abundance of methyl chloroform was declining more rapidly.

**Increasing hydrochlorofluorocarbon (HCFC) abundances partially offset the tropospheric chlorine decline from decreasing levels of CFCs, carbon tetrachloride (CCl<sub>4</sub>), and methyl chloroform (CH<sub>3</sub>CCl<sub>3</sub>).** HCFCs accounted for  $286 \pm 4$  ppt of the total current

<sup>5</sup> Organic chlorine refers to the chlorine atoms contained in the ODSs.

<sup>6</sup> All uncertainties are one standard deviation unless otherwise specified.



**Figure ADM 1-1.** Total tropospheric chlorine from tropospheric abundances of various chlorine-containing ODSs and methyl chloride in 1996 and 2012 (in units of parts per trillion). It is important to note that methyl chloride is mostly natural with a minor (~1%) anthropogenic contribution. The minor contributions from halon-1211 and chlorofluorocarbons (CFCs) other than CFC-11, -12, and -113 are shown as an unlabeled component (the thin red bar below CCl<sub>4</sub>). The abundances shown provide a measure of the contributions by the various ODSs to chlorine in the stratosphere. Very short-lived chlorine-containing substances are estimated to contribute 91 ppt of stratospheric chlorine; they are not included in this figure.

atmospheric chlorine. The combined abundance of HCFCs is increasing at roughly 3.2% per year, which is roughly 25% slower than the rate reported in the last Assessment. The recent usage of HCFCs has been smaller than what would be allowed by the 2007 adjustments to the Montreal Protocol. The slower growth may be due to national controls and various market factors.

**Total organic bromine from controlled ODSs continued to decrease in the troposphere and by 2012 was  $15.1 \pm 0.2$  ppt (Figure ADM 1-2); the current value is approximately 2 ppt below the observed 1998 peak level.** The observed abundances of various classes of compounds and individual compounds that contributed to the total tropospheric bromine-containing chemicals for 1998 and 2012 are shown in Figure ADM 1-2. The decrease in total tropospheric bromine was primarily due to the decrease in methyl bromide (CH<sub>3</sub>Br) emissions, with some recent contributions from an overall decrease in halons in the atmosphere.

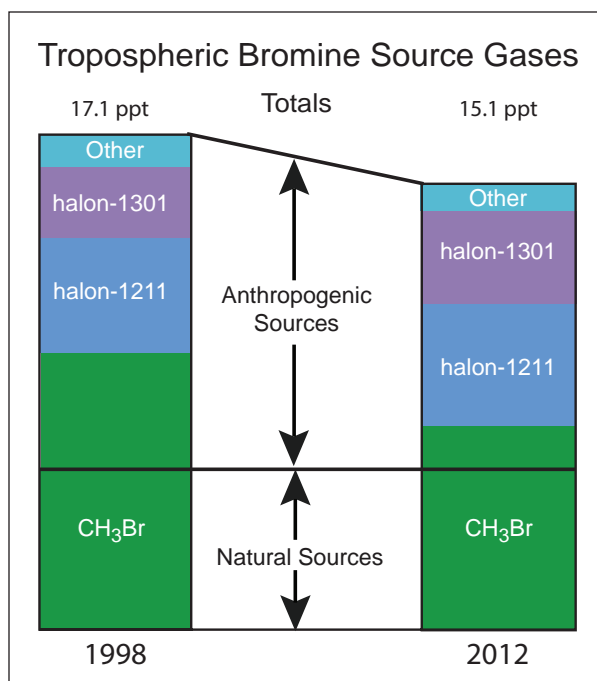
**Total organic bromine from halons had stopped increasing in the 2005–2008 period, and is now decreasing.** During the period 2008–2012, the decline in tropospheric bromine from controlled substances was 0.13 ppt Br per year, with major contributions from ODSs listed in Table ADM 1-2.

**Table ADM 1-1.** Contributions of various ozone-depleting substances to tropospheric organic chlorine in 2012 and the average annual trend between 2008–2012. Units are parts per trillion (ppt).

Source	Abundance in 2012 (ppt Cl)*	Contribution to Trend (ppt Cl/yr)
<i>Controlled under the Montreal Protocol</i>		
chlorofluorocarbons (CFCs)	2024 ± 5	–13.5 ± 0.5
methyl chloroform (CH <sub>3</sub> CCl <sub>3</sub> )	16 ± 1	–4.1 ± 0.2
carbon tetrachloride (CCl <sub>4</sub> )	339 ± 5	–4.9 ± 0.7
hydrochlorofluorocarbons (HCFCs)	286 ± 4	+9.2 ± 0.3
<i>Not controlled**</i>		
methyl chloride (CH <sub>3</sub> Cl)	540 ± 5	–1.7 ± 1.3

\* A few other chemicals (e.g., halon-1211) that together make a minor contribution are not shown.

\*\* CH<sub>3</sub>Cl is almost exclusively of natural origin. A few very short-lived anthropogenic chlorine-containing compounds, which are not controlled under the Montreal Protocol, also contribute to stratospheric chlorine. The estimated contribution was 91 ppt Cl in 2012, which is mostly due to dichloromethane (CH<sub>2</sub>Cl<sub>2</sub>) and chloroform (CHCl<sub>3</sub>).



**Figure ADM 1-2.** Total bromine from tropospheric abundances of various bromine-containing ODSs that contribute to bromine in the stratosphere in 1998 and 2012 (in units of parts per trillion). It is important to note that methyl bromide has both natural and anthropogenic sources and they are shown together, but demarcated by a line. Contributions of very short-lived bromine compounds are not included. The rounding-off errors lead to the small differences between the values shown in this figure and those listed in Table ADM 1-2.

**Table ADM 1-2.** Contributions of various bromine-containing ODSs to the total tropospheric organic bromine in 2012\*, along with the average annual trend between 2008 and 2012. Units are parts per trillion (ppt).

Source	Abundances in 2012 (ppt Br)	Contribution to Decline <sup>#</sup> (ppt Br/yr)
CH <sub>3</sub> Br	7.01 ± 0.08	−0.08 ± 0.02
halon-1211	3.97 ± 0.06	−0.07
halon-1301	3.26 ± 0.06	+0.03
halon-2402	0.9	−0.01

\* Several very short-lived bromine compounds also contribute to stratospheric bromine at ~ 5 ppt.

<sup>#</sup> Uncertainties in some quantities are very small and they are not shown.

#### Highlight 1-2

**By 2012, Equivalent Effective Stratospheric Chlorine (EESC) had declined by about 10% in the Antarctic and about 15% in midlatitudes from their peak values of ten to fifteen years ago. Decreases in atmospheric abundances of CH<sub>3</sub>CCl<sub>3</sub>, CH<sub>3</sub>Br, and CFCs contributed approximately equally to these reductions.** [Chapter 1: Sections 1.2.1, 1.4.4]

The EESC for midlatitudes and the polar regions calculated from observed tropospheric abundances of the long-lived ODSs reached their maximum value during the years 1997 and 2001, respectively (see Box ADM 1-1). The decrease from the peak values to the 2012 values represents about 40% of the decrease required for EESC in midlatitudes to return to the 1980 benchmark level, and about 20% of the decrease required for EESC in the Antarctic to return to the 1980 benchmark level. The EESC is calculated from observed abundances of well-mixed source gases in the troposphere and does not include the contribution from very short-lived substances (VSLs).

**Three CFCs (-112, -112a, -113a) and one HCFC (-133a) that have recently been detected in the atmosphere make a small (~0.1%) contribution to the current EESC.** These chlorine-containing compounds are already listed in the Montreal Protocol. Abundances of CFC-112 and CFC-112a are declining, while those of CFC-113a and HCFC-133a are increasing. Combined, they have increased at less than 0.5 ppt of Cl per year. The sources of these chemicals are not known.

#### Highlight 1-3

**Measured stratospheric abundances of chlorine- and bromine-containing substances originating from the degradation of ODSs in the stratosphere are decreasing, consistent with the control measures of the Montreal Protocol.** [Chapter 1: Section 1.4]

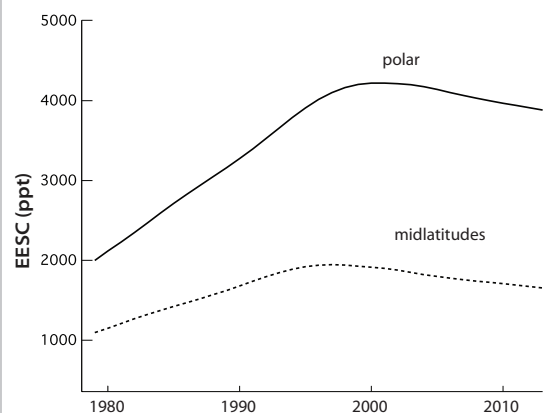
**Hydrogen chloride (HCl), a good proxy for reactive chlorine in the mid- to upper stratosphere, is decreasing. Its decline is roughly consistent with the observed changes in the tropospheric abundances of the chlorine-containing ozone-depleting substances.** Satellite-derived measurements of HCl (50°N–50°S) in the mid- to upper stratosphere show a decline of 0.6% ± 0.1%/yr, on average, between its maximum value in 1997 and that in 2012. The column amounts of HCl in the stratosphere measured at ground stations have also shown the same long-term decline. However, some recent observations also showed small increases in the column over shorter time periods of four to seven years. These are likely due to variability of transport in the lower stratosphere.

## Box ADM 1-1: Ozone Depletion Metrics

### Equivalent Effective Stratospheric Chlorine (EESC)

On a per-atom basis, the effectiveness of bromine is about sixty times that of chlorine for destroying stratospheric ozone. Bromine and chlorine that are contained in the ODSs are released from their parent molecules to different extents in various parts of the stratosphere. Further, the time it takes for the ODSs released at the surface to reach different parts of the stratosphere varies. For example, it takes longer for an air parcel to reach the polar stratosphere than the midlatitude stratosphere. To account for these three factors that influence the fraction of the active chlorine and bromine available from the ODSs to destroy stratospheric ozone, a metric called Equivalent Effective Stratospheric Chlorine (or EESC) is used.

*EESC is the sum of chlorine and bromine in the stratosphere derived from ODS tropospheric abundances, weighted to reflect their ability to deplete stratospheric ozone.* Its value at any location in the stratosphere depends on the time it took (on the order of years) for the air from the troposphere with given tropospheric abundance to reach that location. Therefore how the EESC changes with time (its time to reach the maximum value, and its rate of decline) is different in different regions of the stratosphere, as shown below for midlatitude and polar regions. These factors are taken into account in discussing ozone layer depletion, the ozone layer's recovery from the effects of ODSs, and the contributions of various factors to the changes in the ozone layer. Note that EESC is not a useful proxy for ozone change in the tropical lower stratosphere, where ozone depletion due to ODSs is small. More information about EESC is provided in the "Additional Information of Interest to Decision-Makers" section of this report, as well as Chapter 5 of this 2014 Assessment.



**EESC as a function of year.** Equivalent Effective Stratospheric Chlorine (EESC) was calculated (in units of parts per trillion) for the midlatitude and polar stratosphere based on global mean tropospheric abundances measured at the surface. It is assumed that, on average, air reaches stratospheric midlatitudes in roughly 3 ( $\pm 1.5$ ) years and stratospheric polar regions in 5.5 ( $\pm 2.8$ ) years. Tropospheric abundances of the following ODSs are included: CFC-11, CFC-12, CFC-113, CFC-114, CFC-115, CFC-112, CFC-113a,  $\text{CH}_3\text{CCl}_3$ ,  $\text{CCl}_4$ , HCFC-22, HCFC-141b, HCFC-142b, halon-1211, halon-1301, halon-1202, halon-2402,  $\text{CH}_3\text{Br}$ , and  $\text{CH}_3\text{Cl}$ . Note that the EESC in the polar regions, where essentially all the ODSs have decomposed to yield chlorine and bromine compounds that can destroy ozone, is more than a factor of two higher than at midlatitudes.

### Ozone Depletion Potential (ODP) and ODP-Weighted Emissions

**Ozone Depletion Potential (ODP):** This is a measure of the integrated (from the moment it is emitted to when the source gas is completely removed from the atmosphere) amount of ozone depletion from a one-time (pulse) emission of an ODS, as compared to the integrated ozone depletion by a simultaneous one-time (pulse) emission of the same weight of CFC-11. By definition, CFC-11 has an ODP value of 1.

**ODP-Weighted Emissions:** This quantity is the product of the ODP of an ODS and the weight of that ODS emitted into the atmosphere. The unit is ODP-tonnes. This product yields a relative measure of the expected ozone depletion from the emitted amount of the ODS before it is completely removed from the atmosphere, and enables comparisons of emissions of different ODSs on the ozone layer.

**Total inorganic stratospheric bromine ( $\text{Br}_y$ )<sup>7</sup>, derived from observations of stratospheric bromine monoxide ( $\text{BrO}$ ), was 20 (range 16–23) ppt in 2011. Observed  $\text{BrO}$  has decreased at  $0.6 \pm 0.1\%/yr$  between 2001 and 2012.** This decline in  $\text{Br}_y$  provides direct evidence that reactive stratospheric bromine has decreased, consistent with the decrease in total tropospheric organic Br based on measured abundances of  $\text{CH}_3\text{Br}$  and halons in the troposphere.

**There is further evidence that very short-lived substances (VSLS) containing bromine ( $\text{CH}_2\text{Br}_2$ ,  $\text{CHBr}_3$ ,  $\text{CH}_2\text{BrCl}$ ,  $\text{CHBr}_2\text{Cl}$ ,  $\text{CHBrCl}_2$ ) contribute ~5 (2–8) ppt toward the observed total stratospheric  $\text{Br}_y$  of ~20 ppt.** The current estimate of ~5 (2–8) ppt is slightly smaller than the 6 (3–8) ppt reported in the last Assessment primarily due to the revised estimates of the partitioning in the stratosphere between the observed  $\text{BrO}$  molecule and  $\text{Br}_y$ .

<sup>7</sup> Inorganic bromine, denoted collectively by  $\text{Br}_y$ , are chemicals produced by the degradation of bromine-containing ODSs in the stratosphere. A fraction of these chemicals can catalytically destroy ozone, whereas a significant fraction of them are inactive toward ozone destruction. However, there is interconversion between the catalytically active and inactive forms.

**Fluorine-containing substances are also produced from the degradation of ODSs and their substitutes. Measured abundances of the sum of stratospheric fluorine product substances (predominantly HF and COF<sub>2</sub>) increased by about 1%/yr between 2008 and 2012.** This increase in fluorinated substances is consistent with changes in measured abundances of fluorinated compounds (CFCs, HCFCs, and hydrofluorocarbons (HFCs)) in the troposphere and their degradation in the stratosphere. The most recent increase was smaller (on a percentage basis) than during the early 1990s, when the abundances of fluorine-containing ODSs were increasing rapidly.

#### Highlight 1-4

**The estimated lifetimes and their uncertainties for key long-lived ozone-depleting and related substances are better quantified following the SPARC Lifetimes Assessment (Stratosphere-troposphere Processes And their Role in Climate, 2013) (see Appendix C).** These updates decreased the calculated Ozone Depletion Potentials (ODPs) for most ODSs by 10% to 30%, in large part due to an increase in the recommended lifetime of CFC-11. However, these changes do not significantly change model projections of future stratospheric abundances of chlorine, bromine, or ozone. [Chapters 1, 2, and 5: Sections 1.2.1.1, 2.4.1, 5.3.1, Table 5-2]

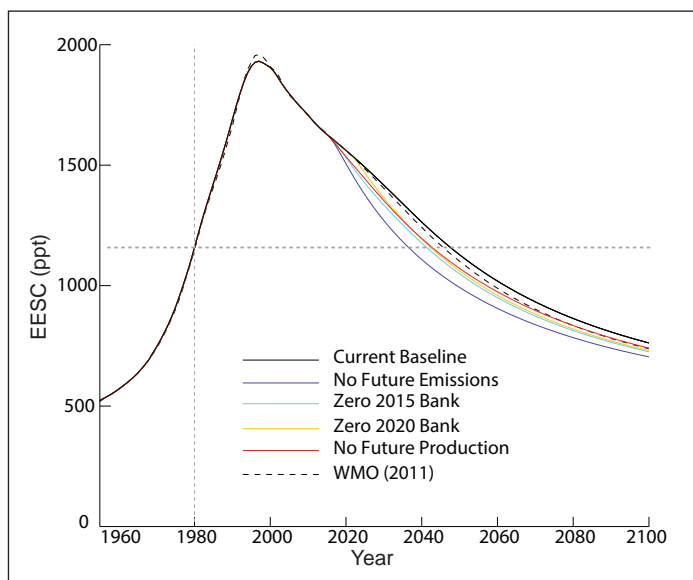
**The estimated lifetime of CFC-11 is revised from 45 years to 52 years, with the most likely value lying between 43 and 67 years.** The longer lifetime leads to better agreement between the emissions estimated based on inventories with those derived from atmospheric observations of CFC-11. This change in CFC-11 lifetime alters the Ozone Depletion Potential (ODP) values for the other ODSs, because CFC-11 is the reference gas for determining ODPs.

#### Highlight 1-5

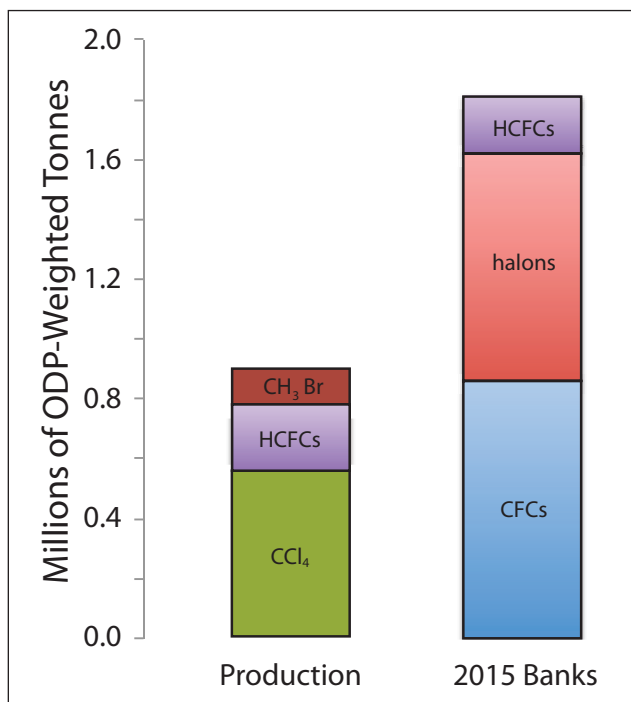
**The current best estimates for when EESC will return to its 1980 values are around 2050 for the midlatitudes and around 2075 for the Antarctic.** [Chapter 5: Section 5.4.3, Table 5-8]

EESC for the “baseline scenario” was calculated using the estimated lifetimes and projected emissions associated with assumed future productions and from banks. As in past Assessments, the dates by which EESC decreases to its 1980 values in the midlatitude and polar regions are presented as indicators of when ozone would return to the 1980 levels, if other factors that influence the ozone layer do not change (e.g., climate and abundances of other chemicals that directly and indirectly influence stratospheric ozone). The baseline scenario assumes that controlled ODS emissions will be limited to future production allowed by the Montreal Protocol (complete compliance with the current agreement), and that there are no further Amendments and adjustments (e.g., the uncontrollable emissions from banks are left as they are).

**EESC derived from projected atmospheric abundances of ODSs using the updated atmospheric lifetimes is not significantly different from the EESC given in the 2010 Assessment.** The updated atmospheric lifetimes from SPARC (Stratosphere-troposphere Processes And their Role in Climate, 2013) are used to predict the future tropospheric abundances of the long-lived ODSs for the baseline scenario. The tropospheric values are then used to calculate EESC shown in Figure ADM 1-3 for the midlatitude stratosphere.



**Figure ADM 1-3.** Calculated EESC (in parts per trillion) for midlatitudes between 1955 and 2100. The various scenarios for the future are shown in the legend.



**Figure ADM 1-4.** A comparison of the cumulative projected emissions from current banks of the CFCs, halons, and HCFCs between 2015 and 2050 with the cumulative projected emissions from production of ODSs during the same period.

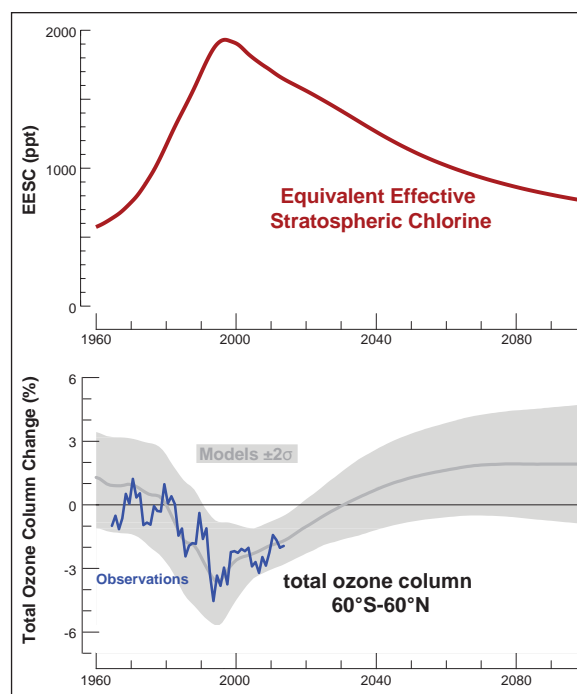
**Emissions from the current banks (in 2015) over the next 35 years are projected to contribute more to ozone depletion over the coming few decades than emissions associated with future ODS production, assuming compliance with the Montreal Protocol (Figure ADM 1-4).** The halon and CFC banks are projected to contribute roughly equally to future ozone depletion. However, the halon banks are more amenable to recapture than the CFC banks.<sup>8</sup> Capture and destruction of CFC, halon, and HCFC banks could avoid 1.8 million ODP-tonnes of future emission through 2050, while the future production could contribute roughly 0.85 million ODP-tonnes, assuming compliance with the Protocol. These values are to be compared with an estimated 1.6 million ODP-tonnes of emissions from banks between 2005–2014.

#### Highlight 1-6

**Total column ozone will recover toward the 1980 benchmark levels over most of the globe under continued compliance with the Montreal Protocol.** [Chapter 2: Sections 2.4 and 2.5]

**Midlatitude EESC, the metric used to estimate the extent of chemical ozone layer depletion, will return to its 1980 values between 2040 and 2060 (see Figure ADM 1-3). Model simulations that take into account the effects on ozone from ODSs and GHGs provide estimates for return dates of total column ozone abundances to 1980 levels.** These calculated ranges of dates within which we expect the return of ozone to 1980 values have not changed since the last Assessment. They are:

- 2025 to 2040 for global mean annually averaged ozone (see Fig. ADM 1-5)
- 2030 to 2040 for annually averaged Southern Hemisphere midlatitude ozone
- 2015 to 2030 for annually averaged Northern Hemisphere midlatitude ozone
- 2025 to 2035 for springtime Arctic ozone (see Figure ADM 1-6)
- 2045 to 2060 for springtime Antarctic ozone (see Figure ADM 1-6)



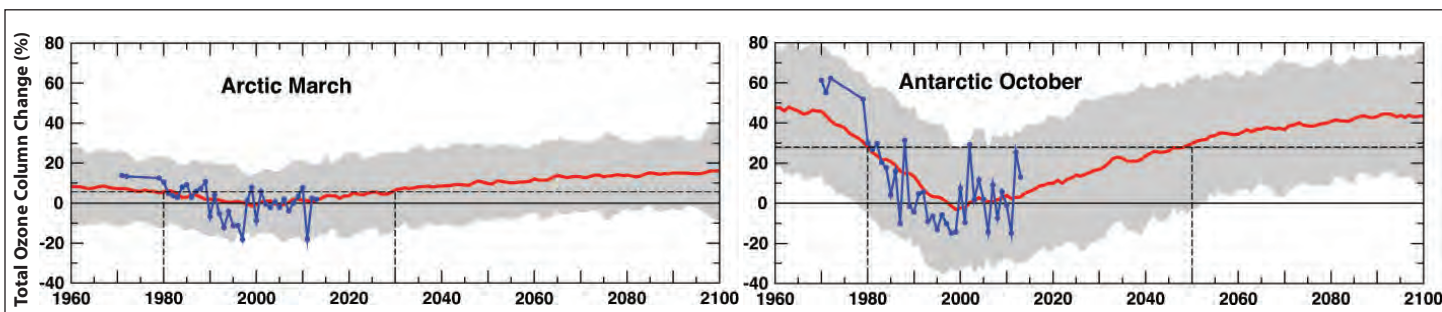
**Figure ADM 1-5.** Top Panel: Variation in EESC at midlatitudes between 1960 and 2100. The future EESC is for the baseline scenario (described in the text before Highlight 1-1). Bottom panel: The average total column ozone changes over the same period, from multiple model simulations (see Chapter 2), are shown as a solid gray line. This is compared with the observed column ozone changes between 1965 and 2013 (blue line), the period for which observations are available.

<sup>8</sup> IPCC-TEAP Special Report, *Safeguarding the Ozone Layer and the Global Climate System: Issues Related to Hydrofluorocarbons and Perfluorocarbons*, Cambridge University Press, Cambridge, U.K., 488 pp., 2005.



**Tropical column ozone is projected by models to remain below 1980s values over the coming decades because of a strengthened Brewer-Dobson circulation (see Box ADM 3-1, page 21) from tropospheric warming due to increased greenhouse gases (see Highlight 3-4), which acts to decrease ozone in the tropical lower stratosphere.**

**Model results suggest that global stratospheric ozone depletion due to ODSs did occur prior to 1980.** The midlatitude EESC was about 570 ppt in 1960 and nearly 1150 ppt by 1980 (see Figure ADM 1-3). The 1980 baseline for ozone recovery was chosen, as in the past Assessments, based upon the onset of a discernible decline in observed global total column ozone. Between 1960 and 1980, the depletion was not large enough to be clearly distinguishable from the year-to-year variability, especially given the sparsity of observations. If the 1960 value were chosen as the baseline, the EESC would return to that value well after 2100 (see Figure ADM 1-3 and Figure ADM 1-5 top panel).



**Figure ADM 1-6.** Total column ozone changes for the Arctic in March (left) and Antarctic in October (right). The red line is the model average, while gray shading shows the model range ( $\pm 2\sigma$ ) (see Chapter 3). Satellite observations are shown in blue. This figure is a composite adaption of Figure 3-16 of Chapter 3 for the model output and Figure 3-4 of Chapter 3 for the observations.

### Box ADM 1-2: Climate Metrics

There are many metrics used to measure the influence of a chemical or an emission on the climate system. The choice of metric depends on the issue being addressed. The most common of these metrics are: (1) radiative forcing (RF); (2) Global Warming Potential (GWP); (3) GWP-weighted emissions; and (4) Global Temperature change Potential (GTP). These four metrics are briefly described below in simple terms. Further details can be found in the “Additional Information of Interest to Decision-Makers” section of this document, as well as Chapter 5 of this 2014 Assessment and references therein.

**Radiative Forcing (RF):** This is a measure of the change in the radiation flux from the troposphere due to the presence of a greenhouse gas (GHG) in the atmosphere. There are various constraints on how this is calculated. RF is not an observed quantity, but can be estimated from the molecular properties and atmospheric abundance of the GHG, and atmospheric properties. This metric allows comparison of different forcing agents and is based on there being a clear relationship between the globally averaged radiative forcing and the globally averaged annual mean surface temperature.

**Global Warming Potential (GWP):** This represents the climate effect from a pulse emission of a GHG by integrating the radiative forcing over a specific time interval and comparing it to the integrated forcing by emissions of the same weight of  $\text{CO}_2$ . It is a relative measure, very roughly speaking, of the total energy added to the climate system by a component under consideration relative to that added by  $\text{CO}_2$  over the time period of the chosen time horizon. The choice of the time horizon is based on policy choices. The most common choice is 100 years. In this report, the 100-year GWP ( $\text{GWP}_{100}$ ) is used unless specified otherwise. GWP is the most widely used metric for assessing the climate impact of GHGs.

**GWP-Weighted Emissions (gigatonnes  $\text{CO}_2$ -equivalent):** This quantity is the product of the mass of a substance emitted and its  $\text{GWP}_{100}$  and expressed in gigatonnes  $\text{CO}_2$ -equivalent. This product yields a simple measure of the future time-integrated climate impact of an emission.

**Global Temperature change Potential (GTP):** This is a relative measure of the temperature increase at a specific time horizon per unit mass pulse emission of a GHG relative to that for the emission of the same mass of  $\text{CO}_2$ . This quantity is calculated using climate models. As in the case of GWPs, GTPs can be calculated for any time horizon of choice. This metric is not used in the current Assessment, but is used in the recent IPCC Assessment. Readers are referred to the IPCC Assessment<sup>9</sup> for the GTP values. GTP-weighted emissions are also calculated by multiplying the mass of emission by the GTP of that gas.

<sup>9</sup> IPCC AR5, *Climate Change 2013: The Physical Science Basis*, Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, Cambridge University Press, 1535 pp., 2013.

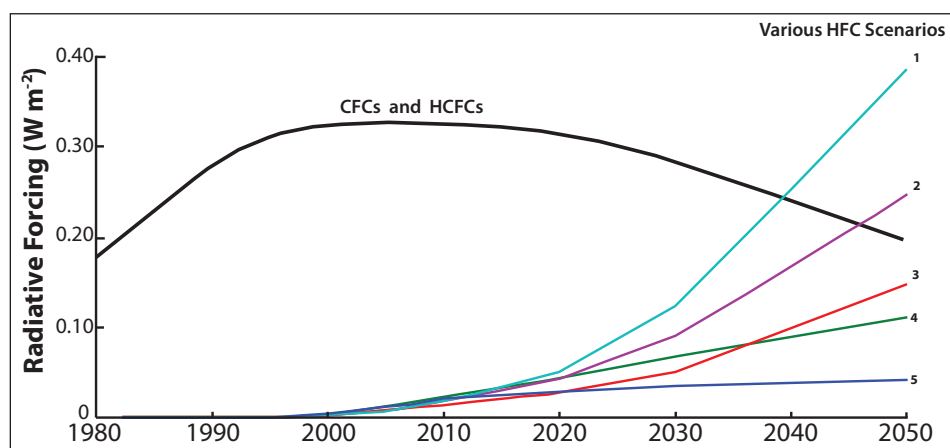


### Highlight 1-7

**The radiative forcing from controlled ODSs and their substitutes has stabilized over the past two decades owing to the provisions of the Montreal Protocol. The forcing from controlled ODSs is expected to decrease in the future under compliance with the Protocol. [Chapters 1 and 5: Sections 1.6.3, 1.6.4, 5.4.4]**

Releasing an ODS to the atmosphere will result in an increase in the atmospheric abundance of the ODS and a decrease in stratospheric ozone. Both changes affect the radiative forcing (RF) in the atmosphere. The change in forcing attributed to change in ODS abundance is referred to as the direct RF, while that due to change in ozone brought forth by the ODSs is referred to as indirect RF. A GWP value can be estimated for an emission based on the resulting direct or indirect forcing. Unless explicitly otherwise stated, in this section, the terms RF and GWP refer to the direct effect of the ODSs and do not include the contribution by the ozone changes caused by them. The baseline scenario referred to in this section is described in the introductory text before Highlight 1-1.

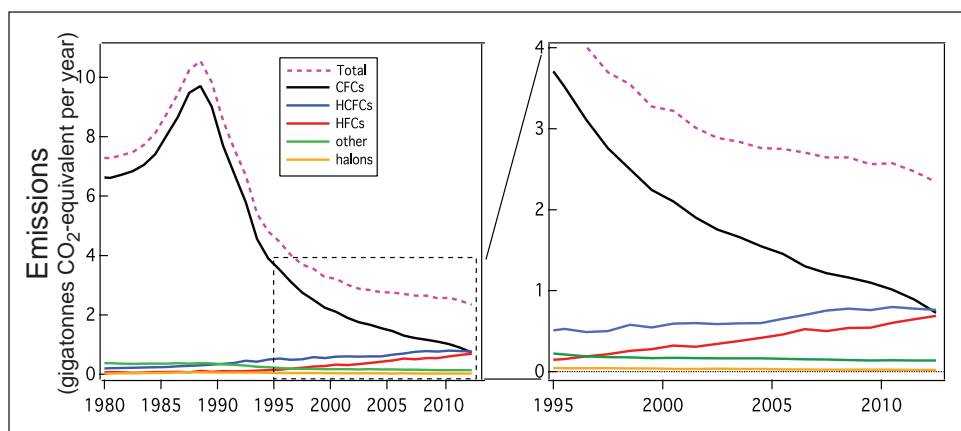
**Over the past 10–20 years, the total direct radiative forcing (RF) from ODSs has stabilized at about 0.33 watts per square meter ( $\text{W m}^{-2}$ ) (see Figure ADM 1-7).** This can be compared to the present-day forcing from  $\text{CO}_2$  of about  $1.8 \text{ W m}^{-2}$  since 1750. The RF from the sum of all ODSs is projected to decrease to around  $0.20 \text{ W m}^{-2}$  by about 2050, according to the baseline scenario. The future RF due to HFCs is uncertain and will depend on future emission of specific compounds with markedly different GWPs.



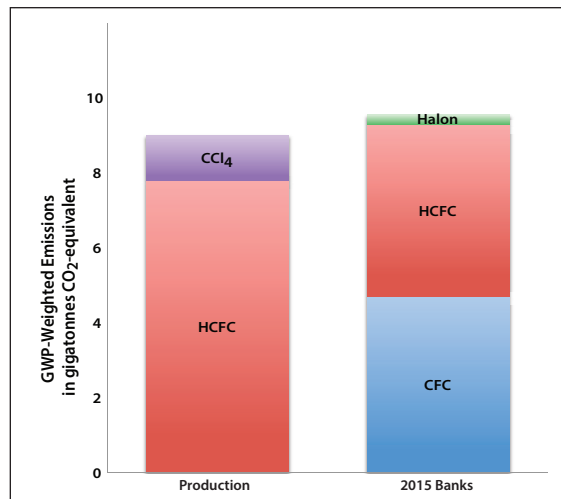
**Figure ADM 1-7.** Plot of the radiative forcing by CFCs, HCFCs, and the HFCs from 1980 to 2050. The course of future radiative forcing by CFCs and HCFCs is governed by the Montreal Protocol and is quite well determined (black line) assuming compliance with the Protocol. However, the future contributions by HFCs depend on the course of HFC use and emissions in the coming decades. Various possible scenarios for HFC emissions are shown. There are significant uncertainties in the various scenarios for HFCs.

**The Montreal Protocol has caused a reduction in the sum of direct GWP-weighted emissions from ODSs and their substitutes (see Figure ADM 1-8).**

**Future production of HCFCs together with emissions from CFC and HCFC banks account for the majority (> 90%) of projected GWP-weighted emissions from all ODS sources.** The contributions from banks and future production are comparable in magnitude (see Figure ADM 1-9). The cumulative GWP-weighted emission between 2015 and 2050 from all controlled ODSs is projected to be 18.5 gigatonnes  $\text{CO}_2$ -equivalent, an average of 0.5 gigatonnes  $\text{CO}_2$ -equivalent per year. By comparison,  $\text{CO}_2$  emissions are currently about 36.7 gigatonnes per year.



**Figure ADM 1-8.** GWP-weighted emissions of CFCs, HCFCs, HFCs, halons, and other ODSs between 1980 and 2012 in terms of their contribution to radiative forcing, in units of GWP-weighted emissions. See Box ADM 1-2 for the definition of GWP-weighted emission. The total, as indicated by the dashed line, has been decreasing since the peak in 1990. The GWP-weighted emissions from HFCs and HCFCs are now equal to those from the CFCs. The right panel shows an expanded view of the time period since 1995.



**Figure ADM 1-9.** Cumulative GWP-weighted emissions of ODSs from banks between 2015 and 2050 and future production.

#### Highlight 1-8

**The negative radiative forcing (RF) that comes from the effect of ODSs on stratospheric and tropospheric ozone levels could have offset a substantial part of the positive RF from atmospheric ODS abundances (see Highlight 1-7).** [Chapters 4 and 5: Sections 4.4.2, 4.4.3, 5.4.4]

*Previous Assessments provided estimates of changes in the indirect RF due to ODS-induced changes only in stratospheric ozone. This Assessment reports the estimated change in indirect RF due to ODS-induced changes in both stratospheric and tropospheric ozone levels. Note that this section addresses only how tropospheric ozone may respond to changes in ODS-induced stratospheric ozone depletion. There are other factors that also change tropospheric ozone, but they are not considered here. In addition, globally averaged RF may not be a good proxy for the expected climate response due to increased ODSs since the ozone changes caused by the ODSs in the lower stratosphere are not uniform across the globe.*

**Recent results from chemistry-climate models indicate that ODS-induced stratospheric ozone depletion has also acted to decrease tropospheric ozone.** Ozone imported from the stratosphere is one of several sources for tropospheric ozone, and ozone changes in the stratosphere also change the influx of UV radiation. Therefore, ozone depletion in the lower stratosphere alters tropospheric ozone levels.

**The global mean indirect RF due to the combined effects of ODSs on tropospheric and stratospheric ozone is assessed to be  $-0.15 \text{ W m}^{-2}$ , of which approximately three quarters results from ozone changes in the stratosphere.** This offsets part of the  $+0.33 \text{ W m}^{-2}$  direct RF from increases in atmospheric ODS abundances. However, the large uncertainty range of  $-0.30$  to  $0 \text{ W m}^{-2}$  could mean that its estimated offset of the global mean RF due to ODS changes has a wide range, from almost complete to none. Recent research suggests that additional uncertainty may arise from how ozone depletion may influence clouds in the Southern Hemisphere.



## 2. Future Issues Regarding Ozone-Depleting Substances and Their Substitutes

A few key issues stand out regarding ODSs and their substitutes:

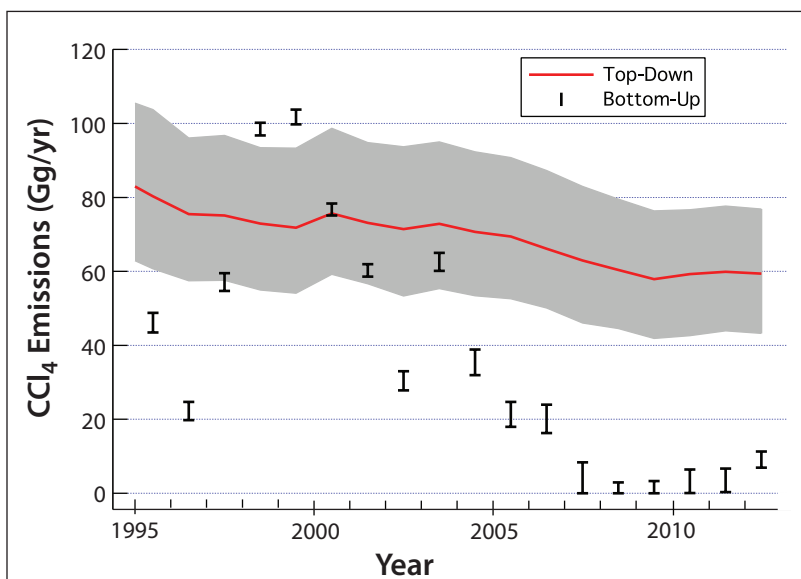
- Carbon tetrachloride ( $\text{CCl}_4$ ) is not decreasing as rapidly as expected.
- Recoverable banks, hydrochlorofluorocarbon (HCFC) production, and quarantine and pre-shipment (QPS) methyl bromide ( $\text{CH}_3\text{Br}$ ) uses are major future sources of ODS emissions.
- The climate benefits of the Montreal Protocol could be significantly reduced by projected emissions of the current mix of HFCs in the coming decades.

*This section addresses a few key issues that were specifically requested by the Parties and those that bear watching in the coming years leading to the next Assessment. The details of the calculations and further information are given in the science chapters, but the essence of the information is captured here. The first topic deals with information on carbon tetrachloride ( $\text{CCl}_4$ ), which was requested by the Parties. The second topic relates to hydrochlorofluorocarbons (HCFCs) and methyl bromide ( $\text{CH}_3\text{Br}$ ), two substances that are controlled under the Montreal Protocol. This topic also includes chlorine- and bromine-containing very short-lived substances (VSLS), knowledge of which may enhance our ability to predict future Equivalent Effective Stratospheric Chlorine (EESC) from projected emissions. The third topic deals with hydrofluorocarbons (HFCs). HFCs are not ODSs since fluorine does not deplete the ozone layer. However, HFCs are related to ODSs because most HFCs in the atmosphere are from emissions associated with their use as ODS substitutes or unintended emissions from production of other chemicals (e.g., HFC-23 from HCFC-22 manufacture). For this reason, we also address the climate impacts from different HFC emission scenarios.*

### Highlight 2-1

**Derived emissions of carbon tetrachloride ( $\text{CCl}_4$ ), based on its estimated lifetime and its accurately measured atmospheric abundances, are larger than those calculated from reported production and usage.** [Chapter 1: Sections 1.2.1.3, 1.6.5]

**Over the past decade, the (top-down) emissions of  $\text{CCl}_4$  estimated from the observed atmospheric abundances and the estimated lifetime are much larger than the (bottom-up) emissions derived from reported production and usage (see Figure ADM 2-1). New evidence indicates that poorly quantified sources, distinct from reported production, could contribute to the currently unaccounted emissions.** The atmospheric abundances are the best quantified of the factors that determine the budget of  $\text{CCl}_4$ . Unlike the major CFCs,  $\text{CCl}_4$  is also removed from the atmosphere due to uptake by soil and ocean, with large uncertainties in these removal rates. The current estimate of the total global lifetime (26 years) remains unchanged from the previous Assessment, although estimates of the relative importance of the ocean and soil uptake have been revised. When combined with the observed  $\text{CCl}_4$  trend in the atmosphere ( $-1.1$  to  $-1.4$  ppt/yr in 2012), a 26-year atmospheric lifetime and an uncertainty range of 22 to 32 years (this uncertainty range does not include uncertainty from uptake by soil and ocean) implies emissions of roughly 57 (40–74) gigagrams per year (Gg/yr), much larger than the values implied by the production and use data. There are reports of emissions of  $\text{CCl}_4$  from other sources not controlled by the Montreal Protocol, but they do not appear to account for all of the difference between the two estimates.

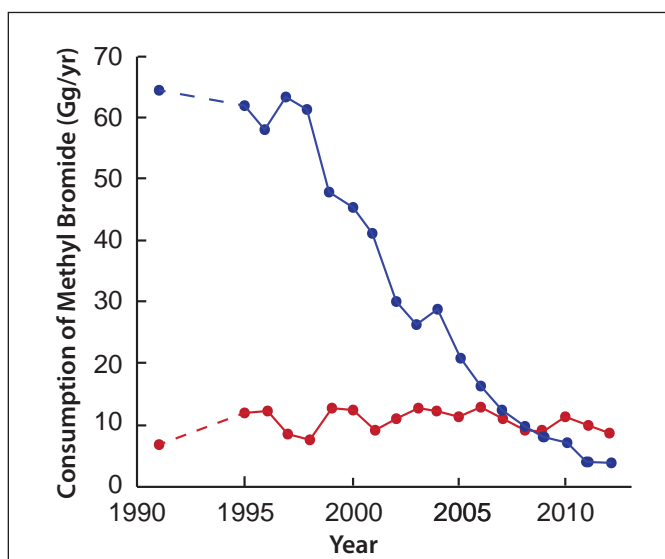


**Figure ADM 2-1.** Comparison of the top-down and bottom-up emissions for  $\text{CCl}_4$  between 1995 and 2012. The top-down emissions (in gigagrams per year) are calculated from its atmospheric observations and the assumed lifetime of 26 years (red line; with gray area showing the uncertainties in this calculation arising from the uncertainty in the observed abundances and the assumed lifetime). The bottom-up values are derived for individual years from reported production, destruction, and feedstock use shown as black dots, with their associated uncertainties. Clearly, while the reported figures suggest close to zero emissions in the past 6 years, atmospheric observations suggest a larger emission. The differences between these estimates represent unaccounted atmospheric emissions needed to reconcile the atmospheric observations.

#### Highlight 2-2

The abundance of atmospheric methyl bromide ( $\text{CH}_3\text{Br}$ ) continues to decrease due to the phase-out of anthropogenic production and consumption under the Montreal Protocol. As of 2009, the controlled consumption of methyl bromide declined below the reported consumption for quarantine and pre-shipment (QPS) uses, which are exempt under the Montreal Protocol (see Figure ADM 2-2). [Chapter 1: Sections 1.2.1.7, 1.6.6]

Atmospheric  $\text{CH}_3\text{Br}$  abundances continued to decline during the 2008 to 2012 period, and by 2012 had decreased to  $7.0 \pm 0.1$  ppt, a reduction of 2.2 ppt from its maximum levels of the 1996–1998 period. The atmospheric declines are primarily due to continued decreases in  $\text{CH}_3\text{Br}$  consumption for fumigation. In 2010, the global controlled non-QPS consumption decreased to the point that it is now slightly smaller than the QPS consumption. In addition, QPS consumption by Article 5 Parties is now higher than QPS consumption by non-Article 5 Parties.



**Figure ADM 2-2.** Trends in methyl bromide global consumption as reported in the UNEP database for controlled non-QPS uses (blue) and QPS uses (red).

### Highlight 2-3

**Changes in anthropogenic and natural emissions of chlorine- and bromine-containing very short-lived substances (VSLS) will likely cause only small changes in ozone in the near future.** These substances, most of which are not controlled by the Montreal Protocol, are not included in any of the ODS scenarios. Some emission changes are currently occurring, but future projections remain highly uncertain. [Chapters 1 and 5: Sections 1.3, 5.2.4]

**Emissions of biogenically produced bromine-containing substances may increase as a result of changes in the management of their human-related production (e.g., marine aquaculture).**

**Near-surface atmospheric abundances of dichloromethane ( $\text{CH}_2\text{Cl}_2$ ), an uncontrolled substance that has predominantly anthropogenic sources, has increased by ~60% over the last decade.** Currently it is offsetting about 10% of the decline in tropospheric Cl due to long-lived chlorinated substances controlled by the Montreal Protocol.  $\text{CH}_2\text{Cl}_2$  and other short-lived chlorine substances make a very small contribution to total stratospheric chlorine in the current atmosphere.

**The ozone depletion resulting from emissions of VSLS is strongly dependent on the geographic location and season of emission.** Ozone depletion is larger if emissions occur in regions close to convective regions in the tropics, allowing for a more rapid and efficient transport of the VSLS into the stratosphere.

### Highlight 2-4

**Most HFCs are replacements for ODSs. The current radiative forcing from HFCs is small compared to that from ODSs. However, the combined GWP-weighted emission of HFCs is currently increasing by 7%/yr. Continued growth in the emission of high-GWP HFCs, consistent with some projections, would lead to an HFC radiative forcing comparable to that from ODSs at their peak.** [Chapters 1 and 5: Sections 1.5.1.1, 1.6.3, 1.6.4, 5.2.2, 5.2.3, 5.2.5, 5.4.4, 5.4.5]

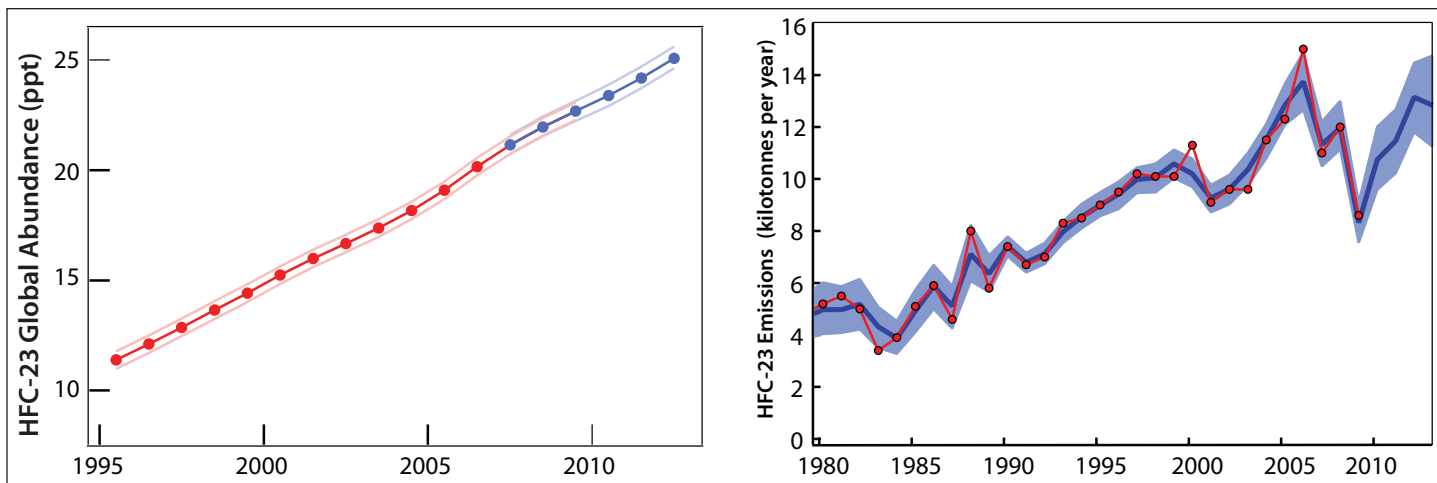
**Hydrofluorocarbons (HFCs), used as ODS substitutes, are increasing in the atmosphere.** The most abundant HFC, HFC-134a, reached an abundance of nearly 68 ppt in 2012 with an increase of 5 ppt/yr (7.6%) in 2011–2012. HFCs -125, -143a, and -32 have similar or even higher relative growth rates than HFC-134a, but their current abundances are lower [Table ADM 2-1].

**Table ADM 2-1.** Abundances of HFCs in 2012 and the corresponding changes in one year between 2011 and 2012. Units are parts per trillion.

Source	Abundance in 2012 (ppt)	Increase from 2011 to 2012 (ppt)
HFC-134a	67.7	5.0
HFC-125	11.2	1.5
HFC-143a	13.4	1.3
HFC-32	6.3	1.1

**The atmospheric abundance of HFC-23, a potent greenhouse gas, has more than doubled in the past two decades and reached 25 ppt in 2012 (Figure ADM 2-3).** HFC-23, though only a minor substitute for ODSs, is a by-product of HCFC-22 production. HCFC-22 is an ODS designated as a transitional substitute under the Montreal Protocol but also has uses as a feedstock for fluoropolymer production, which are not controlled. Available evidence suggests that until around 2005, HFC-23 emissions were mitigated mainly due to efforts by non-Article 5 Parties. Between 2005 and 2010, HFC-23 emissions did not continue to increase despite continued increases in total global HCFC-22 production. This is qualitatively consistent with increased mitigation of HFC-23 emissions from Article 5 Parties after 2006 under the Clean Development Mechanism (CDM) of the Kyoto Protocol.

**The current direct radiative forcing (RF) from HFCs is  $0.02 \text{ W m}^{-2}$ . If the current mix of HFCs continues to be used in the future, increasing demand could imply a RF due to HFCs as high as  $0.4 \text{ W m}^{-2}$  by 2050 (see Figure ADM 1-7).** This RF is comparable to the peak value of the combined forcing by all ODS ( $\sim 0.33 \text{ W m}^{-2}$ ). For all scenarios (Special Report on Emissions Scenarios (SRES) and Representative Concentration Pathway (RCP)) used in the recent IPCC Assessments, the HFC radiative forcing increases by  $0.1 \text{ W m}^{-2}$  or less by 2050;



**Figure ADM 2-3.** Left panel: Global atmospheric abundance of HFC-23 reported by Miller and coworkers (2010) (red dots) and Rigby and coworkers (2014) (blue dots). The uncertainty range is shown as faint red and blue lines. Right panel: HFC-23 emissions derived from atmospheric observations of its abundances. Red dots are from Miller and co-workers (2010). The blue line is a reanalysis of the Miller and coworkers (2014) data and including the recent observations. The blue shaded area is the estimated uncertainty in the calculated emissions. After 2000, there have been some variations in the emissions from the monotonic increase seen prior to 2006.

however, these scenarios did not consider recent market trends. Scenarios based on projections of HFC markets yield radiative forcings that range from  $0.16 \text{ W m}^{-2}$  to  $0.4 \text{ W m}^{-2}$  by 2050.

**The sum of GWP-weighted emissions from ODSs and their substitutes has been declining despite the increase in HFC emissions (see Figure ADM 1-8).** This sum reflects the net effect of the decrease in CFCs, the approximately constant HCFC emissions, and the rise in HFC emissions since the last Assessment. The above estimates include the contributions of HFC-23, a by-product of HCFC-22 production. Current emissions of HFCs are less than 10% of the maximum CFC GWP-weighted emissions (approximately 10 gigatonnes  $\text{CO}_2$ -equivalent/yr). However, if the HFC emissions continue to grow at 7% per year, the GWP-weighted emissions will approach the 1990 peak GWP values of ODS emissions in about 35 years.

**Replacements of high-GWP HFCs with lower-GWP alternatives could avoid a substantial increase in HFC radiative forcing over the coming decades.** The GWP of the current mix of HFCs being emitted as substitutes in various applications is 1600. (Note: this does not include HFC-23, which is emitted mainly as a by-product of HCFC-22 production.) The radiative forcing due to these HFC uses by 2050 could be very small compared to that by  $\text{CO}_2$  if HFCs with much smaller GWPs are used. Replacements with low GWPs or alternate technologies are becoming commercially available.<sup>10</sup>

**Unsaturated HFCs (also known as hydrofluoro-olefins, HFOs) with GWPs of less than 10 are potential replacement compounds for long-lived HCFCs and HFCs.** Atmospheric oxidation of one of these substances (HFO-1234yf) produces the persistent degradation product trifluoroacetic acid (TFA). While the environmental effects of TFA are considered to be negligible over the next few decades, potential longer-term impacts could require future evaluations due to the environmental persistence of TFA and uncertainty in growth in future uses of HFOs.

**By 2050, HFC banks are estimated to grow to as much as 65 gigatonnes  $\text{CO}_2$ -equivalent. The climate change impact of the HFC banks could be reduced by limiting future use of high-GWP HFCs to avoid the accumulation of the bank, or by destroying the banks.** This large bank is the result of high-GWP HFCs being used almost entirely in products and equipment where they are contained for many years to decades, e.g., for air conditioning, refrigeration, and closed-cell foams. By contrast, a much larger portion of the CFCs were used in applications where they were emitted within a few years of production, e.g., for aerosols and open-cell foams. This has resulted in CFC banks that are small relative to their cumulative production. HFC banks, however, are likely to accumulate to a substantial fraction of their cumulative production.

<sup>10</sup> UNEP Synthesis Report, *HFCs: A Critical Link in Protecting Climate and the Ozone Layer*, United Nations Environment Programme, 36 pp., 2011.

### 3. Evolution of the Global Ozone Layer

There are several indications that the ozone layer is beginning to recover from ODS-induced depletion. Tropical ozone has not been strongly affected by ODSs; its future changes will be dominated by greenhouse gas increases.

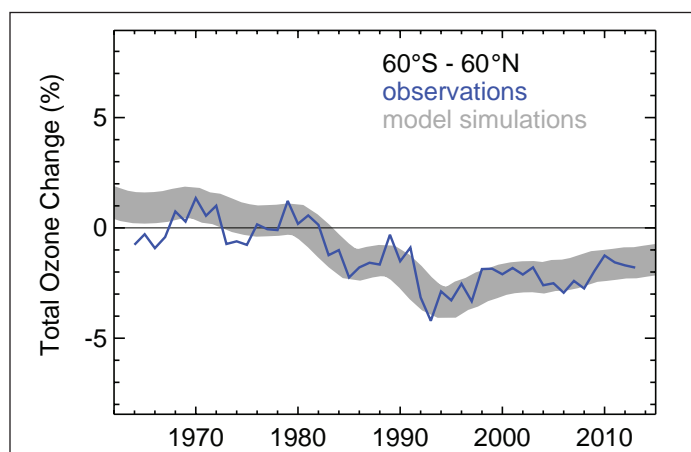
Global ozone levels decreased through the 1980s and early 1990s while stratospheric ODS abundances were increasing. The implementation of the Montreal Protocol and its Amendments and adjustments stopped this global ozone decline, with ozone levels having approximately stabilized since stratospheric ODS abundances peaked between 1997 and 2000. Now that ODS abundances are declining, global ozone is expected to begin to increase as it slowly recovers from ODS-induced depletion. However during this recovery phase, ozone levels will also be affected by the expected anthropogenic increases in abundances of other ozone-relevant gases (carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), and nitrous oxide (N<sub>2</sub>O)) as well as by the natural influences of volcanic eruptions, solar activity, and the natural variability in Earth's climate. Atmospheric lifetimes of most ODS species are long — many decades — and hence the removal of ODSs from the atmosphere will occur over a much longer timescale than the short — a few decades — period during which their abundances increased. This difference in timescale makes it more difficult to unambiguously identify the influence of slowly decreasing ODSs on the ozone layer than it was to identify the influence of their rapid increase, in the face of the other concomitant influences on the ozone layer.

#### Highlight 3-1

**Total column ozone declined over most of the globe during the 1980s and early 1990s, by about 2.5% in the global mean, but has remained stable since 2000. There are indications of an increase in global-mean total column ozone over 2000–2012, consistent with model predictions. However, a total column ozone increase that would be attributable to ODS decreases has not yet been observed.** [Chapter 2: Section 2.2.3]

**Total column ozone averaged over 60°S–60°N and between 2008 and 2012 is lower by about 2% than it was during 1964–1980; this is slightly less than the value of about 2.5% for 2004–2008 reported in the last Assessment (Figure ADM 3-1).** Corresponding values for midlatitudes of the Northern and Southern Hemispheres (35°N–60°N and 35°S–60°S) are decreases of 3.5% and 6%, respectively, the same as reported in the last Assessment for the 2004–2008 period. The larger depletion in the Southern Hemisphere compared to the Northern Hemisphere is linked to the Antarctic ozone hole. Tropical column ozone levels are almost unchanged since 1964–1980.

**Between 2000 and 2012, column ozone averaged over 60°S–60°N appears to have increased by ~1% based on ground-based and space-based observations (Figure ADM 3-1).** This increase is uncertain ( $1\% \pm 1.7\%$ ;  $2\sigma$ ) due to interannual and decadal natural variability, as well as because the available data sets indicate differences in the magnitude of the ozone increase. Current global chemistry-climate models also suggest a 1% column ozone increase between 2000 and 2012 averaged over 60°S–60°N. This modeled increase is primarily driven by the ODS decline over this period and is consistent with the central observational estimate. However, the ODS-related contribution



**Figure ADM 3-1.** Annual-mean changes in total column ozone averaged over 60°S–60°N from observations (blue) and from CCMVal-2 coupled chemistry-climate model simulations (gray shading, representing multi-model mean plus observed range of interannual variability ( $\pm 2$  standard deviations, estimated over 1998–2008)). The observed changes are with respect to the 1964–1980 average; the model values are referenced to the observations over 1998–2008. Adapted from Figure 2-2 of Chapter 2.



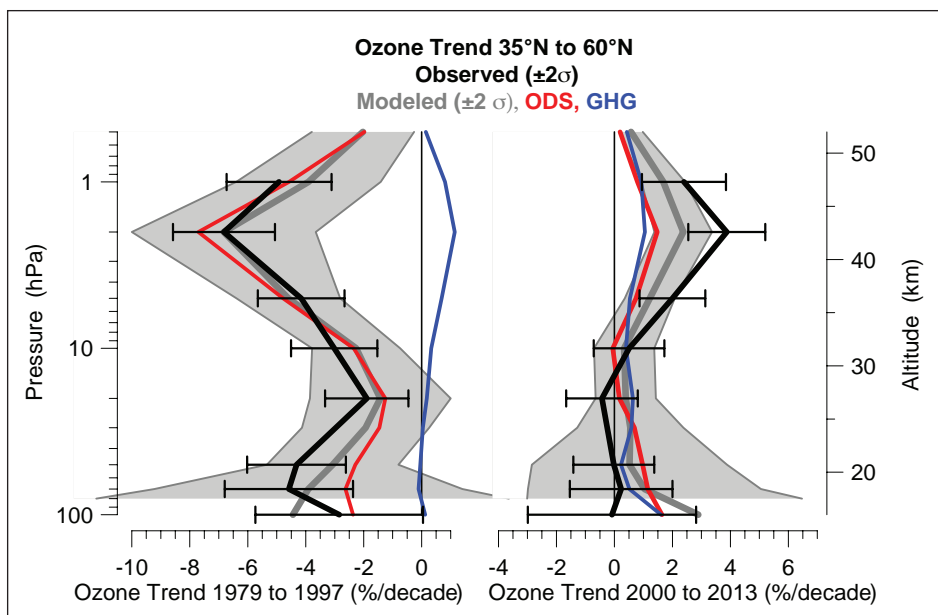
to the observed global ozone increase cannot be isolated with a high level of confidence given the uncertainties in the measurements and the uncertainties in quantification of background variability.

### Highlight 3-2

**Upper stratospheric ozone declined during the 1980s and early 1990s, and has clearly increased by about 5% since 2000, confirming the trend already suggested in the previous Assessment. The ozone decreases until the mid-1990s were dominated by ODS increases. However, from 2000 to 2013 the decline in ODS abundances and the cooling by increased carbon dioxide are both estimated to have made comparable contributions to the observed upper stratospheric ozone increases. [Chapter 2: Sections 2.2.4, 2.3.5]**

**A statistically significant increase of 2.5–5% per decade has occurred in the upper stratosphere (35–45 km) over the 2000–2013 period (Figure ADM 3-2).** The stated range encompasses the mean value with  $\pm 2$  standard error range. Ozone in the upper stratosphere in the midlatitudes and the tropics declined by about 5–8% per decade through the 1980s and early 1990s.

**The decline in ODS abundances and the cooling by increased CO<sub>2</sub> are estimated to have contributed roughly equally to the observed upper stratospheric ozone increases from 2000 to 2013.** Increasing carbon dioxide is cooling the upper stratosphere. This cooling increases ozone concentrations in this region through temperature-dependent chemistry.



**Figure ADM 3-2.** Vertical profiles of annual mean ozone trends over 35°N–60°N averaged over all available observations (black) for the periods of stratospheric ODS increase (left) and ODS decline (right), with the corresponding CCMVal-2 modeled trends for ODS changes only (red), GHG changes only (blue), and both together (gray). The  $\pm 2$  standard error uncertainty range for the trends is shown by the horizontal bars for the observations and by the gray shading for the all-changes modeled trend. Adapted from Figure 2-20 of Chapter 2.

### Highlight 3-3

**In the midlatitude lower stratosphere (15 to 25 km altitude), ozone exhibited a long-term decline through the 1980s and early 1990s, as reported in previous Assessments. Since 2000, ozone at these altitudes has remained approximately stable, consistent with model simulations, and consistent with total ozone columns. [Chapter 2: Section 2.2.4]**

Ozone in the tropical lower stratosphere (and tropical column ozone) shows little response to ODSs, because conversion of ODSs into reactive chlorine and bromine is small in this region. Instead, tropical lower stratospheric ozone is more affected by the strength of tropical upwelling of air from troposphere to stratosphere caused by the Brewer-Dobson circulation (see Box ADM 3-1). Increased tropical upwelling tends to reduce both lower stratospheric ozone and column ozone in the tropics. Such decreases in column ozone would lead to increased ultraviolet (UV) radiation in the tropics, where UV levels are already high.

### Highlight 3-4

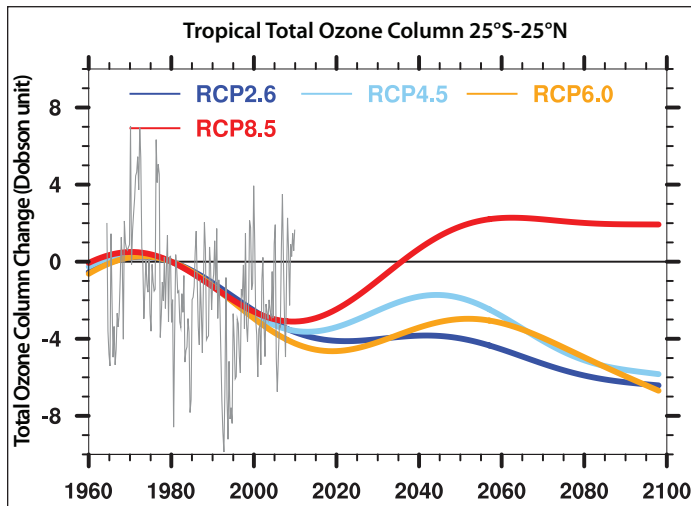
**Observations of changes in temperature and ozone over the past three to five decades are suggestive of increased upwelling of air in the tropical lower stratosphere. This is consistent with model simulations, which robustly simulate long-term increases in the tropical upwelling due to past greenhouse gas increases. [Chapters 2 and 4: Sections 2.3.5, 4.3.2]**

Limited data sets suggest that tropical lower stratospheric ozone decreased by up to 5% near 20 km between the mid-1980s and 2000. Since 2000, both total column and lower stratospheric ozone in the tropics show little change within the large natural variability. This behavior is consistent with model simulations, which attribute the ozone decline to increased upwelling.

The increased upwelling in the tropical lower stratosphere is associated with a strengthening of the shallow branch of the Brewer-Dobson circulation (see Box ADM 3-1). There is large uncertainty in changes in the deep branch of the Brewer-Dobson circulation inferred from observations.

#### Highlight 3-5

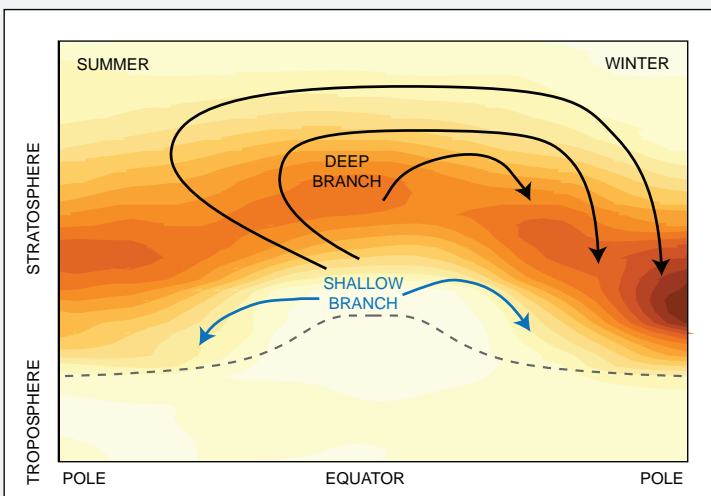
**The projected future evolution of tropical total column ozone is strongly dependent on future abundances of CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub> (e.g., as in Representative Concentration Pathways (RCPs)), and is particularly sensitive to changes in the tropical upwelling and changes in tropospheric ozone. Except for RCP 8.5, which specifies large increases in methane, significant decreases in total column ozone are projected during the 21<sup>st</sup> century (Figure ADM 3-3). [Chapters 2 and 4: Sections 2.4.2, 2.4.3, 4.3.2]**



**Figure ADM 3-3.** Total column ozone time-series averaged over the tropical latitude band 25°S–25°N for Coupled Model Intercomparison Project–Phase 5 (CMIP5) models for the four RCP scenarios (adjusted to a 1980 baseline). Also shown are seasonal mean total column ozone values from ground-based observations, relative to the 1964–1980 average. The RCP simulations are averaged over 5 models, except RCP8.5, which uses 6 models. The four RCP scenarios correspond to +2.6 (dark blue), +4.5 (light blue), +6.0 (orange), and +8.5 W m<sup>-2</sup> (red) of global radiative forcing. The "high" 8.5 W m<sup>-2</sup> (red) scenario has steadily increasing greenhouse gases during the 21<sup>st</sup> century. The "low" 2.6 W m<sup>-2</sup> (dark blue) forcing scenario has stabilized levels of N<sub>2</sub>O and decreasing levels of CO<sub>2</sub> and CH<sub>4</sub> in the 21<sup>st</sup> century. The 4.5 (light blue) and 6.0 (orange) scenarios are intermediate forcing scenarios with increasing levels of CO<sub>2</sub> and varying levels of N<sub>2</sub>O and CH<sub>4</sub>. Adapted from Figures 2-12 and 2-23 of Chapter 2.

#### Box ADM 3-1: The Brewer-Dobson Circulation (BDC)

On average, air moves upward into the stratosphere from the troposphere predominantly in tropical latitudes, and descends in higher latitudes. This broad pattern of global circulation was first inferred from observations of water vapor and ozone in the stratosphere by Alan Brewer and Gordon Dobson more than a half-century ago. The descent is the primary mechanism that brings down ozone-rich air from the middle and upper stratosphere to the lower stratosphere, strongly affecting latitudinal gradients in total ozone, especially in winter and spring. Recent work has advanced the understanding that there are two branches of the BDC, a deep branch that extends to high altitudes in the stratosphere and even to the mesosphere, and a shallow branch that transports air from the tropics poleward within the lower stratosphere.



**Schematic illustration of the shallow and deep branches of the Brewer-Dobson circulation in the stratosphere at solstices.** Also shown is the meridional cross section of Northern Hemisphere winter ozone density (color shading, with darker shades indicating larger ozone concentrations), and the approximate location of the tropopause (dashed curve). From Figure 4-7 of Chapter 4.



## 4. Evolution of Polar Ozone

The Antarctic ozone hole will continue to occur at least until mid-century. Occasional large Arctic ozone depletion, such as that in spring 2011, is well understood, and is also possible in coming decades. Recovery of polar ozone would occur earlier if there were no further emissions of controlled ODSs, and would be delayed by increases in stratospheric aerosol that could be caused by injection of sulfur by large volcanic eruptions or geoengineering.

*Polar ozone depletion is the clearest example of how ODSs have impacted our stratosphere, and is expected to remain an important, recurring feature in the near future. Previous Assessments have reported that Antarctic ozone depletion (the “ozone hole”) occurs each year in Southern Hemisphere late winter to spring. It is characterized by near-complete depletion of ozone in the lower stratosphere, between about 12 and 20 kilometers, and a very substantial reduction in the total ozone column (the amount of ozone summed between the Earth surface and the top of the atmosphere). In contrast, Arctic ozone depletion in late winter to spring is very variable. It has been small in many previous years but stratospheric conditions in some Arctic winters have led to more substantial ozone depletion.*

*Our detailed understanding of the annual winter-spring polar ozone depletion has bolstered confidence in our understanding of global ozone depletion and in our predictive capabilities. Chemical depletion of ozone depends on the reactions of ODS degradation products, whose concentrations can be enhanced by reactions involving polar stratospheric clouds and sulfuric acid aerosol. While ODSs continue to decline, large year-to-year changes in polar ozone depletion provide a critical test of our understanding of the relevant chemical, transport, and dynamical processes controlling the ozone layer. Changes in the abundance of stratospheric aerosol will also affect stratospheric ozone concentrations. Future long-term polar ozone changes, and interannual variability, will both provide important constraints on our continuing understanding of the effects of the Montreal Protocol.*

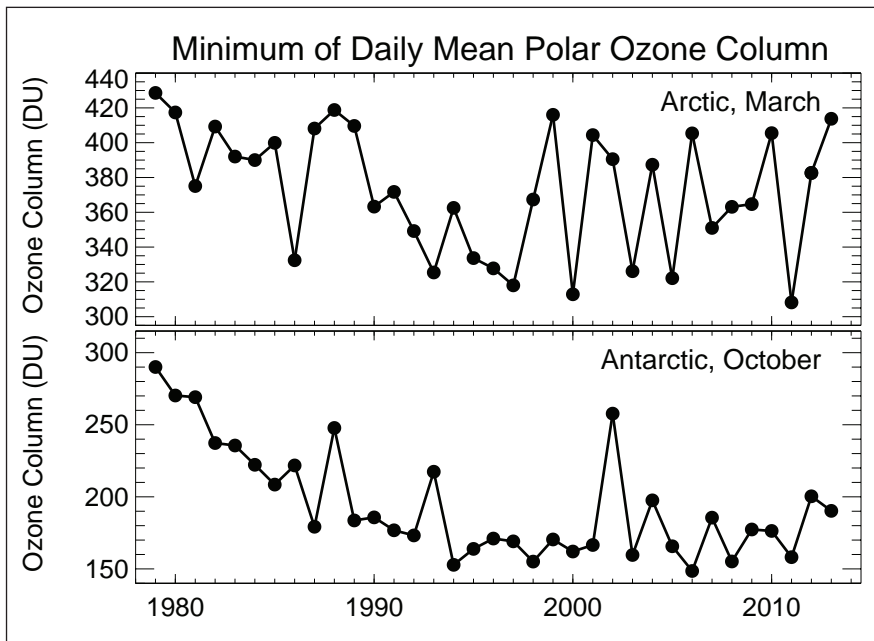
### Highlight 4-1

**Springtime polar ozone depletion continues to occur in both hemispheres, as expected given the small (~10%) reductions to date in ODS abundances from their peak values.** The last decade has seen greater variability in Antarctic springtime polar ozone than in the 1990s (see Figure ADM 4-1). This ozone variability results from natural year-to-year changes in meteorological processes and cannot be attributed to recovery from the effect of the ODSs. [Chapters 2 and 3: Sections 2.2, 3.2, 3.4]

Well understood stratospheric chemical processes involving chlorine and bromine are the primary cause of the seasonal polar ozone depletion. **The abundance of stratospheric chlorine and bromine, as represented by Equivalent Effective Stratospheric Chlorine (EESC), has decreased only by about 10% below its peak value and is still much higher than found in the early 1980s when the Antarctic ozone hole appeared (see figure in Box ADM 1-1).**

**The reduction of ODSs already achieved under the Montreal Protocol is not yet expected to have had a major effect on the extent of the Antarctic ozone hole.** The Antarctic ozone hole is driven by the very low temperatures inside the polar vortex, which make almost all chlorine and bromine from the ODSs available for ozone depletion. Near-complete ozone depletion occurs at high polar latitudes in the Southern Hemisphere lower stratosphere and, thus, the extent of ODS changes to date are not sufficient to alter this depletion to a great extent.

A small increase of about 10–25 DU (approximately 5%) in springtime Antarctic total ozone since 2000 can be derived by subtracting an estimate of the natural variability from the total ozone time series. However, uncertainties in this estimate and in the total ozone measurements preclude definitive attribution of this increase to the reduction of ODSs over this period.



**Figure ADM 4-1.** High-latitude time series of the minimum daily average total column ozone amount in Dobson units (DU). Top panel shows March in the Arctic, with October Antarctic values in the bottom panel. Values are those poleward of the 63° equivalent latitude contour. For further details see Figure 3-5 of Chapter 3.

#### Highlight 4-2

**The Antarctic ozone hole will continue to appear each austral spring as long as stratospheric chlorine and bromine abundances remain elevated.** [Chapter 3: Section 3.4.2].

Meteorological conditions in the Antarctic stratosphere through the winter are characterized by very low temperatures and the systematic formation of a strong polar vortex that isolates polar air masses from midlatitudes. **In such conditions chlorine and bromine compounds originating from ODSs are nearly completely available for ozone destruction and an ozone hole will form in springtime as long as their abundance, as represented by EESC, exceeds about 2 parts per billion (2000 parts per trillion).**

#### Highlight 4-3

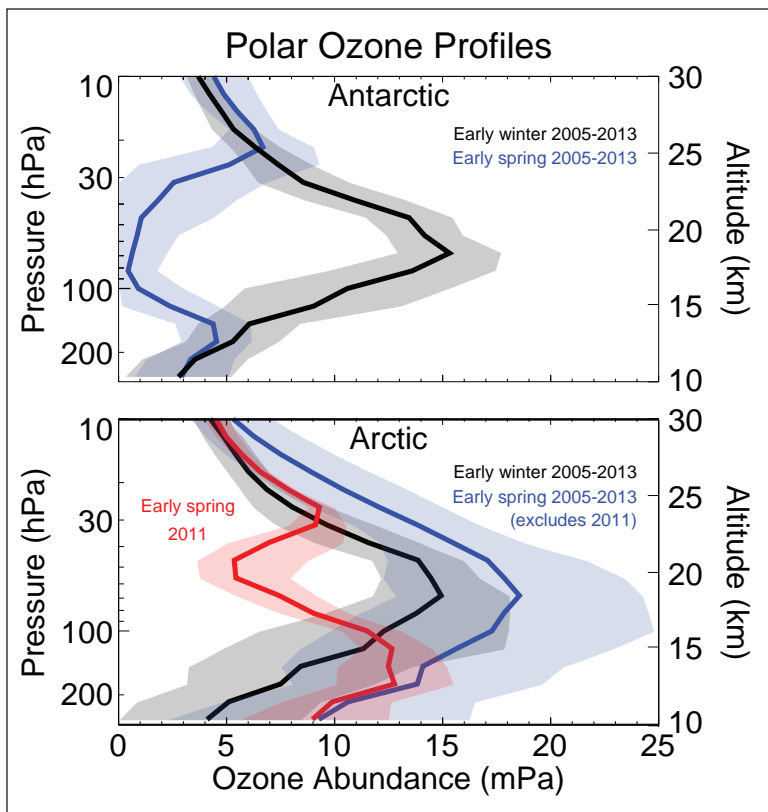
**Large ozone depletion in the Arctic was observed in spring of 2011. This was due to the specific stratospheric meteorological conditions in that year and their effect on well understood chemical and transport processes. Even in a year with large depletion like 2011, Arctic springtime total column ozone amounts (which determine how much solar ultraviolet radiation is filtered by the atmosphere) are much higher than in the corresponding Antarctic season.** [Chapter 3: Section 3.2, 3.3]

**The extent of Arctic winter-spring ozone depletion in any one year is dominated by the very large meteorological variability exhibited by the Northern Hemisphere polar vortex.** Exceptionally low Arctic ozone levels were observed in the spring of 2011 (Figure ADM 4-1). These low ozone levels were due to anomalously persistent low temperatures and a strong, isolated polar vortex in the lower stratosphere during spring 2011 that led to a large chlorine- and bromine-induced chemical ozone depletion, and also to atypically weak transport of ozone-rich air into the vortex from lower latitudes. Models of atmospheric chemistry, using empirically derived polar stratospheric cloud treatments and the observed stratospheric winds and temperatures, successfully reproduce the observed ozone concentrations in 2011. The occurrence of large Arctic ozone depletion, under comparable meteorological conditions, was anticipated as early as in the 1994 Assessment.

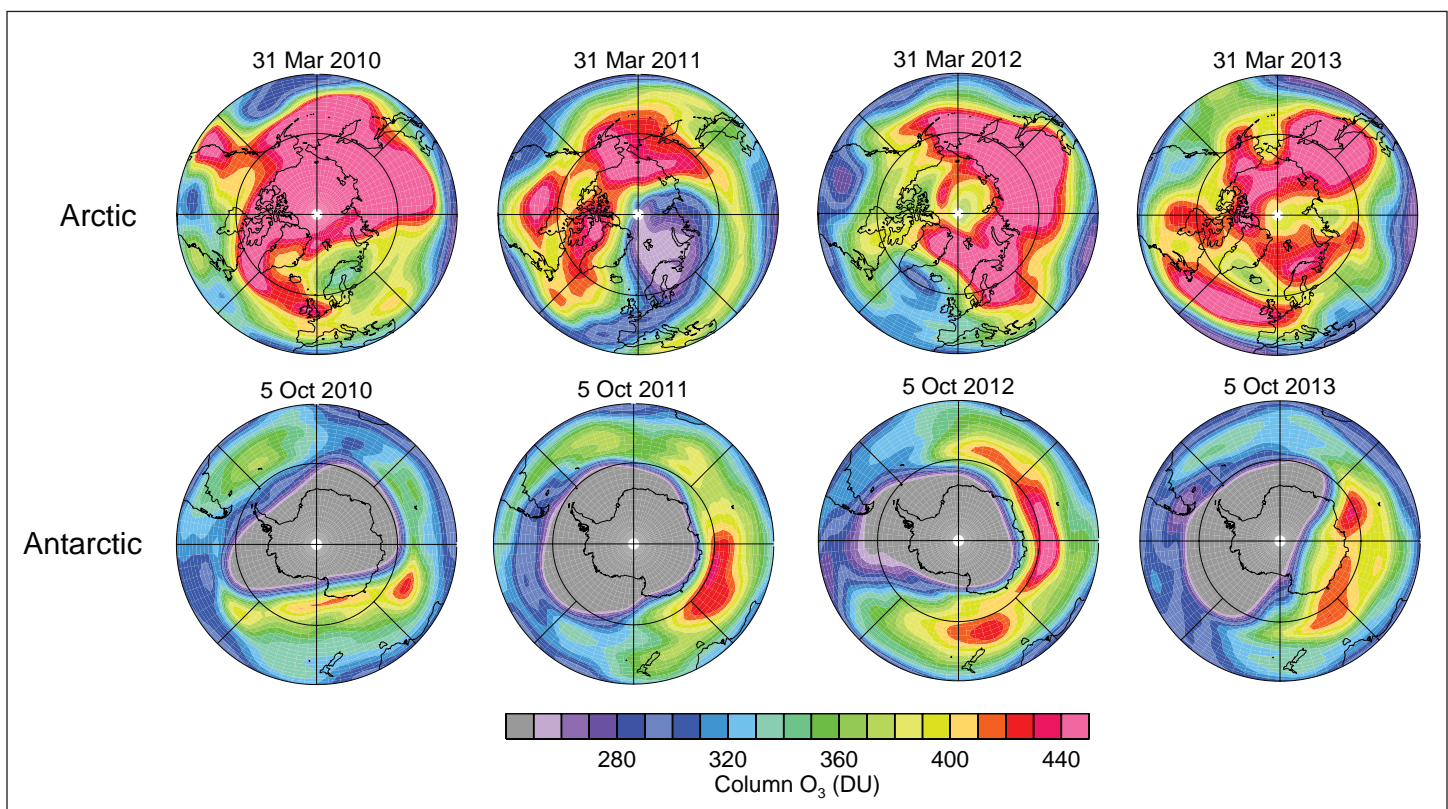
It is useful to contrast ozone in the Arctic with the Antarctic. In 2011, very substantial ozone depletion occurred inside the Arctic vortex in a layer many kilometers deep (Figure ADM 4-2). Yet, the column ozone depletion in the Arctic vortex was less than in a typical Antarctic ozone hole and the minimum total ozone levels were higher than in the Antarctic, with the cold vortex of 2011 also being much smaller in extent than is usually seen in the Southern Hemisphere (Figure ADM 4-3).

Higher Arctic ozone levels (with lower estimated ozone depletion) were measured in the other winters since the 2010 Assessment, similar to the behavior seen since the late 1990s (Figure ADM 4-3).





**Figure ADM 4-2.** Polar ozone profiles for the Antarctic (top) and Arctic (bottom) from the Aura Microwave Limb Sounder satellite remote measurements of the lower stratosphere (~10 to 30 km) between 2005 and 2013. The figure shows the range of ozone changes between early winter (in the first week of January/July for Arctic/Antarctic) and early spring (using here a late March or early October week for Arctic/Antarctic). The Antarctic panel illustrates the recurring deep ozone depletion in the ozone hole region for the 9 years from 2005 through 2013; a region deep inside the vortex (south of 77°S and for longitudes between 4°E and 20°E) was used for this illustration. Polar Arctic profiles (using here a similar latitude/longitude region in the Northern Hemisphere) exhibit more variability as a result of larger dynamical activity. The deep Arctic ozone loss in 2011 (with red range shown here), while quite unprecedented, did not reach the depth and vertical extent of loss observed in the typical Antarctic ozone hole. The shaded ranges shown encompass more than 90% of the ozone values in the chosen regions. Average values are shown as thick colored lines for each of the shaded cases.



**Figure ADM 4-3.** Total column ozone (Dobson units, DU) from Aura Ozone Monitoring Instrument for some recent late springtime dates in the Antarctic and Arctic.

#### Highlight 4-4

**While stratospheric chlorine and bromine abundances remain elevated, enhanced springtime Arctic ozone loss could occur. Such loss is expected to be comparable to that observed in spring 2011 if similar meteorological conditions arise during the next few decades.** [Chapter 3: Section 3.6.1]

Anomalously persistent low temperatures in the Arctic lower stratosphere can lead to exceptionally low ozone levels (as in, for example, 1997 and 2011). Over the last 35 years, only these two winters have had March Arctic temperatures averaging below 210 K in the lower stratosphere. **Based upon this observed variability over the last few decades, it is expected that low ozone Arctic events will continue to occur occasionally while stratospheric chlorine and bromine abundances remain elevated.**

#### Highlight 4-5

**While ODS levels remain high, a large stratospheric sulfuric aerosol enhancement due to a major volcanic eruption or geoengineering would result in a substantial chemical depletion of total ozone over much of the globe.** [Chapter 2: Sections 2.3.4, 2.4.3]

**A stratospheric injection by a volcanic eruption of the same size as Mt. Pinatubo would likely lead to at least a 2% decrease in globally averaged column ozone while ODS levels remain high over the next few decades.** Confidence in this conclusion is strengthened because the long-standing puzzle about the hemispheric asymmetry in the midlatitude ozone response to Mt. Pinatubo aerosols is now much better understood. Studies have shown that enhanced ozone transport in the Brewer-Dobson circulation more than compensated for the enhanced chemical loss of ozone in the Southern Hemisphere.

**Large polar depletions could also result from enhancements of sulfuric aerosols in the stratosphere during the next few decades when stratospheric halogen levels remain high.** Such enhancements could result from deliberate “geoengineering” efforts as well as from major volcanic eruptions in the tropics.

## 5. Past Stratospheric Ozone Changes and Climate

**The Antarctic ozone hole has caused significant changes in Southern Hemisphere surface climate in the summer.**

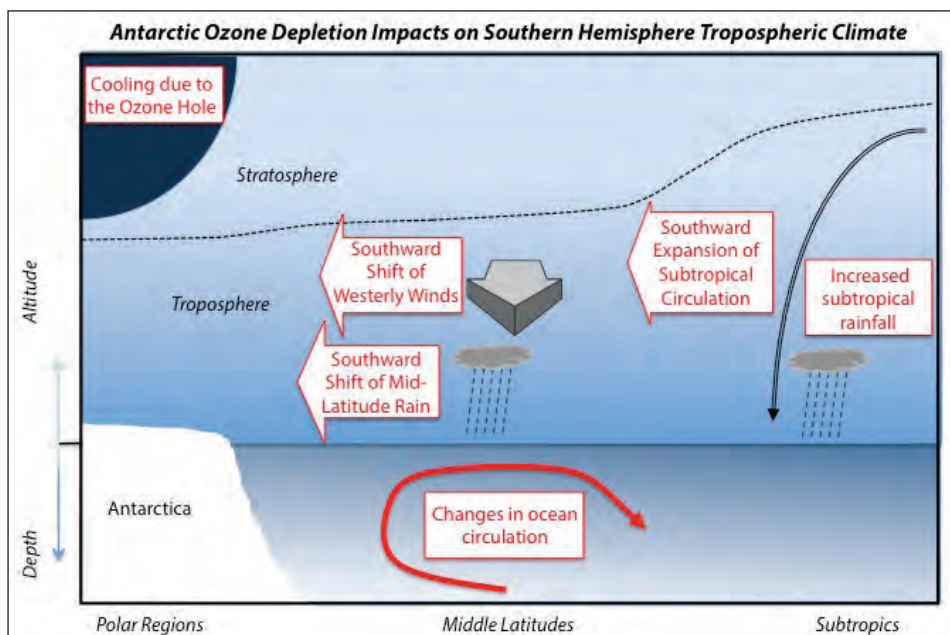
Since the last Assessment, new research has better quantified the impact of stratospheric ozone changes on climate. Stratospheric ozone depletion, which is largest over the Antarctic in spring, has caused changes in stratospheric temperature and circulation, which in turn have influenced tropospheric climate. Coupled chemistry-climate models in which ozone changes are simulated in response to ODS emissions, as well as other models in which observed ozone changes are prescribed, have been used in new studies. These, together with observational analyses, have examined the influence of stratospheric ozone changes on stratospheric temperatures and circulation, tropospheric circulation and composition, surface climate, oceans, and sea ice. While ozone depletion has likely been the dominant driver of atmospheric circulation change in the Southern Hemisphere in summer between 1980 and 2005, greenhouse gas and aerosol changes have been dominant drivers of many other aspects of multi-decadal climate change.

### Highlight 5-1

**Antarctic lower stratospheric cooling due to ozone depletion is very likely the dominant cause of the observed southward shift in Southern Hemisphere tropospheric circulation in summer over recent decades, with associated impacts on surface temperature, precipitation, and the oceans. No robust link between stratospheric ozone changes and Northern Hemisphere tropospheric climate has been found, consistent with the conclusions of the previous Ozone Assessment. [Chapter 4: Section 4.4.1]**

The ozone hole impacts the Southern Hemisphere tropospheric circulation by cooling the polar lower stratosphere in spring, which increases the gradient in temperature between the equator and pole. While the precise mechanism by which the cooling of the polar stratosphere changes the tropospheric circulation is still unclear, such a response is robustly simulated in models.

The contribution of Antarctic ozone depletion to the observed change in the Southern Hemisphere tropospheric circulation (Figure ADM 5-1) in summer is substantially larger in most models than the contribution from greenhouse gas increases over the past three to five decades. The role of ozone depletion is largest in summer.



**Figure ADM 5-1.** Schematic illustration of Southern Hemisphere climate impacts in austral summer associated with Antarctic ozone depletion. Ozone depletion has cooled the Antarctic stratosphere, very likely shifting the region of strong westerly winds and associated rainfall southward in summer. These changes in midlatitude winds have likely led to changes in the ocean circulation. Ozone depletion has also likely contributed to a southward expansion of the tropical circulation in summer, and may have increased subtropical rainfall.



Climate models simulate a southward shift of the Southern Hemisphere midlatitude maximum in precipitation in austral summer in response to stratospheric ozone depletion. There is some evidence of a consistent pattern of rainfall trends in observations.

In the Southern Hemisphere summer, stratospheric ozone depletion has likely contributed to a southward expansion of the tropical circulation, which influences precipitation in subtropical regions (Figure ADM 5-1).

Observational and modeling studies present a broadly consistent picture of the ocean's response to surface wind stress changes, which have likely been substantially caused by stratospheric ozone changes. This involves intensification of the subtropical ocean gyres and the north-south overturning circulations, with an accompanying subsurface warming. The impact of these wind stress changes on ocean carbon uptake from the atmosphere remains uncertain.

The influence of stratospheric ozone depletion on Antarctic sea ice increases reported in the last Ozone Assessment is not supported by a number of new coupled-model studies. These suggest that ozone depletion causes a decrease in Southern Hemisphere sea ice extent and thus did not lead to the small observed increase. However, there is low confidence in this model result because of large uncertainties in the simulation of Antarctic sea ice.

#### *Highlight 5-2*

**There is further evidence that in austral summer, Antarctic stratospheric ozone recovery and increases in greenhouse gases will have opposite effects on the Southern Hemisphere tropospheric circulation, with associated impacts on surface temperature, precipitation, and the oceans.** [\[Chapter 4: Section 4.5.1\]](#)

Ozone recovery is expected to drive a weakening and equatorward shift of the summertime Southern Hemisphere midlatitude jet, while increases in greenhouse gases are expected to drive a strengthening and poleward shift of the jet. Under a low greenhouse gas emissions scenario, ozone recovery is expected to dominate the effect of greenhouse gas increases on Southern Hemisphere tropospheric circulation in austral summer to give a weakening and equatorward shift of the midlatitude jet over the next 50 years, while in a high greenhouse gas emissions scenario the jet is projected to continue to shift poleward and to strengthen.

## 6. The Future of the Ozone Layer

Ozone-depleting substances (ODSs) were the dominant driver of global ozone decline in the late 20<sup>th</sup> century. As controlled ODS concentrations decline, carbon dioxide (CO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), and methane (CH<sub>4</sub>) will strongly influence ozone evolution in the latter part of the 21<sup>st</sup> century through chemical and climate effects. N<sub>2</sub>O increases will tend to decrease ozone, while increasing CH<sub>4</sub> and CO<sub>2</sub> will tend to increase ozone. Uncertainties in future emissions of these gases lead to large differences in ozone projections at the end of the century.

Ozone-layer depletion is caused by chemical reactions with chlorine and bromine compounds released from anthropogenic ODSs in the stratosphere. As noted in Figure ADM 1-3, ODSs are declining in our atmosphere and are projected to decline into the future. In response to this ODS decline, global ozone levels have stabilized (see ADM Highlights, Section 3) and will increase, and global ozone amounts will return to 1980 levels during the 21<sup>st</sup> century (see Figure ADM 6-2, bottom panel).

The primary greenhouse gases (GHGs) are CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub>. In the last Assessment, it was noted that increasing levels of these GHGs warm the troposphere and cool the stratosphere. This stratospheric cooling modifies the rates of some chemical reactions, generally lessening ozone loss rates, and thereby increasing ozone levels. Hence, future ozone levels will increase beyond levels observed prior to 1960. In addition to modifying stratospheric temperatures, N<sub>2</sub>O and CH<sub>4</sub> alter the chemistry of the stratosphere by degrading into reactive nitrogen and hydrogen compounds. The reactive nitrogen compounds from the additional N<sub>2</sub>O mainly deplete ozone, while the reactive hydrogen compounds from CH<sub>4</sub> increase ozone by mitigating chlorine-driven ozone depletion.

Future levels of GHGs will modify the stratosphere, but projecting how CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub> will change in the future is very difficult because of changing economics, government policies, and feedback factors in the Earth system. Four possible greenhouse gas (CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O) projections have been developed for IPCC. These four GHG projections are radiative forcing outcomes that correspond to +2.6, +4.5, +6.0, and +8.5 W m<sup>-2</sup> of global radiative forcing by the year 2100. The “high” 8.5 W m<sup>-2</sup> scenario has steadily increasing CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O over the course of the 21<sup>st</sup> century. The “low” 2.6 W m<sup>-2</sup> forcing scenario has stabilized levels of N<sub>2</sub>O and decreasing levels of CO<sub>2</sub> and CH<sub>4</sub> in the 21<sup>st</sup> century. The 4.5 and 6.0 W m<sup>-2</sup> scenarios are intermediate global radiative forcings with increasing levels of CO<sub>2</sub> and varying levels of N<sub>2</sub>O and CH<sub>4</sub>.

### Highlight 6-1

**In the lower stratosphere, ozone depletion has been the dominant cause of the observed globally averaged long-term cooling since about 1980. In the upper stratosphere, models indicate that increasing greenhouse gases and ozone changes have made comparable contributions to the observed cooling.** [Chapter 4: Section 4.3.1]

Between 1979 and 1995, the global mean lower stratospheric temperature decreased by about 1°C but has since remained approximately constant, consistent with the approximately constant ozone abundance. Greenhouse gas increases have only had a minor contribution to cooling in this region, with volcanic aerosols driving episodic warming.

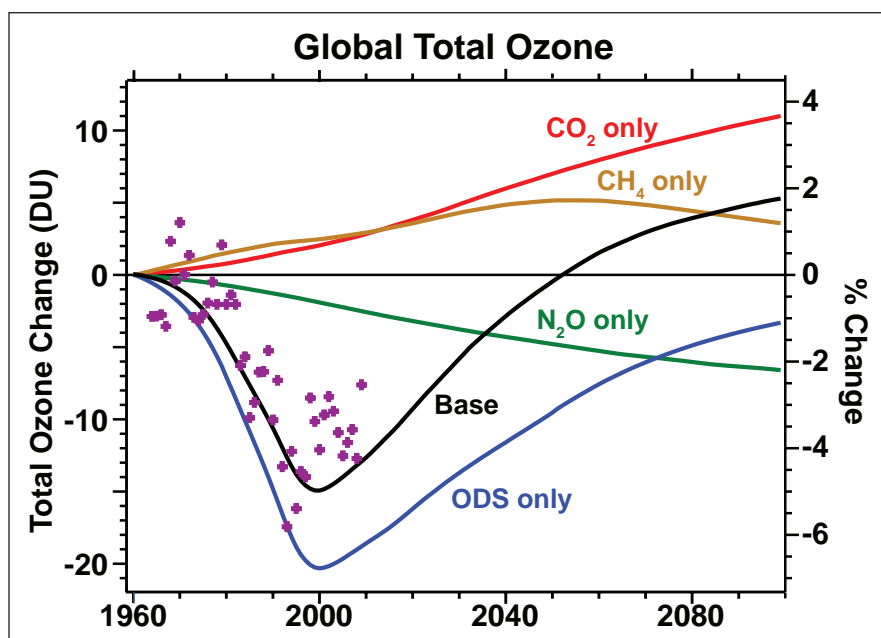
**The observed cooling of the Antarctic lower stratosphere since 1979 during austral spring is consistent with the average simulated cooling in models forced by observed ozone variations.** There is a large range in the magnitude of the simulated cooling: chemistry-climate models that underestimate the ozone depletion also underestimate the cooling.

In the middle and upper stratosphere, observed globally averaged temperatures decreased from 1979 to 2005, but the magnitude of the cooling is uncertain. While observations of upper stratospheric temperatures have continued since 2005 and indicate further cooling, there is currently no global satellite temperature record available for the upper stratosphere that would be homogeneous over the entire 1979 to 2013 period.

### Highlight 6-2

The evolution of the ozone layer in the late 21<sup>st</sup> century will largely depend on the atmospheric abundances of CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub>. Increases of CO<sub>2</sub>, and to a lesser extent N<sub>2</sub>O and CH<sub>4</sub>, will cool the stratosphere radiatively, elevating global ozone. The major impact on ozone of N<sub>2</sub>O and CH<sub>4</sub> is due to chemical processes. Increasing N<sub>2</sub>O will drive global ozone depletion, whereas rising CH<sub>4</sub> levels drive column ozone increases (see Figure ADM 6-1). [Chapters 2 and 3: Sections 2.4, 3.5]

As shown in Figure ADM 6-1, global ozone (magenta points) has declined, but future ozone levels (black line) will steadily increase. CO<sub>2</sub> increases alone (red line) lead to increasing global ozone levels. Higher N<sub>2</sub>O alone (green line) reduces column ozone, while higher CH<sub>4</sub> alone (brown line) increases column ozone, each by a few percent from 2020 to 2100, with the magnitude of these effects on ozone being comparable to what is expected from stratospheric cooling by CO<sub>2</sub> increases. The influence of each individual trace gas (CO<sub>2</sub>, N<sub>2</sub>O, or CH<sub>4</sub>) on ozone also depends on projections of the other gases, so that their combined impact on ozone is strongly scenario dependent (see Figure ADM 6-2).

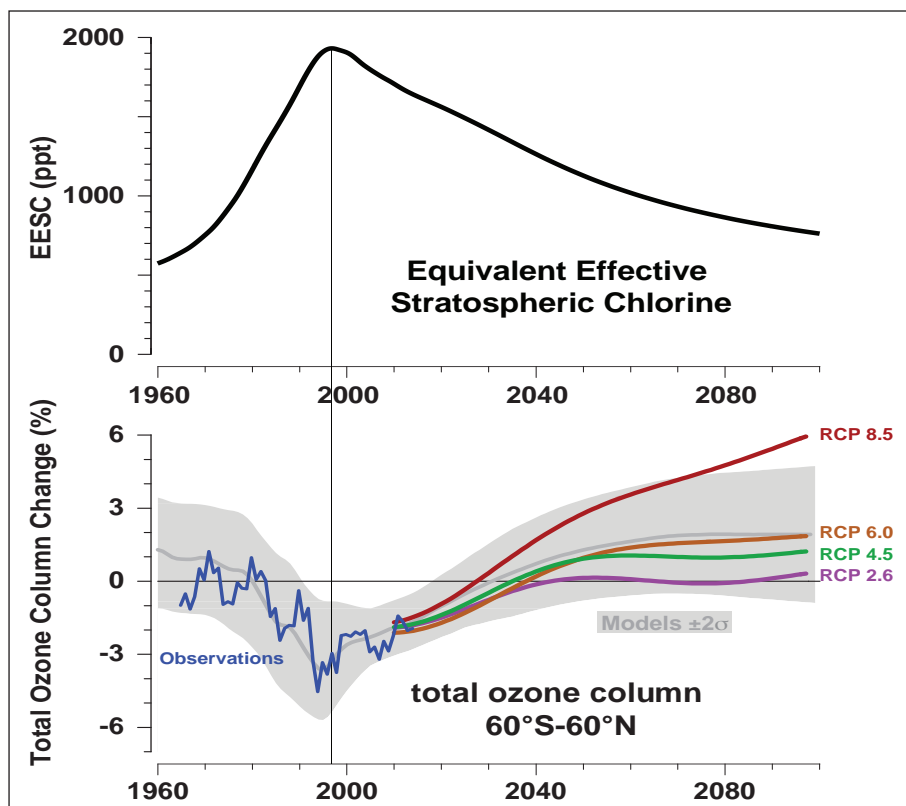


**Figure ADM 6-1.** Model-simulated global/annual averaged total ozone response to the changes in CO<sub>2</sub> (red line), CH<sub>4</sub> (brown line), N<sub>2</sub>O (green line), and ODSs (blue line). The total response to ODSs and GHGs combined is shown as the black line. The responses are taken relative to 1960 values. Future GHG concentrations are based on the IPCC SRES A1B (medium) scenario. Ground-based total ozone observations (base-lined to the mid-1960s) are shown as magenta cross symbols. Adapted from Figure 2-24 of Chapter 2.

The combined effects of future increased CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub> levels could bring forward the recovery of ozone by two to four decades.

**Models that include chemistry, climate, and ocean processes interactively show differing amounts of ozone changes for various Representative Concentration Pathway (RCP) greenhouse gas scenarios.** Figure ADM 6-2 shows how global ozone responds to these future RCP greenhouse gas scenarios. The 8.5 W m<sup>-2</sup> “high” radiative forcing scenario (red) shows a 6% increase above 1960–1980 ozone levels by 2100, whereas the 2.6 W m<sup>-2</sup> “low” scenario (magenta) shows a change of about 0% with respect to the 1960–1980 ozone level. These projected total ozone columns in 2100 differ by up to 20 DU in the global average. This range of change is comparable to the depletion caused to date by ODSs (see Figure ADM 6-2).

**Part of the considerable scenario uncertainty in future column ozone is due to differences in emissions of N<sub>2</sub>O and CH<sub>4</sub> between the various RCP scenarios.** We do not have much confidence in our understanding of the current budgets of N<sub>2</sub>O and CH<sub>4</sub> and explaining the recent changes in their atmospheric growth rate is a current scientific challenge; projections of their concentrations in the future are, therefore, uncertain.



**Figure ADM 6-2.** Top panel: Variation in EESC at midlatitudes between 1960 and 2100. Bottom panel: The average total column ozone changes over the same period, from multiple model simulations (see Chapter 2), are shown as a solid gray line. Observed column ozone changes between 1965 and 2013 are shown as the blue line. Four possible greenhouse gas ( $\text{CO}_2$ ,  $\text{CH}_4$ , and  $\text{N}_2\text{O}$ ) futures are shown. The four scenarios correspond to +2.6 (magenta), +4.5 (green), +6.0 (brown), and +8.5 (red)  $\text{W m}^{-2}$  of global radiative forcing. The "high" 8.5  $\text{W m}^{-2}$  (red) scenario has steadily increasing greenhouse gases during the 21<sup>st</sup> century. The "low" 2.6  $\text{W m}^{-2}$  (magenta) forcing scenario has stabilized levels of  $\text{N}_2\text{O}$  and decreasing levels of  $\text{CO}_2$  and  $\text{CH}_4$  in the 21<sup>st</sup> century. The 4.5 (green) and 6.0 (brown)  $\text{W m}^{-2}$  scenarios are intermediate forcing scenarios with increasing levels of  $\text{CO}_2$  and varying levels of  $\text{N}_2\text{O}$  and  $\text{CH}_4$ . See Figures 5-3 (EESC), 2-2 (ozone observations), and 2-23 (ozone model results) of Chapters 2 and 5.



## **ADDITIONAL INFORMATION OF INTEREST TO DECISION-MAKERS**

- **Metrics for Changes in Ozone and Climate**
  - **Scenarios and Sensitivity Analyses**



# Metrics for Changes in Ozone and Climate

*[Excerpted and adapted with slight modifications from Chapter 5 of the 2014 report of the Scientific Assessment Panel of the Montreal Protocol, "Scientific Assessment of Ozone Depletion: 2014." For references, tables, figures, and chapter sections mentioned in this excerpt, please refer to Chapter 5, available online at: [http://ozone.unep.org/en/assessment\\_panels\\_bodies.php?committee\\_id=7](http://ozone.unep.org/en/assessment_panels_bodies.php?committee_id=7) or [http://www.wmo.int/pages/prog/arep/gaw/ozone\\_2014/ozone\\_asst\\_report.html](http://www.wmo.int/pages/prog/arep/gaw/ozone_2014/ozone_asst_report.html)]*

For the purpose of this Assessment, metrics are defined as tools used for quantifying and comparing impacts of emissions from human activity. Typically they aggregate and simplify complex information about different substances, placing them on a common scale to enable comparison of impacts. Metrics such as Equivalent Effective Stratospheric Chlorine (EESC) and Ozone Depletion Potentials (ODPs) have proven to be important tools in policy considerations for addressing stratospheric ozone-layer depletion, while other metrics, including radiative forcing (RF) and Global Warming Potentials (GWPs), have proven to be useful tools in climate policy. These metrics have all been used in past Assessments of ozone and climate including the WMO Assessments. In addition, newer metrics, such as Global Temperature change Potentials (GTPs), are introduced in the discussion below.

Some of these metrics express the integrated impact of a given substance relative to that for the release of the same mass of a reference compound (generally CFC-11 for ODPs and CO<sub>2</sub> for GWPs and GTPs). For such metrics that use relative indices, some uncertainties in translating emissions into absolute environmental impacts tend to cancel, and the relative benefits of controlling emissions of different substances are highlighted. However, it should be recognized that the metrics discussed here do not represent the full complexity of the chemistry and physics of the atmosphere (e.g., where and when the ozone depletion occurs). Though simple, some caution is required when interpreting the values derived (e.g., how much are these values dependent on the background atmosphere assumed in their derivation). ODPs and GWPs have found widespread use in national regulatory actions and in international agreements such as the Montreal Protocol and the Kyoto Protocol.

## 5.3.1 METRICS FOR CHANGES IN OZONE

### Metrics for Ozone: The Basics

#### **Equivalent Effective Stratospheric Chlorine (EESC)**

EESC is a sum of the time-dependent chlorine and bromine derived from tropospheric abundances of ODSs weighted to reflect their potential influence on ozone. EESC has become a standard benchmark for estimating ozone depletion relative to a base period, usually taken as 1980 (a time before major ozone depletion). EESC relates surface mixing ratios of chlorine- and bromine-containing ODSs to the stratospheric inorganic chlorine and bromine released from these substances in key regions of the stratosphere and thus to the amount of ozone they will destroy (Daniel et al., 1995; WMO, 1995, 1999, 2003, 2007, 2011; also see Chapter 1). EESC also accounts for the larger efficiency of bromine to destroy stratospheric ozone compared to chlorine (on a per-atom basis) and differences in where in the stratosphere the ODSs release their chlorine and bromine. EESC has been reformulated (Newman et al., 2007) to account for the spread in the time it takes tropospheric air to get to a given location in the stratosphere, the so-called "age-of-air spectrum," and the age-of-air dependent fractional release values. Not only does this increase its accuracy, but EESC can also then be derived for various latitudes, including effects at midlatitudes or in the Antarctic vortex (Newman et al., 2009; WMO, 2011). The changes in integrated EESC and the date when EESC returns to 1980 levels have both been used in the previous WMO Assessments to quantify the relative impacts of future emissions of ODSs. In Section 5.4, EESC is used in the evaluation of scenarios for various assumptions about future emissions of halocarbons. The EESC concept has been further revised (Daniel et al., 2010) to account for the effects of nitrous oxide (N<sub>2</sub>O), the primary source for nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>) in the stratosphere.

EESC is calculated as in previous Assessments. The only difference between the calculations in the 2010 Ozone Assessment (WMO, 2011) and those here is that we now use an age spectrum for both midlatitude (3-year mean age) and Antarctic conditions (5.5-year mean age), while a full age spectrum was not used before. In both cases, we assume the width of the spectrum is equal to half of the average age (Newman et al., 2007). A complete discussion of the other aspects of the EESC calculation can be found in Chapter 5 of WMO (2011). As in that Assessment, we assume the relative impact of bromine compared to chlorine for ozone destruction, typically referred to as  $\alpha$ , is 60 at midlatitudes and 65 in polar regions.

#### **Ozone Depletion Potentials (ODPs)**

The concept of Ozone Depletion Potentials (ODPs) (Wuebbles 1981, 1983; Solomon et al. 1992; the various WMO Assessments) arose as a means of determining the relative ability of a chemical to destroy ozone. Steady-state ODPs are defined as the change in global



ozone for a sustained unit mass emission of a specific compound relative to the change in global ozone for the sustained unit mass emission of CFC-11 ( $\text{CCl}_3\text{F}$ ). This is equivalent to assuming an infinitesimal emission pulse and adding up all the ozone lost until all of the emitted gas is removed from the atmosphere. ODPs provide an important and relatively straightforward way of analyzing the potential for a new chemical to affect ozone relative to the chlorofluorocarbons (CFCs) and other chlorine-, bromine-, and iodine-containing halocarbons. It is also now being applied to non-halogenated compounds like nitrous oxide ( $\text{N}_2\text{O}$ ) (Ravishankara et al., 2009; Fleming et al., 2011; WMO, 2011) and methane ( $\text{CH}_4$ ) (Fleming et al., 2011). ODPs are currently determined by two different means: calculations from chemical transport models (CTMs) of the global atmosphere, and the semi-empirical approach that depends primarily on observations rather than models (Solomon et al., 1992; WMO, 2003, 2007, 2011). Both approaches have been shown to give very similar ODP values in previous Assessments.

Advantages and disadvantages of using ODPs have been discussed in the prior WMO Assessments. Because ODPs are defined relative to the ozone loss caused by CFC-11, it is generally thought that the ODP values demonstrate less sensitivity to photochemical modeling errors than do absolute ozone loss calculations, but this is only strictly true when used for other Cl-containing compounds with similar atmospheric lifetimes. Interpretation of non-halocarbon ODPs could be particularly problematic. For example, ODPs are normally derived relative to the current atmosphere, but there could potentially be some differences in values if they were calculated relative to a future atmosphere with different background composition, temperatures, or circulation.

Originally, the evaluation of ODPs was conducted largely for chemicals with atmospheric lifetimes sufficiently long ( $> \sim 1$  year) that they are well mixed throughout the troposphere after their emission at the surface, and a significant portion of the surface emissions can still reach the stratosphere. However, many of the compounds being considered either for new applications or as replacements for substances controlled under the Montreal Protocol are now designed to be very short-lived, on the order of days to a few months, so as to reduce the impacts on ozone and climate. Many of these very short-lived substances (VSLS) still contain chlorine, bromine, or iodine, and can be transported vertically into the lower stratosphere particularly through the tropical troposphere. A major complication with VSLS is that the compound can decompose into inorganic halogen compounds in the uppermost tropical troposphere, and chlorine, bromine, and iodine released from the stable gases are still transported, at least partially, to the stratosphere and hence an important uncertainty is the degree to which the inorganic halogens (e.g., HBr, HOBr) are scavenged during the removal of water vapor in ascent. Another issue is that basic assumptions of referencing to CFC-11 to cancel transport and other errors in the model clearly break down since the chemical removal processes are so different; nonetheless there is high value for policymakers in being able to use the modified form of the ODP concept for VSLS.

Due to the difficulties in calculating the dynamical and chemical processes affecting such short-lived compounds, three-dimensional (3-D) models fully representing the troposphere and stratosphere need to be used to predict the halogen loading and resulting effects on global ozone. As a result, the definition of ODPs has been revised for VSLS (Wuebbles et al., 2001; WMO, 2003, 2011; Pissot et al., 2010). The ODPs derived for VSLS now account for variations that can occur in the ODP as a function of where and when (geographic location and time of year) the compound is emitted. The most important factor in evaluating the ODP of VSLS is shown to be geographical distribution, or latitude, of the surface emissions because gases emitted at higher latitudes are less likely to reach the stratosphere before destruction than gases emitted in the tropics (Bridgeman et al., 2000; Olsen et al., 2000; Wuebbles et al., 2001). The discussion of updates to ODPs thus reflects this change in definition for VSLS.

### *Updating the Evaluation of ODPs*

There have been only a few published updates on ODP values since the last Assessment, with most of those concerning VSLS as discussed below. Papanastasiou et al. (2013) provide analyses of updated semi-empirical ODPs for several bromine-containing compounds (halon-1202, -1211, and -2402) using updated lifetimes computed with the NASA Goddard Space Flight Center (GSFC) 2-D atmospheric model (Fleming et al., 2011). Their analyses produced somewhat different ODP values compared to WMO (2011): 1.95 for halon-1202 vs. 1.7 in WMO (2011), 8.1 for halon-1211 vs. 7.9 in WMO (2011), and 18.4 for halon-2402 vs. 13.0 in WMO (2011).

New scientific results affect the earlier ODPs, especially from the reanalysis of atmospheric lifetimes in SPARC (2013). The revised SPARC (2013) recommended lifetimes are based on calculations with atmospheric chemical transport models, analysis of observations at the surface and in the stratosphere, laboratory analysis of chemical reactions and photolysis rates, and inverse modeling. In addition, the SPARC report provides uncertainties in the lifetimes of major halogenated ODS. The uncertainties in the lifetimes are considerable, ranging from 3% to 33% (one standard deviation,  $1\sigma$ ; also see Velders and Daniel (2014) for further discussion on these uncertainties). The SPARC (2013) atmospheric lifetimes are compared to those from WMO (2011) in Table 5-1 [included as ADM Appendix C of this document] (also see discussion of atmospheric lifetimes in Chapter 1). There are a number of differences, but the most important one to the derivation of ODPs is the change in lifetime of CFC-11 from 45 years to 52 years (+15%); because CFC-11 is in the denominator in ODP derivation, this change in lifetime decreases the values of all ODPs in WMO (2011) by 15%. Revisions in the lifetimes for other substances produce the other differences found in ODP values for "This Assessment" found in Table 5-2 [included as ADM Appendix D of this document].

The age-of-air spectrum from Newman et al. (2007) and the age-of-air dependent fractional release factors (FRFs, defined as age-of-air dependent ODS decomposition rates; also see Chapter 1) from Newman et al. (2006) were used in WMO (2011) for discrete ages-of-air for midlatitude (3 year) and Antarctic (5.5 year) conditions. A new analysis of the fractional release factor (FRF) for ten ODSs by Laube et al. (2013) gives values that are on average about 20% smaller than those derived by Newman et al. (2006) (see comparison in Table 5-1 [ADM Appendix C]). These have not been adopted for this Assessment, although their effect on ODP values is considered in the following discussion and in Chapter 1.

In Table 5-2 [ADM Appendix D], the steady-state semi-empirical ODPs for longer-lived halocarbons (those with an atmospheric lifetime greater than 0.5 year) are shown using the atmospheric lifetimes from WMO (2011) and those derived using the lifetimes from SPARC (2013). In general the derived ODP values in Table 5-2 [ADM Appendix D] are almost all smaller numerically (ranging from no change (for carbon tetrachloride, CCl<sub>4</sub>) to more than a factor of two smaller (for CFC-115), with most smaller by 10–30% than the values reported in WMO (2011), as expected given the longer lifetime for CFC-11. The one major exception is halon-2402, for which the lifetime in SPARC (2013) is appreciably longer than in WMO (2011).

The use of the Laube et al. (2013) FRFs also affects the semi-empirical ODPs, as shown by the values in parentheses in Table 5-2 [ADM Appendix D] (based on Velders and Daniel, 2014). Using both the lifetimes from SPARC (2013) and the fractional release values from Laube et al. (2013) results in small changes in ODPs of most species compared with the values reported in WMO (2011). The ODPs of the HCFCs show larger changes: the ODP of HCFC-22 decreases by 37%; that of HCFC-141b, by 40%; and that of HCFC-142b, by 64%. ODPs calculated from the fractional release values of Laube et al. (2013) and using the SPARC (2013) lifetimes are consistent with the assessed values in the Montreal Protocol and WMO (2011) except for HCFC-22, HCFC-141b, and HCFC-142b, all of which have much smaller values using the Laube et al. fractional release values. Uncertainties in the atmospheric lifetimes, the fractional release values, and atmospheric chemistry generally result in overall uncertainties on the order of 30% for the CFCs and CCl<sub>4</sub>, but are much higher for HCFCs and halons (roughly 55–58% for the HCFCs and halon-1301, to over 80% for halon-1202 and halon-1211), based on analyses by Velders and Daniel (2014). The 95<sup>th</sup> percentile confidence intervals are also shown in the table, as taken from Velders and Daniel (2014). They are shown when using the “most likely” and “possible” lifetime uncertainty ranges as presented in SPARC (2013).

Patten and Wuebbles (2010) evaluated the lifetimes and ODPs of (*E*)-1-chloro-3,3,3-trifluoropropylene ((*E*)-CHCl=CHCF<sub>3</sub>, HCFC-1233zd(*E*)) and (*E*)-1,2-dichloroethylene ((*E*)-CHCl=CHCl), assuming industrial emissions were to occur over all land surfaces in the latitude range 30°N to 60°N. These compounds are proposed foam blowing agents and electronic cleaning substances. Based on 3-D chemical transport model (CTM) calculations, the atmospheric lifetime of HCFC-1233zd(*E*) was 40 days with an ODP of 0.00034. The model-calculated lifetime is shorter than the boundary layer local lifetime given in Table 1-11 of Chapter 1 (250 days) and longer than the 26-day lifetime reported in Sulbaek Andersen et al. (2008) that was calculated using a specific OH concentration. For (*E*)-CHCl=CHCl the calculated lifetime and ODP were 12.7 days (42-day local lifetime in Table 1-11 of Chapter 1) and 0.00024, respectively. Patten et al. (2011) evaluated the lifetime and ODP of 2-bromo-3,3,3-trifluoropropene (CH<sub>2</sub>=CBrCF<sub>3</sub>), a suggested halon replacement for use in fire extinguishers. They reported a global annually averaged lifetime of 7 days and an ODP of 0.0028, when emissions were distributed between 30°N to 60°N, compared to the 24-day local lifetime given in Table 1-11 of Chapter 1. The differences in the model-calculated and estimated local lifetimes given in Table 1-11 highlight the dependence on the OH climatology used for the lifetime estimate.

Ravishankara et al. (1994) estimated that HFCs and other halocarbons with CF<sub>3</sub> groups, such as HFC-23, -125, and -134a, could lead to ODPs of at most 0.0005 because of degradation product reactions. While the fluorine in HFCs is largely thought to be inert to ozone, it can destroy a small amount of ozone (Ravishankara et al., 1994). This can occur by (barely) catalytic cycles involving FO<sub>x</sub> = F + FO and CF<sub>3</sub>O<sub>x</sub> = CF<sub>3</sub>O + CF<sub>3</sub>O<sub>2</sub> + CF<sub>3</sub>O<sub>2</sub>NO<sub>2</sub> families (e.g., Lary, 1997). Recent updates to relevant reaction rates suggest that the upper limits of the ODPs for such compounds are likely to be smaller (Sander et al., 2011), indicating that the effects of these compounds (not containing chlorine, bromine, or iodine) are unlikely to have a significant effect on stratospheric ozone.

### 5.3.2 METRICS FOR CHANGES IN CLIMATE

#### Metrics for Climate: The Basics

##### *Global Warming Potentials (GWPs)*

Many metrics are based on the concept of radiative forcing (RF), which is itself a metric. RF has been commonly used to compare different forcing agents (e.g., emissions of gases and particles) affecting climate in assessments of climate change (e.g., IPCC, 1990, 1995, 1996, 1999, 2000, 2001, 2007, 2009, 2013). Traditionally, the use of radiative forcing as a metric has been based on there being a clear relationship between the globally averaged forcing and the globally averaged annual mean surface temperature response at equilibrium. IPCC reports now also use Effective Radiative Forcing (ERF) to compare different climate change mechanisms (Forster et al. in IPCC 2007; Myhre et al., 2013). Forcings can only be accurately compared in a global mean sense, and not all forcings necessarily have the same efficiency or “efficacy” in causing climate to change. The IPCC 5<sup>th</sup> Assessment Report accounts better for the effects

of efficacy by using the concept of ERF. For RF, all surface and tropospheric conditions are assumed to be constant, while for ERF, all physical variables can respond to perturbations except for those concerning the sea surface temperatures and sea ice. The basis for ERF is to account for the rapid adjustments in the troposphere that occur in the climate system such as the effects on clouds. The inclusion of these adjustments makes ERF a better indicator of the eventual temperature response, especially from particles and other forcings on climate that have strong atmospheric responses on short timescales or have large spatial variations. By including many of the rapid adjustments that differ across forcing agents, the ERF concept includes much of their relative efficacy and therefore leads to more uniform climate sensitivity across agents than the traditional RF concept (Myhre et al., 2013). Because the rapid adjustments included in ERF differ in strength across climate models, the uncertainty range for ERF estimates tends to be larger than the range for RF estimates (Myhre et al., 2013). Nonetheless, for well-mixed gases, there is no significant difference between RF and ERF.

The Global Warming Potential (GWP) metric arose out of analyses done for the first IPCC Assessment and is still the most widely used emission metric and the general standard for metric discussion in climate Assessments (IPCC 1990, 1996, 1999, 2007). It represents the radiative forcing for either pulse or sustained emissions above the current background levels by integrating the radiative forcing over a specific time interval and comparing that integral to the forcing from an equal mass emission of carbon dioxide. A comparison of the GWPs for different gases allows an evaluation of their relative potential for affecting climate over a given timescale. The Kyoto Protocol and other climate-related policymaking also compares the effects of different emissions using GWPs with a 100-year time horizon, effectively mapping all greenhouse gas emissions into “CO<sub>2</sub>-equivalent emissions.” It has become common practice to use the 100-year time horizon for analyses of GWPs, but the choice of time horizon has no direct scientific basis (IPCC, 1990; Wuebbles, 1995; Myhre et al., 2013). Its choice is a value judgment since it depends on the relative weight assigned to effects at different times. Other important choices include the background atmosphere underlying the GWP calculations, and the way indirect effects and feedbacks are considered (Myhre et al., 2013).

Essentially, GWPs are a relative measure of the total energy added to the climate system by a component in question relative to that added by CO<sub>2</sub>. The GWP is approximately equal to the ratio (normalizing by the similar expression for CO<sub>2</sub>) of the *equilibrium temperature response due to a sustained emission* of the species or to the *integrated temperature response for a pulse emission* (assuming efficacies are equal for the gases that are compared) (Myhre et al., 2013; also see O'Neill, 2000; Prather, 2002; Peters et al., 2011; Azar and Johansson, 2012).

However, GWPs do not lead to equivalence with the temporal evolution of the temperature response or that of other climate variables. As a result, despite its existing use in policy considerations, there have been many critiques of the GWP concept. Metrics beyond radiative forcing and GWPs have been proposed but have not yet been used for policy decisions. The most prevalently discussed alternative metric is Global Temperature change Potential, also referred to as Global Temperature Potential (GTP).

### **Global Temperature change Potentials (GTPs)**

The GTP metric (Shine et al., 2005; Shine et al., 2007) gives the relative temperature increase on a per unit mass of emissions basis due to emissions of a greenhouse gas relative to that due to CO<sub>2</sub> emissions for the chosen time horizon. GTP takes into account the thermal inertia and response of the climate system, and provides a measure of the temperature responses of the different components for a specific time horizon. GTP is an end-point measure based on temperature change for a selected year. As with GWPs, the choice of time horizon has a strong effect on the metric. Like GWPs, GTPs can be used for weighting the emissions to obtain “CO<sub>2</sub> equivalents.”

GWPs and GTPs are fundamentally different by construction (see Figure 5-1 of Chapter 5) and different numerical values can be expected. By accounting for the climate sensitivity and the exchange of heat between the atmosphere and the ocean, GTPs include physical processes that GWPs do not. GTPs account for the slow response of the (deep) ocean, thereby prolonging the response to emissions beyond what is controlled by the decay time of the atmospheric concentration. GTPs include both the atmospheric adjustment timescale of the component considered and the response timescale of the climate system. However, GTPs also incorporate extra uncertainties relative to GWPs from including the climate response in the analysis, e.g., GTP values can be significantly affected by assumptions about the climate sensitivity and heat-uptake by the ocean (also see discussion in Myhre et al., 2013). As such, GTPs are sensitive to the specific climate model used in their derivation (e.g., see Olivé and Peters, 2013) and to the background scenario used in the analyses. As a result, the relative uncertainty ranges are potentially much wider for GTPs compared to GWPs.

Peters et al. (2011) provide additional useful insights to the GWP and GTP emissions metrics. They found that GWPs are a useful measure of the energy entering the climate system. GWPs and GTPs should be different as GTPs are an instantaneous measure while GWPs are an integrated measure of the system; that is, for the GTP the pathway of the forcing following a pulse emission is important, whereas the GWP depends only on the integral of the forcing. The ultimate choice of emission metric(s) and time horizon(s) depends on policy objectives. To the extent that limiting integrated temperature change over a specific time horizon is consistent with the broader objectives of climate policy, the analysis by Peters et al. suggests that the GWP concept represents a relatively robust, transparent, and policy-relevant emission metric, except for the short-lived gases, but GWPs are quite small for such gases.

See Box ADM 1-2 (page 11) for an explanation of radiative forcing (RF).

## Analyses of GWPs and GTPs

Updated GWPs and GTPs for many compounds based on the analyses in IPCC (Myhre et al., 2013) are shown in Table 5A-1 of the appendix of Chapter 5. Also shown are the atmospheric lifetimes and radiative efficiencies used in these analyses. Hodnebrog et al. (2013) provides further descriptions of the analyses of radiative efficiencies for many halocarbons and related compounds (the IPCC values for the GWPs and GTPs are largely based on those in Hodnebrog et al.). Absolute GWP and GTP (AGWP and AGTP) are the absolute integral of RF ( $\text{W m}^{-2}$  yr; using ERF if possible) and the absolute temperature change ( $^{\circ}\text{C}$ ) for a kg emission of the greenhouse gas. Climate-carbon feedbacks (i.e., feedbacks between climate change and the carbon cycle) are included in the AGWP and AGTP of  $\text{CO}_2$ , but not for the AGWP of the non- $\text{CO}_2$  gases; see discussion below. In the new IPCC analyses, there is an increase of approximately 1% and 6% relative to IPCC (2007) and WMO (2011) in the AGWP for  $\text{CO}_2$  for integrations of 20 and 100 years, respectively. As a result, many of the GWP values decrease slightly, but they also change because of changes in the lifetime and the radiative efficiency of the named greenhouse gas. This is the first time that values are provided for GTP in the Assessment. The derivation of GTP in IPCC (2013) assumes a climate sensitivity of  $1.06^{\circ}\text{C} (\text{W m}^{-2})^{-1}$ , equivalent to a  $+3.9^{\circ}\text{C}$  equilibrium response to  $2 \times \text{CO}_2$ , toward the higher end of the traditional range in climate sensitivity of 1.5 to  $4.5^{\circ}\text{C}$  for doubling of  $\text{CO}_2$ .

The IPCC (2013) GWP and GTP values do not include the changes in atmospheric lifetimes recommended by SPARC (2013). Table 5-5 [included here as ADM Appendix F] adjusts the IPCC GWPs and GTPs for the 24 halocarbons with recommended lifetimes from SPARC (2013). Halon-1211 and  $\text{CCl}_4$  were the only ODSs for which the lifetime was unchanged. The changes in GWPs and GTPs are roughly proportional to the changes in atmospheric lifetimes. Although there are some differences for all of the gases (except halon-1211 and  $\text{CCl}_4$ ), the largest differences in GWPs and GTPs relative to Table 5A-1 of Chapter 5 are found for CFC-11, CFC-115, halon-1301, halon-2402, halon-1202, HFC-125, and HFC-143a.

Uncertainties in GWP values based on the uncertainties given for radiative efficiencies, perturbation lifetimes, and in the AGWP for the reference gas  $\text{CO}_2$  are estimated in IPCC AR5 Chapter 8 (Myhre et al., 2013). The uncertainty in GWPs for gases with lifetimes of a few decades is estimated to be approximately  $\pm 25\%$  and  $\pm 35\%$  for 20 and 100 years, respectively. Velders and Daniel (2014) report uncertainties on a number of ODSs; their results suggest that the uncertainties differ substantially for different ODSs. Table 5-6 [included here as ADM Appendix G] shows the estimated uncertainty ranges in 20-year and 100-year GWPs for several HFCs first due to uncertainties in the SPARC (2013) lifetimes by themselves and then in combination with other uncertainties in evaluation of the full range of uncertainties.

For shorter-lived gases, the uncertainties in GWPs will be larger but the GWP values are also smaller. For GTPs, few uncertainty estimates are currently available in the literature. In IPCC, the results from Joos et al. (2013), Reisinger et al. (2010), and Boucher (2012) were used to assess an uncertainty for methane for a 100-year GTP of  $\pm 75\%$  (as compared to a range of 14 to  $+22\%$  for 100-year GWPs, based on Oliv   and Peters (2013)). The uncertainty in GTPs for longer-lived gases is much smaller (e.g.,  $-17$  to  $+24\%$  for  $\text{N}_2\text{O}$ ). We do not attempt to show the range of uncertainties for GTPs in this Assessment.

Values of the GWP and GTP metrics are dependent on what processes are included. Ideally all indirect effects should be taken into account. The indirect effects of  $\text{CH}_4$  on its own lifetime, tropospheric ozone, and stratospheric water have been traditionally included in its GWP (Prather, 1994; IPCC, 1995). The indirect effect of  $\text{N}_2\text{O}$  on its own lifetime has been considered since the IPCC 3<sup>rd</sup> Assessment Report (Prather, 1998; IPCC, 2001; Prather and Hsu, 2010). The WMO Assessments (e.g., WMO, 2007, 2011) have considered the indirect effects on stratospheric ozone from various halocarbons. In Table 5-7 [included here as ADM Appendix H], indirect GWPs based on IPCC (2013) for various halocarbons are updated using the approach for the ozone response first developed by Daniel et al. (1995). The resulting values are similar to those found in the previous Assessments.

It is also important to consider feedbacks between climate and the carbon cycle, effectively the additional amount of  $\text{CO}_2$  released from the warming caused by any greenhouse gas. Gillett and Matthews (2010) included climate-carbon feedbacks in calculations of the GWPs for  $\text{CH}_4$  and  $\text{N}_2\text{O}$  and found that this increased the values by  $\sim 20\%$  for the 100-year GWP. For GTPs they found an increase of  $\sim 80\%$ . The AGWP for the  $\text{CO}_2$  reference gas has included the climate-carbon feedback in the analyses of GWP in recent Assessments (WMO, 2011; IPCC, 2007, 2013). For the first time, Myhre et al. (2013) include analyses of these indirect climate-carbon feedback effects on GWPs and GTPs for many halocarbons. For many gases, the correction is sizeable, increasing the values of the GWPs and GTPs. However, uncertainties remain large, so more analysis is likely needed before this additional effect is included in policy considerations. Also, the GWPs for the combination from indirect effects on ozone depletion and from climate-carbon feedbacks have not been evaluated.





# Scenarios and Sensitivity Analyses

*[Excerpted and adapted from Chapter 5 of the 2014 report of the Scientific Assessment Panel of the Montreal Protocol, "Scientific Assessment of Ozone Depletion: 2014." For references, tables, figures, and chapter sections mentioned in this excerpt, please refer to Chapter 5, available online at: [http://ozone.unep.org/en/assessment\\_panels\\_bodies.php?committee\\_id=7](http://ozone.unep.org/en/assessment_panels_bodies.php?committee_id=7) or [http://www.wmo.int/pages/prog/arep/gaw/ozone\\_2014/ozone\\_asst\\_report.html](http://www.wmo.int/pages/prog/arep/gaw/ozone_2014/ozone_asst_report.html)]*

This section presents an analysis of a set of scenarios and hypothetical test cases that may be of use to decision-makers. The existing Montreal Protocol and its Amendments and adjustments provide the backdrop and a framework for these analyses. Options evaluated include the elimination of future production and future emissions in advance of current controls, and the recapture and destruction of banks in 2015 and 2020. Results are roughly linear, in that a decrease in 50% of future production will have about half the effect on ozone depletion and climate forcing as the scenario evaluated here in which all future production is eliminated. This Assessment does not evaluate the technical or economical feasibility of these options, but because of the linearity, these results can help guide policymakers in their environmental evaluation of feasible options.

## 5.4.1 TOOLS USED IN ANALYSES OF OZONE AND CLIMATE EFFECTS

As in WMO (2011), both EESC and climate-chemistry modeling studies are used in the scenario analyses relating to ozone impacts. As discussed earlier, EESC is a metric that relates the tropospheric concentration of source gases to their chemically active stratospheric products that are available to destroy ozone. It has been shown (Daniel et al., 2010) that the halogenated ODS mitigation options have about the same percentage impact on integrated EESC as on integrated global stratospheric total column ozone. Because of the computational ease of calculating EESC, an EESC analysis allows for a fast and accurate method for comparing potential ODS mitigation options involving halogenated species without running a full atmospheric model.

Typically, EESC has only been used for halocarbon source gases. However, surface N<sub>2</sub>O concentrations due to anthropogenic activity can also be included in EESC (Daniel et al., 2010). The calculation of N<sub>2</sub>O's contribution to ozone depletion, and thus to EESC, is complicated by other chemical interactions, such as the concentration of atmospheric chlorine and stratospheric aerosols (Ravishankara et al., 2009), but these obstacles are similar to those encountered by the chlorine- and bromine-containing substances. In this chapter, we do not include N<sub>2</sub>O in our standard EESC calculations, but we do include a set of sensitivity runs to show the degree to which the 2-D modeled ozone response compares with the N<sub>2</sub>O EESC response for an N<sub>2</sub>O mitigation option.

The NASA/Goddard Space Flight Center (GSFC) two-dimensional (2-D) coupled chemistry-radiation-dynamics model (Fleming et al., 2011) is used to evaluate the impact of various ODS and GHG scenarios on past and future ozone, including evaluation of the effects of changes of CO<sub>2</sub> and CH<sub>4</sub> that cannot readily be addressed by EESC as used here. While 3-D climate-chemistry modeling studies would be ideal for these scenario / test analyses, the computational and time requirements make most of these studies prohibitive for this Assessment. The GSFC 2-D model provides realistic simulations of meridional transport in the stratosphere on timescales >30 days, as seen by good model agreement with a variety of observations in reproducing transport-sensitive features in the meridional plane (Fleming et al., 2011). Since the computational efficiency of a zonally averaged 2-D model makes it possible to perform multiple long-term simulations in a reasonable amount of time, this 2-D climate-chemistry model is optimal for addressing the ozone-change scenarios discussed here. To be consistent with the model results reported in other Chapters, the model simulations presented here use the recommended chemical rate constants from Sander et al. (2011). Sensitivity simulations revealed that using the updated rate constants from SPARC (2013) resulted in a very minor impact on global total ozone, with changes less than ±0.2 Dobson units (DU).

Radiative forcing is used to quantify the potential effects of the various scenarios on climate. The radiative forcing is calculated with a radiative transfer model using the spatial distribution of mixing ratios determined from observations or calculated in the given atmospheric chemistry-climate model. For the halocarbons, radiative forcing is determined by multiplying the surface mixing ratio by the appropriate radiative efficiency (see Table 5A-1 of Chapter 5). The radiative forcing of N<sub>2</sub>O is based on the analyses in Annex II of IPCC (2013).

In addition to the previously discussed ozone depletion and climate metrics, integrated ODP- and GWP-weighted quantities are also shown in Table 5-8 (shown below, page 44) as another comparative tool.

## 5.4.2 BACKGROUND SCENARIO(S) FOR OZONE AND CLIMATE

To evaluate the impact of potential policy decisions on ozone depletion and climate change, a background or baseline scenario of mixing ratios from 1950 through 2100 has been developed for ODS halocarbons and N<sub>2</sub>O (and CH<sub>4</sub> and CO<sub>2</sub> in the 2-D model), against which other scenarios are compared. These alternative scenarios are consistent with various mitigation options and are discussed in more detail in Section 5.4.3 (see the "Alternative Future Scenarios" section below). The RCP6.0 scenario is used for the time evolution of CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O abundances in the background scenario.

The baseline scenario for the halocarbon ODSs is consistent with the current upper limits prescribed by the Montreal Protocol on Substances that Deplete the Ozone Layer, and it has been developed to be consistent with mixing ratio observations through the beginning of 2013 (see Chapter 1). In the years before atmospheric observations were made, mixing ratios have been estimated from reported production values and are very similar to values in WMO (2011). Future projections are determined from global lifetime estimates that have been recently updated (SPARC, 2013), future production amounts set to be the maximum allowed under the Montreal Protocol, and the same bottom-up bank estimates for 2008 as were used in WMO (2011). It is assumed that future releases of halocarbons from equipment and applications will continue at the same fractional rate as estimated over the period 2005 through 2011.

Figure 5-2 compares the current baseline scenario and alternative scenarios (see Section 5.4.3 [“Alternative Future Scenarios” section below] for a description of these scenarios) with the baseline scenario from WMO (2011). The most significant difference in terms of effects on EESC between the two baseline scenarios results from the longer estimated lifetimes for CFC-11 and CCl<sub>4</sub>. These lead to slower atmospheric decay and thus an increased contribution to EESC in the future. Lifetime estimate changes have no effect on historical mixing ratios since those are constrained by observations. Some of the largest relative mixing ratio changes occur for the HCFCs. These are primarily caused by the lower base level against which future HCFC production and consumption in Article 5 Parties are referenced in the current baseline compared with the one from WMO (2011); they are also partly due to a higher assumed level of production between 2009 and 2012 in the previous Assessment, before the freeze went into effect in 2013. The Article 5 base production level is defined in the Montreal Protocol as the average of the 2009–2010 production. In WMO (2011), it was estimated that the Article 5 base level for the HCFCs would be slightly more than 36 ODP-ktonnes; it is now known to be about 33 ODP-ktonnes. This affects the current HCFC production as well as the production and emissions for decades to come, since the future limits on production and consumption are prescribed by the Montreal Protocol to be a decreasing fraction of this base level over time.

Changing concentrations of CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O also affect stratospheric ozone and should be considered in analyses of ozone. CO<sub>2</sub> and CH<sub>4</sub> have never been included in the EESC formalism, and N<sub>2</sub>O's contribution to EESC has met with limited use. Therefore, in this chapter, we will consider the impact of these three gases in the 2-D model calculations, but not with the box model EESC analysis, except for a brief discussion of the estimated impact of N<sub>2</sub>O on EESC. The baseline scenario chosen for these compounds is taken to be the RCP6.0 scenario. While RCP6.0 is a mitigation scenario, it represents one choice of a central scenario around which we can explore the sensitivity of our results to a stronger mitigation scenario (RCP4.5) and a business-as-usual scenario (RCP8.5). This sensitivity analysis has been performed to explore the impact of this choice on the results, but in general, it has little effect on the impacts of the halocarbon mitigation scenarios in terms of either depletion of globally averaged total ozone or on climate forcing changes. However, the scenario choice could have local effects on the structure of ozone changes with altitude and latitude.

### 5.4.3 ALTERNATIVE FUTURE SCENARIOS

Future scenarios have been developed that reflect the impacts of various mitigation options to further reduce future ozone depletion. Because halocarbons and N<sub>2</sub>O are greenhouse gases, these scenarios will reduce climate forcing as well. For the ODS halocarbons, the mitigation options include capture and destruction of the banks, elimination of future production beginning in 2015, and elimination of future emissions beginning in 2015. Two sets of bank recapture scenarios have been performed, one for elimination of banks in 2015 and one for 2020. A comparison of these bank scenarios illustrates the reduced impact of the bank capture option on ozone and climate as the halocarbons are released into the atmosphere over this 5-year period and bank sizes are projected to decline for most ODSs. Because all post-2015 emission either originates from production after 2015 or from banks existing in 2015, the production elimination and bank capture and destruction scenarios can be approximately added together to reproduce the “no emission” scenario results. The reason that the results are not always perfectly additive is that some of the metrics quantified here are tied to the return of EESC to 1980 levels and this return time changes differently in each mitigation scenario. The production, bank, and emission scenarios are run for individual ODS groups to evaluate the impact of mitigation options for each group to the future ozone and climate metrics. These individual calculations allow for a straightforward evaluation of the relative importance of future production and bank sizes for each of the ODS groups considered.

Figure 5-2 shows future ODS concentration projections for the various mitigation options. The CFCs should have almost no additional production in the future scenarios and so all future emissions are assumed to originate from current equipment and applications. Thus, bank recapture and destruction is the only approach to reduce future mixing ratios of the CFCs. On the other hand, banks of CH<sub>3</sub>Br and CCl<sub>4</sub> may be small compared with their annual production; for these compounds, eliminating production is the way to reduce their future mixing ratios. Of course, as discussed in Chapter 1, there is a discrepancy between top-down emissions estimates derived from CCl<sub>4</sub> mixing ratio observations and reported production, with reported production too small to be able to account for the observed trend in abundances even if all production were emitted immediately. Thus, to the extent that there is additional unidentified emission that does not come from reported production, elimination of that emission could reduce future EESC and ozone depletion. In this Assessment we adopt current emissions of CCl<sub>4</sub> from the top-down estimates and assume that future emissions will decline at 6% per year in the absence of additional controls. HCFCs can be noticeably reduced in the future by both bank recapture and destruction and by production elimination. It is important to recognize that only emissions resulting from quarantine and pre-shipment (QPS) and critical-use exemption (CUE) applications are considered in our scenario calculations. While controlled uses are thought to lead to small emissions in comparison

to QPS emissions (see Chapter 1), we also neglect emissions associated with other activities, such as biomass burning and gasoline and biofuel usage. The baseline scenario for WMO (2011) is shown for comparison in Figure 5-2.

Figure 5-3 shows the impacts of the different mitigation options on total midlatitude EESC. The “No Future Emissions” curve represents the EESC levels to which we are committed even if no ODS are emitted from 2015 on. This limiting case assumes no further production and no release from existing banks. Both future production and current banks contribute to the elevation of EESC above this level in our baseline scenario approximately equally as shown by the various curves. The difference between the “Zero 2015 Bank” and “Zero 2020 Bank” curves illustrates the impact on EESC of waiting 5 years to capture and destroy the banks; this difference is largest just after 2020 and shrinks over time. Velders and Daniel (2014) have quantified the EESC uncertainty in a scenario that is similar to the baseline scenario shown in Figure 5-3. That calculated uncertainty is determined from uncertainty estimates in all the terms that are used in the EESC calculation. It is found that the  $2\sigma$  fractional EESC uncertainty when considering the “most likely” lifetime ranges is comparable to the maximum difference between the baseline scenario and the zero emissions scenario. Overall, the most important single factor to future EESC uncertainty is the uncertainty in the lifetimes of the ODSs.

Table 5-8 (next page) shows, as in WMO (2011), how different specific mitigation options affect integrated EESC, ODP- and GWP-weighted emissions, and the return to 1980 EESC levels. In terms of future emissions, HCFCs, halons, CFCs,  $\text{CCl}_4$ , and  $\text{CH}_3\text{Br}$  all contribute noticeably to increasing future integrated EESC, where the integration is stopped once total EESC drops below 1980 levels. If all ODS emissions were to be eliminated beginning in 2015, EESC for midlatitudes would return to 1980 levels 11 years sooner than in the baseline scenario. The most significant projected emissions for determining the return time arise from current halon, CFC, and HCFCs banks and future production of HCFCs, and  $\text{CH}_3\text{Br}$ . Future emissions of  $\text{CCl}_4$  are also projected to be important, but as discussed in Chapter 1 and in this chapter, the sources of these emissions are uncertain. Production of  $\text{CH}_3\text{Br}$  has been eliminated for many historical uses. However, production for quarantine and pre-shipment applications is not controlled and is currently the largest remaining emissive anthropogenic component of  $\text{CH}_3\text{Br}$  production. The elimination of future emissions from QPS uses could bring forward the date of EESC return to 1980 levels by 1.1 years, smaller than the 1.6 years estimated in the previous Assessment. Critical-use exemptions for  $\text{CH}_3\text{Br}$  also continue to be granted, but emissions arising from this production are substantially smaller than those from QPS activities. A continuation of critical-use exemptions at the current level would delay the return of EESC to 1980 levels by 0.2 years. For climate considerations, HCFCs play the largest role in future integrated GWP-weighted emissions, contributing almost two-thirds of the total by the ODS halocarbons. These emissions result primarily from future HCFC production, but current banks are also important. Future CFC emissions represent most of the remaining cumulative GWP-weighted emissions through 2050 and are due almost exclusively to current banks.

Table 5-8 also shows the changes in integrated global ozone levels for selected scenarios run with the 2-D model. Figure 5-4 (top panel) shows the two most significant scenarios: 1) no future ODS emissions, and 2) no future human-related  $\text{N}_2\text{O}$  emissions. Also shown is the effect of more modest  $\text{N}_2\text{O}$  mitigation on future ozone. Unlike the ODS halocarbon scenarios, this  $\text{N}_2\text{O}$  alternative mitigation scenario does not assume complete elimination of future production or emission.  $\text{N}_2\text{O}$  has a number of sources but a major one results from the use of fertilizers, i.e., it is to a large degree a by-product of global food production, and because there are no replacements for this use, we have adopted the “concentrated mitigation” scenario from UNEP (2013) for the alternative mitigation scenario here to compare with the baseline. Even though the reduction in  $\text{N}_2\text{O}$  is only a fraction of the total anthropogenic emissions, the results here are qualitatively consistent with WMO (2011): the impact of all anthropogenic  $\text{N}_2\text{O}$  emissions is very significant compared with the sum of all halocarbon emissions in terms of both ozone depletion and climate. When integrated through 2050, elimination of all anthropogenic  $\text{N}_2\text{O}$  emissions leads to a slightly larger reduction in future  $\text{CO}_2$ -equivalent emissions than would the elimination of all ODS halocarbon emissions. In terms of integrated ODP-weighted emissions, elimination of anthropogenic  $\text{N}_2\text{O}$  has about half the effect of an elimination of all ODS halocarbon emissions. The alternative  $\text{N}_2\text{O}$  mitigation scenario has an obviously smaller impact on global ozone by 2050.  $\text{N}_2\text{O}$ 's impact becomes relatively more important over time because the halocarbon production and consumption is phased out by the Montreal Protocol, while  $\text{N}_2\text{O}$  is projected to continue growing under many future scenarios, including those considered here. It must be recognized, however, that the quantitative impact of  $\text{N}_2\text{O}$  emissions mitigation depends on the baseline scenario chosen (RCP6.0 here). A higher baseline scenario will increase the impact of  $\text{N}_2\text{O}$  mitigation on future climate forcing and ozone depletion.

Figure 5-5 shows the relative importance of historical and future projected  $\text{N}_2\text{O}$  abundances on EESC relative to that of the ODS halocarbons for the baseline scenario used in the chapter. This exemplifies the increasingly important role that  $\text{N}_2\text{O}$  is expected play in the future if its emissions are not reduced. A similar response is seen in the 2-D model calculations of ozone with increasing  $\text{N}_2\text{O}$  but  $\text{CO}_2$  and  $\text{CH}_4$  fixed at 2000 levels, with increasing ozone flattening and even starting to decrease in the later part of the 21<sup>st</sup> century (Figure 5-4, bottom, green line). The upper panel of Figure 5-4 shows the relative impact of reducing or eliminating future  $\text{N}_2\text{O}$  emissions compared with that of eliminating future halocarbon ODS emissions on global average total ozone. While total future  $\text{N}_2\text{O}$  emissions cause substantially more depletion in the future than do future halocarbon ODS emissions, many of the  $\text{N}_2\text{O}$  emissions are expected to be very difficult to eliminate (UNEP, 2013). If the UNEP (2013)  $\text{N}_2\text{O}$  mitigation scenario is adopted, which was only analyzed to 2050 (Figure 5-4, top, red dash-dotted line), there is little difference relative to the baseline scenario and much less change than if the no future ODS emissions scenario were adopted (blue line). Again, however, the impact of  $\text{N}_2\text{O}$  mitigation is expected to grow past 2050, while that of ODS halocarbon mitigation will decrease.



**Table 5-8. Comparison of scenarios and cases<sup>a</sup>: the year when EESC drops below the 1980 value for both midlatitude and Antarctic vortex cases, and integrated EESC differences (midlatitude case) relative to the baseline (A1) scenario<sup>b</sup>.** Also shown are changes in integrated ODP- and GWP-weighted emissions and, for selected cases, integrated global ozone depletion from 2015–2050. Future changes in CH<sub>4</sub> and CO<sub>2</sub> may also significantly alter ozone levels, perhaps by amounts larger than any of the cases considered in this table. However, their effects are not included here because policy choices that would lead to reduced global O<sub>3</sub> depletion would require increased CH<sub>4</sub> and CO<sub>2</sub>, which would increase climate forcing.

Scenario and Cases	Percent Difference in Integrated EESC Relative to Baseline Scenario for the Midlatitude Case		Year When EESC is Expected to Drop Below 1980 Value	Antarctic vortex <sup>c</sup>	Change in Cumulative ODP-Weighted <sup>d</sup> Emission: 2015–2050	Change in Cumulative GWP-Weighted <sup>e</sup> Emission: 2015–2050	Percent Difference in Integrated O <sub>3</sub> Depletion <sup>f</sup> : 2015–2050
	Midlatitude <sup>b,c</sup>				(Million tonnes CFC-11-equivalent)	(Billion tonnes CO <sub>2</sub> -equivalent)	
	$\int_{1980}^x EESC \ dt$	$\int_{2015}^x EESC \ dt$					
<b>Scenarios</b>							
A1: Baseline scenario	-	-	2047.6	2073.3	0.0	0.0	
<b>Cases<sup>a</sup> of zero production from 2015 onward of:</b>							
P0: All ODSs	−5.9	−20	2042.8	2069.5	−0.91	−9.0	−0.30
CFCs	0.0	0.0	2047.6	2073.3	−0.00	−0.00	-
halons	0.0	0.0	2047.6	2073.3	−0.00	−0.00	-
HCFCs	−1.8	−6.4	2046.3	2072.6	−0.22	−7.8	−0.12
CH <sub>3</sub> Br for QPS	−1.6	−5.3	2046.5	2071.9	−0.13	−0.00	−0.07
CCl <sub>4</sub>	−2.8	−9.8	2045.3	2071.6	−0.56	−1.2	−0.11
<b>Cases<sup>a</sup> of zero emissions from 2015 onward of:</b>							
E0: All ODSs (does not include N <sub>2</sub> O)	−12	−40	2036.5	2061.4	−2.72	−18.5	−0.75
CFCs	−2.6	−8.9	2045.0	2069.6	−0.86	−4.7	−0.20
halons	−3.4	−12	2044.8	2070.1	−0.76	−0.24	−0.16
HCFCs	−3.7	−13	2045.3	2072.2	−0.41	−12.4	−0.19
CCl <sub>4</sub> <sup>g</sup>	−2.8	−9.8	2045.3	2071.6	−0.56	−1.2	−0.11
CH <sub>3</sub> CCl <sub>3</sub>	0	0	2047.6	2073.3	−0.00	−0.00	-
CH <sub>3</sub> Br for QPS	−1.6	−5.3	2046.5	2071.9	−0.13	−0.00	−0.07
Total anthropogenic N <sub>2</sub> O <sup>h</sup>	-	-	-	-	−6.69	−104	−0.88
N <sub>2</sub> O mitigation					−1.25	−19.5	−0.16
<b>Cases<sup>a</sup> of full recovery of the 2015 banks of:</b>							
B0: All ODSs	−7.3	−25	2041.3	2065.7	−1.80	−9.6	−0.44
CFCs	−2.6	−8.9	2045.0	2069.6	−0.86	−4.7	−0.20
halons	−3.4	−12	2044.8	2070.1	−0.76	−0.24	−0.16
HCFCs	−1.9	−6.4	2046.8	2072.9	−0.19	−4.6	−0.07

**Cases<sup>a</sup> of full recovery of the 2020 banks of:**

B0: All ODSs	−4.7	−16	2042.4	2066.8	−1.39	−8.1	−0.38
CFCs	−1.5	−5.3	2045.6	2070.3	−0.64	−3.3	-
halons	−2.0	−6.8	2045.4	2070.6	−0.56	−0.18	-
HCFCs	−1.6	−5.5	2046.5	2072.7	−0.19	−4.6	-

**CH<sub>3</sub>Br sensitivity:**

Same as A1,  
but critical-use  
exemptions  
continue at 2012  
levels

+0.2	+0.7	2047.8	2073.5	+0.02	+0.00	-
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<sup>a</sup> Significance of ozone-depleting substances for future EESC was calculated in the hypothetical “cases” by setting production or emission to zero in 2015 and subsequent years or the bank of the ODS to zero in the year 2015 or 2020.

<sup>b</sup> EESC is integrated until it returns to 1980 levels, denoted as year “x.”

<sup>c</sup> For midlatitude conditions, an average age-of-air of 3 years, corresponding fractional release values, and a bromine efficiency factor (alpha) of 60 are assumed. For Antarctic vortex conditions, an average age-of-air of 5.5 years, corresponding fractional release values, and an alpha value of 65 are assumed. In all cases, age spectra are applied as in Newman et al. (2007).

<sup>d</sup> Semi-empirical ODPs from Table 5-2.

<sup>e</sup> GWPs with 100-year time horizon (see Chapter 5, Appendix Table 5A-1).

<sup>f</sup> Integrated globally averaged total column ozone changes are taken from 2-D model runs described in Chapter 5.

<sup>g</sup> Banks are assumed to be zero. Emissions include uncertain sources such as possible fugitive emissions and unintended other emissions.

<sup>h</sup> The integrated ODP- and GWP-weighted emissions correspond to the reduction of anthropogenic N<sub>2</sub>O emissions from a business-as-usual case to a strong mitigation case (see text).

It is important to recognize that any future increases in CO<sub>2</sub> and CH<sub>4</sub> not only will have a substantial impact on climate forcing, but also are expected to lead to higher levels of globally averaged total ozone than if these greenhouse gases remained constant. So while CO<sub>2</sub> and CH<sub>4</sub> are likely not considered candidates for altering future ozone depletion themselves, it is important to be aware that policy options for halocarbon ODS and for N<sub>2</sub>O will be made against a backdrop of potentially large ozone changes due to CO<sub>2</sub> and CH<sub>4</sub>. The effects on ozone due to increasing CO<sub>2</sub> and CH<sub>4</sub> are discussed in detail in Chapter 2; a summary of the important mechanisms and ozone responses is provided here.

For most of the scenarios examined, increases in ozone arising from CO<sub>2</sub> and CH<sub>4</sub> increases may be comparable to or larger than the additional depletion caused by N<sub>2</sub>O increases. This behavior can be seen from the 2-D model calculations of global total ozone using the RCP6.0 scenario shown in Figure 5-4 (bottom). This illustrates the individual effects of future increases in CO<sub>2</sub> (red line), CH<sub>4</sub> (yellow line), and N<sub>2</sub>O (green line) in the presence of decreasing ODS, and can be compared with the impact due to only the decreasing ODS (in which the GHGs are all fixed at 2000 levels, blue line). As shown by comparing the red, yellow, and green lines with the blue line in Figure 5-4, increasing CO<sub>2</sub> leads to a substantial global ozone increase by 2100 (+2% relative to 1950) primarily due to stratospheric cooling, which reduces the ozone chemical loss rates (Haigh and Pyle, 1979). Note that these results are for global ozone and that more localized changes may differ (see below and Chapter 2). Another factor is that future CO<sub>2</sub>-induced stratospheric changes will indirectly affect ozone by somewhat mitigating the ozone depletion caused by N<sub>2</sub>O (see Figure ADM 6-1 and Section 2.4.3.1 of Chapter 2).

Compared to CO<sub>2</sub>, methane loading leads to a smaller global total ozone increase (yellow line in Figure 5-4, bottom). CH<sub>4</sub> causes ozone to increase by: 1) mitigation of the chlorine-ozone loss cycles in the stratosphere, and 2) enhanced NO<sub>x</sub>-induced ozone production in the troposphere and lowermost stratosphere following CH<sub>4</sub> oxidation (Brasseur and Solomon, 2005, and see Section 2.4.3.1 of Chapter 2). For total column ozone, these processes dominate the ozone reductions caused by the CH<sub>4</sub>-enhanced HO<sub>x</sub>-ozone loss cycles that are important primarily in the upper stratosphere (Revell et al., 2012). The slight decline of the CH<sub>4</sub>-induced total ozone change during the late 21<sup>st</sup> century in Figure 5-4 is caused by the decrease in methane in the RCP6.0 scenario. As atmospheric chlorine levels decline through the 21<sup>st</sup> century, future methane-induced changes in total ozone will be increasingly determined by the NO<sub>x</sub>-ozone production cycle in the troposphere and lowermost stratosphere. The large range in CH<sub>4</sub> among the RCP scenarios, mainly due to the very high methane of RCP8.5 (Section 2.4.3.2 of Chapter 2), is projected to produce a large range of future tropospheric ozone responses. For example, in 2100, CH<sub>4</sub> increases of 1.9–2.0 ppm (approximately the increase from present day to 2100 in RCP8.5) are projected to increase tropospheric column ozone by 3.5–5 DU (10–13%) (Brasseur et al., 2006; Kawase et al., 2011).

The baseline scenario, with all ODS and GHG effects included, gives an ozone level in 2100 that is slightly less (by 1 DU) than in 1960 (Figure 5-4, black line). This is generally similar to the CMIP5 CHEM multi-model mean for the RCP6.0 scenario in which stratospheric column ozone is 4 DU less in 2100 than 1960 (Eyring et al., 2013, see also Section 2.4.1 of Chapter 2). Scenarios with higher levels of CO<sub>2</sub> and CH<sub>4</sub> may cause ozone to obtain higher globally averaged levels than historically observed despite the fact that N<sub>2</sub>O levels contribute several DU of ozone depletion by 2100. This is seen in the CMIP5 multi-model mean for the RCP8.5 scenario in which global stratospheric column ozone in 2100 is greater (by 2 DU) than in 1960 (Eyring et al., 2013). Also, the SRES A1B (medium) scenario used in the CCMVal-2 simulations (also used in WMO (2011) and Section 2.4.1 of Chapter 2 of this Assessment) had higher levels of CO<sub>2</sub> and CH<sub>4</sub>, and lower N<sub>2</sub>O compared with RCP6.0; these factors all contribute to higher global ozone (by ~5 DU) in the A1B scenario during the mid-late 21<sup>st</sup> century (compare baseline simulations in Figure 2-24 (A1B) and Figure 5-4 (RCP6.0) which are from the same model). However, the ozone response to GHG forcing will likely differ in altitude and region. For example, the CO<sub>2</sub>-driven enhancement of the stratospheric circulation will increase lower stratospheric ozone at middle to high latitudes (Li et al., 2009). While global and midlatitude ozone may rise above historical levels, the CO<sub>2</sub>-driven circulation enhancement may lead to ozone decreases in the tropical lower stratosphere after 2050, as seen in the CCMVal-2 and CMIP5 simulations for different GHG scenarios (SPARC CCMVal, 2010; Eyring et al., 2013; see also Sections 2.3.5 and 2.4.1 of Chapter 2).

It is sometimes argued that the future projected “super-recovery” of stratospheric ozone, which can occur under certain scenarios of CO<sub>2</sub> and CH<sub>4</sub> future increased abundances, is a reason to not be concerned about increasing N<sub>2</sub>O. Scientifically however, it is clear that N<sub>2</sub>O increases in the future will lead to lower ozone levels (greater depletion) than if anthropogenic N<sub>2</sub>O emissions were mitigated. Depending on the particular CO<sub>2</sub>/CH<sub>4</sub>/N<sub>2</sub>O scenario, this may mean that levels of global total ozone before intervention from human emissions will never be attained again, or that there will be a delay in reaching those levels.

## TROPOSPHERIC ABUNDANCES OF CHLORINE- AND BROMINE-CONTAINING SUBSTANCES IN 2050

It is expected that the EESC in the midlatitudes will decline to the benchmark 1980 levels by 2050. The contributions of various chemicals and their sources that contribute to their 2050 level are given below, taken from information that is in Chapter 1 and Chapter 5.

### Abundances of various chlorine-containing ODSs in 2050 according to current projections and parsing of the concentrations between new production and the existing banks.

Source	EXPECTED Tropospheric Concentrations in 2050 (ppt Cl)			
	Total	From What Was in the Atmosphere in 2014	From Emission from New Production	From Emission from Banks
chlorofluorocarbons (CFCs)	1347	1268	0	79
methyl chloroform (CH <sub>3</sub> CCl <sub>3</sub> )	0	0	0	0
carbon tetrachloride (CCl <sub>4</sub> )	131	83	48	<b>a</b>
hydrochlorofluorocarbons (HCFCs) <b>b</b>	114	18	64	33
CH <sub>2</sub> Cl <sub>2</sub> and CHCl <sub>3</sub>	91	<b>c</b>	<b>c</b>	

**a:** It is assumed that there are no banks for CCl<sub>4</sub>.

**b:** Some numbers do not sum exactly to the “Total” value because of roundoff error.

**c:** The future emissions of CH<sub>2</sub>Cl<sub>2</sub> and CHCl<sub>3</sub> are not evaluated in this Assessment.

### Abundances of various bromine-containing ODSs in 2050 according to current projections and parsing of the concentrations between new production and the existing banks.

Source	Tropospheric Concentrations in 2050 (ppt Br)			
	Total	From What Was in the Atmosphere in 2014	Emission from New Production	Emission from Banks
anthropogenic CH <sub>3</sub> Br	0.4	0	0.4	0
halon-1211	0.77	0.42	0	0.35
halon-1301	2.83	2.02	0	0.81
halon-2402	0.38	0.24	0	0.14

# APPENDICES

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## **B. Chapter Scientific Summaries**

## **C. Atmospheric Lifetimes for Selected Long-Lived Halocarbons**

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## **G. Lifetime and Full Uncertainty Estimates of Global Warming Potentials (GWPs) of Selected Hydrofluorocarbons (HFCs)**

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(Table 5-7, Chapter 5)

## **I. Mixing Ratios of the ODSs Considered in the Baseline (A1) Scenario**

(Table A5-2, Chapter 5)

## **J. Acronym**

## **K. Chemical Formulae**



# APPENDIX A

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Twenty Questions and Answers About the Ozone Layer: 2014 Update

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## APPENDIX B

### Chapter Scientific Summaries

#### Chapter 1 Update on Ozone-Depleting Substances (ODSs) and Other Gases of Interest to the Montreal Protocol

##### SCIENTIFIC SUMMARY

*Changes in the global atmospheric abundance of a substance are determined by the balance between its emissions and removal. Declines observed for ozone-depleting substances (ODSs) controlled under the Montreal Protocol are due to global emission reductions that have made emissions smaller than removals. Most ODSs are potent greenhouse gases. As the majority of ODSs have been phased out, demand for hydrochlorofluorocarbon (HCFC) and hydrofluorocarbon (HFC) substitutes for the substances controlled under the Montreal Protocol has increased; these are also greenhouse gases. HCFCs deplete much less ozone per kilogram emitted than chlorofluorocarbons (CFCs), while HFCs essentially deplete no ozone.*

**The amended and adjusted Montreal Protocol has continued to reduce emissions and atmospheric abundances of most controlled ozone-depleting substances. By 2012, the total combined abundance of anthropogenic ODSs in the troposphere (measured as Equivalent Chlorine) had decreased by nearly 10% from its peak value in 1994.**

**The contributions to the overall decline in tropospheric chlorine (Cl) and bromine (Br) from substances and groups of substances controlled and not controlled under the Montreal Protocol have changed since the previous Assessment.** The observed declines in total tropospheric Cl and Br from controlled substances during the 5-year period 2008–2012 were  $13.4 \pm 0.9$  parts per trillion (ppt)/yr and  $0.14 \pm 0.02$  ppt/yr, respectively.<sup>11</sup>

##### *Substances controlled under the Montreal Protocol*

- $-13.5 \pm 0.5$  ppt Cl/yr from chlorofluorocarbons (CFCs)
- $-4.1 \pm 0.2$  ppt Cl/yr from methyl chloroform ( $\text{CH}_3\text{CCl}_3$ )
- $-4.9 \pm 0.7$  ppt Cl/yr from carbon tetrachloride ( $\text{CCl}_4$ )
- $-0.07 \pm 0.01$  ppt Cl/yr from halon-1211
- $+9.2 \pm 0.3$  ppt Cl/yr from hydrochlorofluorocarbons (HCFCs)
- $-0.06 \pm 0.02$  ppt Br/yr from halons
- $-0.08 \pm 0.02$  ppt Br/yr from methyl bromide ( $\text{CH}_3\text{Br}$ )

##### *Substances not controlled under the Montreal Protocol*

- $-1.7 \pm 1.3$  ppt Cl/yr from methyl chloride ( $\text{CH}_3\text{Cl}$ )
- $+1.3 \pm 0.2$  ppt Cl/yr from very short-lived chlorine compounds (predominantly dichloromethane,  $\text{CH}_2\text{Cl}_2$ )

### Tropospheric Chlorine

**Total tropospheric chlorine from ODSs continued to decrease between 2009 and 2012 to 3300 parts per trillion (ppt) in 2012.** The observed decline in controlled substances of  $13.4 \pm 0.9$  ppt Cl/yr during 2008–2012 was in line with the A1 (baseline) scenario of the 2010 Assessment.

#### **Of total tropospheric Cl in 2012:**

- **CFCs, consisting primarily of CFC-11, -12, and -113, accounted for  $2024 \pm 5$  ppt (about 61%) and are declining.** Their relative contribution is essentially unchanged from the 2010 Assessment (62% in 2008).
- **$\text{CCl}_4$  accounted for  $339 \pm 5$  ppt (about 10%).** While our current understanding of the budget of  $\text{CCl}_4$  is incomplete, mole fractions of  $\text{CCl}_4$  declined largely as projected based on prior observations and the A1 scenario of the 2010 Assessment during 2009–2012.
- **HCFCs accounted for  $286 \pm 4$  ppt (8.7%).** In total, the rate of increase for the sum of HCFCs has slowed by 25% since 2008 and has been lower than projected in the 2010 Assessment.
- **$\text{CH}_3\text{CCl}_3$ , the largest contributor to the decrease in total tropospheric chlorine until around 2005, accounted for only  $16 \pm 1$  ppt (0.5%).** This is 50% less than in 2008 (32 ppt) and a 95% reduction from its mean contribution to the total Cl decline during the 1980s. The fraction is declining in line with the A1 scenario of the 2010 Assessment.

<sup>11</sup> All uncertainties are one standard deviation unless otherwise specified.

- **CH<sub>3</sub>Cl accounted for 540 ± 5 ppt (about 16%) and has remained essentially constant since 2008.** This gas is emitted predominantly from natural sources.
- **Very short-lived compounds (VSLs) contribute approximately 3%.**

**Global emissions of HCFCs remain substantial, but relative emissions of individual constituents have changed notably since the last Assessment.** Emissions of HCFC-22 have stabilized since 2008 at around 370 gigagrams per year (Gg/yr). HCFC-142b emissions decreased in the same period. In contrast emissions of HCFC-141b have increased since the last Assessment, in parallel with reported production and consumption in Article 5 Parties.

**Estimated sources and sinks of CCl<sub>4</sub> remain inconsistent with observations of its abundance.** The estimate of the total global lifetime (26 years) combined with the observed CCl<sub>4</sub> trend in the atmosphere (–1.1 to –1.4 ppt/yr in 2011–2012) implies emissions of 57 (40–74) Gg/yr, which cannot be reconciled with estimated emissions from net reported production. New evidence indicates that other poorly quantified sources, unrelated to reported production, could contribute to the currently unaccounted emissions.

**Three CFCs (CFC-112, -112a, -113a) and one HCFC (HCFC-133a) have recently been detected in the atmosphere.** These four chlorine-containing compounds are listed in the Montreal Protocol and contribute about 4 ppt or ~ 0.1% toward current levels of total chlorine, currently adding less than 0.5 ppt Cl/yr. Abundances of CFC-112 and CFC-112a are declining and those of CFC-113a and HCFC-133a are increasing. The sources of these chemicals are not known.

## Stratospheric Inorganic Chlorine and Fluorine

**Hydrogen chloride (HCl) is the major reservoir of inorganic chlorine (Cl<sub>y</sub>) in the mid- to upper stratosphere. Satellite-derived measurements of HCl (50°N–50°S) in the mid- to upper stratosphere show a mean decline of 0.6% ± 0.1%/yr between 1997 and 2012. This is consistent with the measured changes in controlled chlorinated source gases.** Variability in this decline is observed over shorter time periods based on column measurements above some ground-based sites, likely due to dynamic variability.

**Measured abundances of stratospheric fluorine product gases (HF, COF<sub>2</sub>, COClF) increased by about 1%/yr between 2008 and 2012.** This is consistent with increases in measured abundances of fluorinated compounds and their degradation products. The increase was smaller than in the beginning of the 1990s, when the concentrations of fluorine-containing ODSs were increasing more rapidly.

## Tropospheric Bromine

**Total organic bromine from controlled ODSs continued to decrease in the troposphere and by 2012 was 15.2 ± 0.2 ppt, approximately 2 ppt below peak levels observed in 1998.** This decrease was close to that expected in the A1 scenario of the 2010 Assessment and was primarily driven by declines in methyl bromide (CH<sub>3</sub>Br), with some recent contribution from an overall decrease in halons. Total bromine from halons had stopped increasing at the time of the last Assessment, and a decrease is now observable.

**CH<sub>3</sub>Br mole fractions continued to decline during 2008–2012, and by 2012 had decreased to 7.0 ± 0.1 ppt, a reduction of 2.2 ppt from peak levels measured during 1996–1998.** These atmospheric declines are driven primarily by continued decreases in total reported consumption of CH<sub>3</sub>Br from fumigation. As of 2009, reported consumption for quarantine and pre-shipment (QPS) uses, which are exempted uses (not controlled) under the Montreal Protocol, surpassed consumption for controlled (non-QPS) uses. As a result of the decrease in atmospheric CH<sub>3</sub>Br, the natural oceanic source is now comparable to the oceanic sink.

## Stratospheric Inorganic Bromine

**Total inorganic stratospheric bromine (Br<sub>y</sub>), derived from observations of bromine monoxide (BrO), was 20 (16–23) ppt in 2011, and had decreased at ~0.6 ± 0.1%/yr between peak levels observed in 2000–2001 and 2012.** This decline is consistent with the decrease in total tropospheric organic Br based on measurements of CH<sub>3</sub>Br and the halons.

## Equivalent Effective Stratospheric Chlorine (EESC)

*EESC is a sum of chlorine and bromine derived from ODS tropospheric abundances weighted to reflect their expected depletion of stratospheric ozone. The growth and decline in EESC depends on a given tropospheric abundance propagating to the stratosphere with varying time lags (on the order of years) associated with transport. Therefore the EESC abundance, its peak timing, and its rate of decline, are different in different regions of the stratosphere.*

**By 2012, EESC had declined by about 10% in polar regions and about 15% in midlatitudes from their peak values, with CH<sub>3</sub>CCl<sub>3</sub>, CH<sub>3</sub>Br, and CFCs contributing approximately equally to these declines.** This drop is about 40% of the decrease required for EESC in midlatitudes to return to the 1980 benchmark level, and about 20% of the decrease required for EESC in polar regions to return to the 1980 benchmark level.



## Very Short-Lived Halogenated Substances (VSLS)

VSLS are defined as trace gases whose local lifetimes are comparable to, or shorter than, interhemispheric transport timescales and that have non-uniform tropospheric abundances. These local lifetimes typically vary substantially over time and space. As in prior Assessments, we consider species with annual mean lifetimes less than approximately 6 months to be VSLS. Of the VSLS identified in the current atmosphere, brominated and iodinated species are predominantly of oceanic origin, while the chlorinated species have significant industrial sources. These compounds will release their halogen atoms nearly immediately once they enter the stratosphere. The current contribution of chlorinated VSLS to Equivalent Chlorine (ECI) is about one-third as large as the contribution of VSLS brominated gases. Iodine from VSLS likely makes a minor contribution to ECI.

**Total chlorinated VSLS source gases increased from 84 (70–117) ppt in 2008 to 91 (76–125) ppt in 2012 in the lower troposphere.** Dichloromethane ( $\text{CH}_2\text{Cl}_2$ ), a VSLS that has predominantly anthropogenic sources, accounted for the majority of this change, with an increase of ~60% over the last decade.

**The estimated contribution of chlorinated VSLS to total stratospheric chlorine remains small.** A lack of data on their concentrations in the tropical tropopause layer (TTL) limits our ability to quantify their contribution to the inorganic chlorine loading in the lower stratosphere. Current tropospheric concentrations of chlorinated VSLS imply a source gas injection of 72 (50–95) ppt, with 64 ppt from anthropogenic emissions (e.g.,  $\text{CH}_2\text{Cl}_2$ ,  $\text{CHCl}_3$ , 1,2 dichloroethane ( $\text{CH}_2\text{ClCH}_2\text{Cl}$ ), tetrachloroethene ( $\text{CCl}_2\text{CCl}_2$ )). The product gases are estimated to contribute 0–50 ppt giving a total of ~95 ppt (50–145 ppt) against a total of 3300 ppt of chlorine from long-lived ODSs entering the stratosphere.

**There is further evidence that VSLS contribute ~5 (2–8) ppt to a total of ~20 ppt of stratospheric bromine.** Estimates of this contribution from two independent approaches are in agreement. New data suggest that previous estimates of stratospheric  $\text{Br}_y$  derived from  $\text{BrO}$  observations may in some cases have been overestimated, and imply a contribution of ~5 (2–8) ppt of bromine from VSLS. The second approach sums the quantities of observed, very short-lived source gases around the tropical tropopause with improved modeled estimates of VSLS product gas injection into the stratosphere, also giving a total contribution of VSLS to stratospheric bromine of ~5 (2–8) ppt.

## Updated Lifetime Estimates

**The uncertainties of estimated lifetimes for key long-lived ozone-depleting and related substances are better quantified following the SPARC Lifetimes Assessment (Stratosphere-troposphere Processes And their Role in Climate, 2013).** Of note is the change in the estimated lifetime of CFC-11 (revised from 45 yr to 52 yr). The estimate of the total global lifetime of  $\text{CCl}_4$  (26 yr) remains unchanged from the previous Assessment, although estimates of the relative importance of the multiple loss processes have been revised.

## Other Trace Gases That Directly Affect Ozone and Climate

**The emissions of CFCs, HCFCs, and HFCs in terms of their influence on climate (as measured by gigatonnes of carbon dioxide ( $\text{CO}_2$ )-equivalent emissions) were roughly equal in 2012. However, the emissions of HFCs are increasing rapidly, while the emissions of CFCs are going down and those of HCFCs are essentially unchanged.** The 100-year GWP-weighted emissions for the sum of CFC, HCFC, and HFC emissions was 2.2 Gt  $\text{CO}_2$ -equivalent in 2012. The sum of GWP-weighted emissions of CFCs was  $0.73 \pm 0.25$  Gt  $\text{CO}_2$ -equivalent/yr in 2012 and has decreased on average by  $11.0 \pm 1.2\%$ /yr from 2008 to 2012. The sum of HCFC emissions was  $0.76 \pm 0.12$  Gt  $\text{CO}_2$ -equivalent/yr in 2012 and has been essentially unchanged between 2008 and 2012. Finally, the sum of HFC emissions was  $0.69 \pm 0.12$  Gt  $\text{CO}_2$ -equivalent/yr in 2012 and has increased on average by  $6.8 \pm 0.9\%$ /yr from 2008 to 2012. The HFC increase partially offsets the decrease by CFCs. Current emissions of HFCs are, however, are less than 10% of peak CFC emissions in the early 1990s ( $>8$  Gt  $\text{CO}_2$ -equivalent/yr).

**From 2008 to 2012 the global mean mole fraction of nitrous oxide ( $\text{N}_2\text{O}$ ), which leads to ozone depletion in the stratosphere, increased by 3.4 parts per billion (ppb), to 325 ppb.** With the atmospheric burden of CFC-12 decreasing,  $\text{N}_2\text{O}$  is currently the third most important long-lived greenhouse gas contributing to radiative forcing (after  $\text{CO}_2$  and methane ( $\text{CH}_4$ )).

**Methane ( $\text{CH}_4$ ) is an important greenhouse gas and influences stratospheric ozone. In 2012 the average background global mole fraction of  $\text{CH}_4$  was 1808 ppb, with a growth rate of 5–6 ppb/yr from 2008 to 2012.** This is comparable to the 2006–2008 period when the  $\text{CH}_4$  growth rate began increasing again after several years of near-zero growth. The renewed increase is thought to result from a combination of increased  $\text{CH}_4$  emissions from tropical and high-latitude wetlands together with increasing anthropogenic (fossil fuel) emissions, though the relative contribution of the wetlands and fossil fuel sources is uncertain.

**Hydrofluorocarbons (HFCs) used as ODS substitutes are increasing in the global atmosphere.** The most abundant HFC, HFC-134a, reached a mole fraction of nearly 68 ppt in 2012 with an increase of 5 ppt/yr (7.6%) in 2011–2012. HFC-125, -143a, and -32 have similar or even higher relative growth rates than HFC-134a, but their current abundances are considerably lower.

**Worldwide emissions of HFC-23, a potent greenhouse gas and by-product of HCFC-22 production, reached a maximum of ~15 Gg in 2006, decreased to ~9 Gg in 2009, and then increased again to reach ~13 Gg/yr in 2012.** While efforts in non-Article 5 Parties mitigated an increasing portion of HFC-23 emissions through 2004, the temporary decrease in emissions after 2006 is consistent with

destruction of HFC-23 in Article 5 Parties owing to the Clean Development Mechanism (CDM) of the Kyoto Protocol. The average global mole fraction of HFC-23 reached 25 ppt in 2012, with an increase of nearly 1 ppt/yr in recent years.

**Mole fractions of sulfur hexafluoride (SF<sub>6</sub>), nitrogen trifluoride (NF<sub>3</sub>), and sulfuryl fluoride (SO<sub>2</sub>F<sub>2</sub>) increased in recent years.** Global averaged mole fractions of SF<sub>6</sub> reached 7.6 ppt in 2012, with an annual increase of 0.3 ppt/yr (4%/yr). Global averaged mole fractions of NF<sub>3</sub> reached 0.86 ppt in 2011, with an annual increase of 0.1 ppt/yr (12%/yr). Global averaged mole fractions of SO<sub>2</sub>F<sub>2</sub> reached 1.8 ppt in 2012, with an annual increase of 0.1 ppt/yr (5%/yr). The considerable increases for these entirely anthropogenic, long-lived substances are caused by ongoing emissions.

## Chapter 2 Update on Global Ozone: Past, Present, and Future

### SCIENTIFIC SUMMARY

#### Past Changes in Total Column Ozone

*This chapter deals with the evolution of global ozone outside of the polar regions. The increase of ozone-depleting substance (ODS) concentrations caused the large ozone decline observed from 1980 to the mid-1990s. Since the late 1990s, concentrations of ODSs have been declining due to the successful implementation of the Montreal Protocol. As reported in the last Assessment, global ozone levels have remained stable since 2000. Ozone columns observed in the last four years have largely remained in the range observed since 2000.*

*Over the next decades we expect increasing global-mean stratospheric ozone columns, as ODSs decline further. Climate change and emissions of greenhouse gases, especially carbon dioxide (CO<sub>2</sub>), methane (CH<sub>4</sub>), and nitrous oxide (N<sub>2</sub>O), also affect the evolution of global stratospheric ozone, particularly in the second half of the 21<sup>st</sup> century, when ODS concentrations are expected to be low.*

- Compared to 1964–1980 total column ozone, ground-based and space-based observations show that present-day (circa 2008–2013) ozone columns are:
  - lower by about 2% for the near-global average (60°S–60°N), compared to 2.5% reported in the last Assessment;
  - lower by about 3.5% in the Northern Hemisphere (35°N–60°N), as reported in the last Assessment;
  - lower by about 6% in the Southern Hemisphere (35°S–60°S), as reported in the last Assessment. The larger depletion in the Southern Hemisphere is linked to the Antarctic ozone hole; and
  - almost unchanged in the tropics (20°S–20°N), as in the last Assessment.
- **Ground- and space-based observations indicate that near-global (60°S–60°N) column ozone has increased by around 1% ± 1.7% (2 sigma) between 2000 and 2013.** However, there is substantial disagreement among the data sets about the magnitude and statistical significance of this increase. Two out of three independent data sets show increases at the upper end; one recently updated data set shows an increase at the lower end. The CCMVal-2 multi-model mean predicts a 1% increase between 2000 and 2013 for the near-global (60°S–60°N) column ozone.
- **Total column ozone (dominated by lower stratospheric ozone) displays large, dynamically forced year-to-year variability in the middle and high latitudes, exemplified by unusually high ozone in 2010 and low ozone in 2011 in the Northern Hemisphere, and low ozone in 2006 in the Southern Hemisphere.** The recent decline (15% since 1997) in concentrations of ODSs, as described by Equivalent Effective Stratospheric Chlorine (EESC), is expected to have had only a small impact on total ozone recovery (approximately 3 Dobson units (DU), or 1%, since 2000). Separation of the small recent ODS-related ozone increase from the large natural variability (up to 15 DU or 5% change from one year to the next) can currently not be made with a high level of confidence.

#### Past Changes in Ozone Profiles

*Additional and improved data sets have strengthened our ability to assess ozone profile changes over the last 10 to 15 years. Data from the upper stratosphere now confirm the significance of ozone increases that were already suggested in the last Assessment. Large ozone variability in the lower stratosphere complicates the identification of long-term ozone changes in this region. Chemistry-climate model (CCM) simulations that include realistic time variations of greenhouse gas (GHG) and ODS concentrations capture changes in the ozone profile that agree quite well with those observed. These CCM simulations provide a means of attributing changes in ozone to different processes.*

- **Measurements show a statistically significant increase in upper stratospheric ozone (35–45 km altitude) in middle latitudes and the tropics since around 2000.** Following a large observed decline of 5–8% per decade through the 1980s and middle 1990s, ozone has increased by 2.5–5% per decade over the 2000 to 2013 period.
- **About half of the upper stratospheric ozone increase after 2000 can be attributed to the decline of ODS since the late 1990s.** Increasing CO<sub>2</sub> concentrations have led to a cooling of the upper stratosphere. CCM simulations reveal that, between the 1980s and the present this has contributed to an increase in ozone concentrations. Before the middle 1990s, this ozone increase was substantially



smaller than the ozone decrease caused by ODS increases. From 2000 to 2013, the ozone increase arising from the decline in ODS concentrations is of comparable magnitude to that caused by upper stratospheric cooling.

- **As reported in the last Assessment (WMO, 2011), CCMs consistently show a long-term decline of ozone in the lowermost tropical stratosphere by up to 20% between 1960 and 2060.** This modeled ozone decline is caused by an increase in the strength of upwelling in the tropical lower stratosphere. This increased upwelling is associated with a strengthening Brewer-Dobson circulation caused by GHG-induced climate change.
- **In-situ and space-based observations reveal that ozone concentrations in the lowermost tropical stratosphere have declined by as much as 10% between 1984 and 2005.** There are several additional data sets available since 2002. Continued ozone decreases are not detected in the presence of large natural variability during 2002–2013. This observed behavior is consistent with that computed in CCMs, which also show periods of strong interannual and decadal variability.

## Future Ozone Changes

*The chemistry-climate model simulations used in the last Assessment are still the main source for projection of future ozone levels and the dates of return of ozone to 1980 levels. Declining ODS concentrations, upper stratospheric cooling because of increased CO<sub>2</sub>, and the possible strengthening of the Brewer-Dobson circulation from climate change are all likely to affect recovery of global column ozone, with different relative contributions in various latitude regions.*

- Estimates of the likely return dates of total column ozone concentrations to their 1980 values have not changed since the last Assessment. The best estimates are:
  - by midcentury for global mean annually averaged ozone;
  - between 2015 and 2030 for annually averaged Northern Hemisphere midlatitude ozone;
  - between 2030 and 2040 for annually averaged Southern Hemisphere midlatitude ozone; and
  - for annual average tropical column ozone, slowly increasing until the middle of the 21<sup>st</sup> century, before leveling off at values about 0–3% below 1980s columns.
- **The updated lifetimes estimated for ODSs in the SPARC lifetimes report have no significant impact on model projections of future ozone evolution.**
- **Projections of future ozone levels depend substantially on the assumed scenario of greenhouse gas (GHG) emissions, especially in the later half of the 21<sup>st</sup> century.** Six chemistry-climate model simulations show that projected total ozone columns in 2100 differ by up to 20 DU or 7% in the global average, by up to 40 DU or 12% in midlatitudes, and by up to 10 DU or 4% in the tropics between minimum and maximum radiative forcing Representative Concentration Pathway scenarios for future CO<sub>2</sub>, N<sub>2</sub>O, and CH<sub>4</sub> emissions. These new estimates of scenario uncertainty are broadly consistent with previous estimates from different models and scenarios reported in the last Assessment. Our confidence in the magnitude of this scenario uncertainty remains low because of the small number of models and scenarios assessed.
- **Part of the scenario uncertainty in future column ozone is due to differences in emissions of N<sub>2</sub>O and CH<sub>4</sub> between different scenarios.** Increases of stratospheric N<sub>2</sub>O and CH<sub>4</sub> impact the chemical cycles relevant for ozone. Higher N<sub>2</sub>O emissions tend to reduce column ozone, whereas higher CH<sub>4</sub> tends to increase column ozone, each by a few percent from 2020 to 2100. The magnitude of these effects on ozone is comparable to what is expected from stratospheric cooling by CO<sub>2</sub> increases. The influence of each individual trace gas on ozone also depends on emissions of the others, meaning that their impacts on ozone are strongly scenario dependent.
- **Given that ODS levels remain high, a large enhancement of stratospheric sulfate aerosol in the next decade, e.g., due to a volcanic eruption of the same size as Mt. Pinatubo, could result in chemical losses of at least 2% in total ozone columns over much of the globe.** Confidence in this conclusion is strengthened because the long-standing puzzle about the midlatitude hemispheric asymmetry in the midlatitude ozone response to Mt. Pinatubo aerosols is now much better understood. Studies have shown that enhanced ozone transport in the Brewer-Dobson circulation more than compensated the enhanced chemical loss in the Southern Hemisphere.

## Chapter 3 Update on Polar Ozone: Past, Present, and Future

### SCIENTIFIC SUMMARY

## Polar Ozone Changes

As stated in the previous Assessments, ozone-depleting substance (ODS) levels reached a maximum in the polar regions around the beginning of this century and have been slowly decreasing since then, consistent with the expectations based on compliance

with the Montreal Protocol and its Amendments and adjustments. Considering the current elevated levels of ODSs, and their slow rate of decrease, changes in the size and depth of the Antarctic ozone hole and in the magnitude of the Arctic ozone depletion since 2000 have been mainly controlled by variations in temperature and dynamical processes.

- **Over the 2010–2013 period, the Antarctic ozone hole continued to appear each spring.** The continued occurrence of an Antarctic ozone hole is expected because ODS levels have declined by only about 10% from the peak values reached at the beginning of this century.
- **Larger year-to-year variability of Antarctic springtime total ozone was observed over the last decade compared to the 1990s.** The main driver of this pronounced variability has been variations in meteorological processes, notably the occurrence of dynamically induced disturbances of the Antarctic polar vortex.
- **A small increase of about 10–25 Dobson units (DU) in springtime Antarctic total ozone since 2000 can be derived by subtracting an estimate of the natural variability from the total ozone time series.** However, uncertainties in this estimate and in the total ozone measurements preclude definitive attribution of this increase to the reduction of ODSs over this period.
- **Exceptionally low ozone abundances in the Arctic were observed in spring of 2011.** These low ozone levels were due to anomalously persistent low temperatures and a strong, isolated polar vortex in the lower stratosphere that led to a large extent of halogen-induced chemical ozone depletion, and also to atypically weak transport of ozone-rich air into the vortex from lower latitudes. State-of-the-art chemical transport models (CTMs), which use observed winds and temperatures in the stratosphere together with known chemical processes, successfully reproduce the observed ozone concentrations.

## Understanding of Polar Ozone Processes

Since the last Assessment, new laboratory measurements have strengthened our knowledge of polar ozone loss processes. Simulations using updated and improved models have been tested using the wealth of currently available measurements from satellites, ground-based networks, and dedicated campaigns.

- **CTMs are generally able to reproduce the observed polar chlorine activation by stratospheric particles and the rate of the resulting photochemical ozone loss.** Since the last Assessment, better constraint of a key photochemical parameter based on recent laboratory measurements, i.e., the ClOOCl (ClO dimer) photolysis cross section, has increased confidence in our ability to quantitatively model polar ozone loss processes in CTMs.
- **Chemistry-climate models (CCMs), which calculate their own temperature and wind fields, do not fully reproduce the range of polar ozone variability.** Most CCMs have limitations in simulating the temperature variability in polar regions in winter and spring, as well as the temporal and spatial variation of the polar vortex.

## Future Changes in Polar Ozone

Projections of future ozone levels in this Assessment are mainly based on the CCM simulations used in the last Assessment. Individual studies using results from climate models provide new insights into the effects of carbon dioxide (CO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), and methane (CH<sub>4</sub>) on future polar ozone levels by the end of this century.

- **Arctic and Antarctic ozone abundances are predicted to increase as a result of the expected reduction of ODSs.** A return to values of ozone in high latitudes similar to those of the 1980s is likely during this century, with polar ozone predicted by CCMs to recover about 20 years earlier in the Arctic (2025–2035) than in the Antarctic (2045–2060). Updated ODS lifetimes have no significant effect on these estimated return dates to 1980 values.
- **During the next few decades, while stratospheric halogens remain elevated, large Arctic ozone loss events similar to that observed in spring 2011 would occur again under similar long-lasting cold stratospheric conditions.** CCM simulations indicate that dynamic variability will lead to occasional cold Arctic winters in the stratosphere but show no indication for enhanced frequency of their occurrence.
- **Climate change will be an especially important driver for polar ozone change in the second half of the 21<sup>st</sup> century.** Increases in CO<sub>2</sub> concentrations will lead to a cooling of the stratosphere and increases in all greenhouse gases are projected to strengthen the transport of ozone-rich air to higher latitudes. Under conditions of low halogen loading both of these changes are anticipated to increase polar ozone amounts. The changes are expected to have a larger impact on ozone in the Arctic than in the Antarctic due to a larger sensitivity of dynamical processes in the Northern Hemisphere to climate change. Polar ozone levels at the end of the century might be affected by changing concentrations of N<sub>2</sub>O and CH<sub>4</sub> through their direct impact on atmospheric chemistry. The atmospheric concentrations of both of these gases are projected to increase in most future scenarios, but these projections are very uncertain.
- **Substantial polar ozone depletion could result from enhancements of sulfuric aerosols in the stratosphere during the next few decades when stratospheric halogen levels remain high. Such enhancements could result from major volcanic eruptions**

**in the tropics or deliberate “geoengineering” efforts.** The surface area and number density of aerosol in polar regions are important parameters for heterogeneous chemistry and chlorine activation. The impact of sulfur dioxide (SO<sub>2</sub>) injection of either natural or anthropogenic origin on polar ozone depends on the halogen loading. In the next several decades, enhanced amounts of sulfuric acid aerosols would increase polar ozone depletion.

## Chapter 4 Stratospheric Ozone Changes and Climate

### SCIENTIFIC SUMMARY

*Since the last Assessment, new research has better quantified the impact of stratospheric ozone changes on climate. Additional model and observational analyses are assessed which examine the influence of stratospheric ozone changes on stratospheric temperatures and circulation, Southern Hemisphere tropospheric circulation and composition, surface climate, oceans, and sea ice.*

- **Stratospheric ozone changes are the dominant driver of observed globally averaged long-term temperature changes in the lower stratosphere.** Between 1979 and 1995 global mean lower stratospheric temperature decreased by about 1 K but has since remained approximately constant.
  - Models broadly reproduce the evolution of global mean lower stratospheric temperature change. Stratospheric ozone changes are the dominant driver of these changes, with volcanic aerosol driving episodic warming, and greenhouse gas increases having only a minor contribution.
  - Observed mid- and upper-stratospheric temperatures decreased from 1979 to 2005, but the magnitude of the cooling is uncertain. A newly reprocessed data set of satellite measurements exhibits substantially different cooling trends compared to the existing data set. Models indicate that increasing greenhouse gases, as well as ozone changes, both made comparable contributions to observed cooling in the mid and upper stratosphere.
  - There was little overall change in global lower stratospheric water vapor concentration between 2000 and 2012, based on satellite measurements, which show a decrease between 2000 and 2004 followed by an increase to 2012.
  - The observed cooling of the Antarctic lower stratosphere since 1979 during austral spring is consistent with the average simulated cooling in models forced with observed ozone depletion. There is a large range in the magnitude of the simulated cooling, with models that underestimate the ozone depletion also underestimating the temperature trends.
- **Climate models consistently predict a long-term increase in the strength of the Brewer-Dobson circulation due to greenhouse gas increases, with important impacts on stratospheric and tropospheric composition.**
  - The predicted increase in the strength of the Brewer-Dobson circulation extends throughout the depth of the stratosphere.
  - Observations of changes in temperature, ozone, and trace gases over the past three to five decades are suggestive of increased upwelling in the tropical lower stratosphere, consistent with a strengthening of the shallow branch of the Brewer-Dobson circulation predicted by models. There is large uncertainty in changes in the deep branch of the Brewer-Dobson circulation inferred from observations in the mid and upper stratosphere.
  - Stratospheric ozone recovery and an acceleration of the Brewer-Dobson circulation in the future would both tend to increase the global tropospheric ozone burden. The projected net changes in tropospheric ozone and other compounds vary regionally and are scenario and model dependent.
- **Stratospheric temperature changes due to Antarctic ozone depletion are very likely the dominant driver of the observed changes in Southern Hemisphere tropospheric circulation in summer over recent decades, with associated surface climate and ocean impacts.**
  - The contribution of Antarctic ozone depletion to the observed increase in the Southern Annular Mode index in austral summer is substantially larger in most models than the contribution from greenhouse gas increases over the past three to five decades. An increase in this index corresponds to a decrease in atmospheric pressure at high latitudes, an increase at midlatitudes, and a poleward shift of the midlatitude jet. The role of ozone depletion is largest in summer. Observations and models suggest smaller Southern Annular Mode trends in other seasons.
  - Stratospheric ozone depletion has likely contributed to the observed expansion of the Southern Hemisphere Hadley Cell in austral summer.

- Climate models simulate a poleward shift of the Southern Hemisphere midlatitude maximum in precipitation and a moistening of the subtropics in response to stratospheric ozone depletion in austral summer. There is some evidence of a consistent pattern of trends in observations.
- Observational and modeling studies present a broadly consistent picture of the ocean's response to surface wind stress changes, which have likely been substantially caused by stratospheric ozone changes, with intensification of the subtropical ocean gyres and the meridional overturning circulations, and a subsurface warming. The impact of these wind stress changes on oceanic carbon uptake remains uncertain. The role of ocean eddies, which modify the ocean circulation and temperature response to wind stress changes, is better understood than at the time of the last Ozone Assessment, but remains a source of uncertainty.
- The influence of stratospheric ozone depletion on Antarctic sea ice increases reported in the last Ozone Assessment is not supported by a number of new coupled modeling studies. These suggest that ozone depletion drives a decrease in Southern Hemisphere sea ice extent and thus did not lead to the small observed increase. However, there is low confidence in this model result because of large uncertainties in the simulation of Antarctic sea ice.
- No robust link between stratospheric ozone changes and Northern Hemisphere tropospheric climate has been found, consistent with the conclusions of the previous Ozone Assessment.
- **There is further evidence that in austral summer over the next 50 years, Antarctic stratospheric ozone recovery and increases in greenhouse gases will have opposite effects on the Southern Hemisphere tropospheric circulation, with associated surface climate and ocean impacts.**
  - Ozone recovery is expected to drive a weakening and equatorward shift of the midlatitude jet, while increases in greenhouse gases are expected to drive a strengthening and poleward shift of the jet. Under a low greenhouse gas emissions scenario, ozone recovery is expected to dominate the effect of greenhouse gas increases on Southern Hemisphere tropospheric circulation in austral summer to give a weakening and equatorward shift of the midlatitude jet over the next 50 years, whereas in a high emissions scenario the jet is projected to continue to strengthen and shift poleward.
  - An equatorward shift in the Southern Hemisphere Hadley Cell boundary and extratropical rainfall in summer is simulated in response to ozone recovery. These changes offset a scenario-dependent fraction of projected greenhouse-gas induced changes in these variables.
  - Simulations from multiple models indicate that if the concentrations of ozone-depleting substances (ODSs) had continued to increase in the absence of the Montreal Protocol, the enhanced ozone depletion from uncontrolled ODSs would be expected to have led to substantial additional cooling in the Antarctic polar stratosphere, with associated changes in Southern Hemisphere circulation and rainfall patterns.
- **New estimates of global mean ozone radiative forcing due to emissions of ozone-depleting substances, which account for stratospheric ozone change and its indirect effect on tropospheric ozone, indicate a stronger surface cooling effect than that due to stratospheric ozone changes alone.**
  - The overall global mean ozone radiative forcing from the effects of ODS emissions on both tropospheric and stratospheric ozone is assessed to be  $-0.15$  ( $-0.3$  to  $0$ ) watts per square meter ( $\text{W m}^{-2}$ ) in 2011. Approximately three quarters of this results from ozone changes in the stratosphere.
  - Models indicate that ODS-induced stratospheric ozone depletion has acted to decrease tropospheric ozone. This ODS-driven decrease in tropospheric ozone contributes to the overall negative ozone radiative forcing, although the magnitude is uncertain.
  - The radiative forcing due to observed decreases in stratospheric ozone concentration alone is estimated to be  $-0.05$   $\text{W m}^{-2}$  ( $-0.15$  to  $0.05$ )  $\text{W m}^{-2}$  in 2011. A rapid adjustment to radiative forcing may also arise from cloud changes, resulting from the circulation changes driven by ODS-induced ozone depletion. The radiative effect of this cloud adjustment may be of a larger magnitude than the non-adjusted forcing.
  - Uncertainty in future lower stratospheric ozone trends in the tropics precludes a confident assessment of the sign of future stratospheric ozone radiative forcing. Current models give a range of stratospheric ozone radiative forcing of  $-0.05$  to  $+0.25$   $\text{W m}^{-2}$  in 2100 under a high greenhouse gas emissions scenario, which is generally suggestive of a slight warming contribution relative to present.

## Chapter 5 Scenarios and Information for Policymakers

### SCIENTIFIC SUMMARY

A new baseline scenario for ozone-depleting substances (ODSs) is presented in Chapter 5 that reflects our current understanding of atmospheric mixing ratios, production levels, and bank sizes. Elimination of future emissions, from either production or existing banks of various ODSs, is applied to this scenario to evaluate the maximum impacts of various hypothetical policy options including phase-outs and destruction (see Table S5-1). Some specific findings corresponding to this table include:

- **Emissions from the current banks (taking 2015 as being current) over the next 35 years are projected to lead to greater future ozone depletion and climate forcing than those caused by future ODS production.** Capture and destruction of these banks could avoid 1.8 million Ozone Depletion Potential-tonnes (ODP-tonnes) of future emission through 2050; this compares with an estimated 1.6 million ODP-tonnes of emissions that have occurred over the last decade (from 2005–2014).
- **Of all of the ODS banks, the banks of halons in 2015 are projected to contribute most to ozone depletion over the next 35 years (as Equivalent Effective Stratospheric Chlorine, EESC), while chlorofluorocarbon (CFC) and hydrochlorofluorocarbons (HCFC) banks are expected to contribute most in terms of Global Warming Potential-weighted (GWP-weighted) emissions.**
- **If future production and all ODS 2015 banks are considered, HCFCs represent the halocarbon group that contributes most to future GWP-weighted emissions. HCFCs can be reduced in the future by both bank recapture and destruction and by production elimination.**

**Table S5-1. Summary of mitigation options for accelerating the recovery of the ozone layer and reducing CO<sub>2</sub>-equivalent emissions.** The table gives the reductions in integrated EESC (Equivalent Effective Stratospheric Chlorine) and integrated CO<sub>2</sub>-equivalent emissions relative to the baseline scenario that can be achieved for mitigation options beginning in 2015 or 2020. The integrated EESC is defined as the total EESC amount integrated from 2015 until the time EESC returns to the 1980 level (before 2050 for all scenarios). Bank recapture and destruction is assumed to be 100% effective and either applies to the bank existing in 2015 or the bank existing in 2020. Any potential contribution from very short-lived substances is neglected. These calculations use the lifetimes derived from SPARC, 2013 (Stratosphere-troposphere Processes And their Role in Climate).

Substance or Group of Substances	Reductions (%) in Integrated Mid-latitude EESC Integrated from 2015 until EESC Returns to 1980 Levels		Reduction in Cumulative GWP-Weighted Emissions from 2015 to 2050 (Gigatonnes of CO <sub>2</sub> -equivalent)	
	2015 bank	2020 bank	2015 bank	2020 bank
<b>Bank recapture and destruction in 2015 or 2020:</b>				
CFCs	8.9	5.3	4.7	3.3
halons	12	6.8	0.2	0.2
HCFCs	6.4	5.5	4.6	4.6
<b>Production elimination from 2015 onward:</b>				
HCFCs	6.4		7.8	
CH <sub>3</sub> Br (only quarantine and pre-shipment)	5.3		0.0	
<b>Total emissions elimination from 2015 onward:</b>				
CCl <sub>4</sub>	9.8		1.2	
CH <sub>3</sub> CCl <sub>3</sub>	0.0		0.0	
HFCs	0.0		Up to 165*	

\* Reduction relative to hypothetical future upper range scenario and would depend on actual growth rate of HFC use.



- **The impact on ozone-layer recovery of further policy actions on already controlled ozone-depleting substances is becoming smaller.** Nonetheless, if all ODS emissions – including those emanating from many widely dispersed banks – were to be stopped in 2015, then the return to 1980 midlatitude EESC values would be brought forward to 2036 compared with 2047 in the baseline scenario.
- **Updated Ozone Depletion Potentials (ODPs) are almost all numerically smaller, ranging from no change (for carbon tetrachloride, CCl<sub>4</sub>) to more than a factor of two smaller (for CFC-115), with most of these smaller by 10–30% than the values reported in WMO (2011).** These changes largely reflect the revised estimate for the atmospheric lifetime of CFC-11 (from 45 to 52 years) reported in SPARC (2013); CFC-11 is the reference gas in determining ODPs so this change affects all ODPs. Uncertainties in the atmospheric lifetimes, the fractional release values, and atmospheric chemistry generally result in overall uncertainties in ODPs on the order of 30% for the CFCs and CCl<sub>4</sub>, but are higher for HCFCs and halons (about 60% for the HCFCs and halon-1301, to over 80% for halon-1202 and halon-1211).
- **New atmospheric model studies continue to emphasize that ODPs for very short-lived substances (VSLS) that contain bromine or chlorine are strongly dependent on the geographic location and season of emission.** Impacts from VSLS are much larger (with ODPs approaching values of 1) if emissions occur in regions close to convective regions in the tropics. There is still insufficient research available to confidently compare the mitigation options of anthropogenic VSLS emissions with those of the longer-lived halogenated hydrocarbons; overall the VSLS have smaller ODPs than longer-lived ODS. However, if long-lived controlled halocarbons (and their banks) follow their projected decline, then chlorine- and bromine-containing anthropogenic VSLS emissions will play a relatively larger role in future ozone depletion, but the absolute effects are smaller than that of ODSs today while remaining uncertain.
- **The projection of CCl<sub>4</sub> remains more uncertain than projections for other ODSs due to our incomplete understanding of the current CCl<sub>4</sub> budget (likely a missing source; see Chapter 1).** In the scenarios examined (see table above), CCl<sub>4</sub> human-related emissions from 2015 through 2050 are comparable to those of the HCFCs in terms of ODP-weighted emissions and are about 10% in terms of GWP-weighted emissions. It is expected that future emissions of CCl<sub>4</sub> will remain an important factor in the evolution of EESC.
- **The total anthropogenic emissions of methyl bromide (CH<sub>3</sub>Br) have declined in response to controls of the Montreal Protocol.** Overall, reported consumption has gone down from ~70,000 tonnes/yr in the late 1990s to ~13,000 tonnes/yr in 2012.
- **Quarantine and pre-shipment (QPS) uses of CH<sub>3</sub>Br are exempted uses (not controlled) by the Montreal Protocol and in 2012 constitute an annual consumption of CH<sub>3</sub>Br (~9,000 tonnes) that is larger than the annual consumption for 2012 from uses controlled by the Protocol (~4,000 tonnes).** The elimination of future emissions from QPS uses could bring forward the date of EESC return to 1980 levels by 1.1 years, smaller than the 1.6 years estimated in the previous Assessment. Critical-use exemptions continue to be granted, but at levels significantly reduced compared with four years ago. A continuation of critical-use exemptions at the current level would delay the return of EESC to 1980 levels by 0.2 years.
- **Carbon dioxide (CO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), and methane (CH<sub>4</sub>) are each important to climate forcing and to the levels of stratospheric ozone (see Chapter 2).** In terms of the globally averaged ozone column, additional N<sub>2</sub>O leads to lower ozone levels, whereas additional CO<sub>2</sub> and CH<sub>4</sub> lead to higher ozone levels. Ozone depletion to date would have been greater if not for the historical increases in CO<sub>2</sub> and CH<sub>4</sub>. The net impact on ozone recovery and future levels of stratospheric ozone thus depends on the future abundances of these gases. For many of the scenarios used in the most recent Intergovernmental Panel on Climate Change (IPCC) Assessment (IPCC, 2013), global ozone will increase to above pre-1980 levels due to future trends in the gases. Latitudinal and altitudinal responses are expected to vary. Note that scenarios used in IPCC consider a future with all three major greenhouse gases increasing and thus it is important to assess the net balance of these perturbations on stratospheric ozone.
- **Global Warming Potentials (GWPs) for a range of halocarbons have been updated based on IPCC (2013) and SPARC (2013).** The CO<sub>2</sub> Absolute Global Warming Potential (AGWP; the denominator for the GWP of other greenhouse gases) has increased by 6% compared to the previous Assessment (WMO, 2011). As a result, GWP values for many non-CO<sub>2</sub> greenhouse gases decreased slightly. GWPs also changed because of revised values for the lifetime and the radiative efficiency of the individual greenhouse gases. The revised SPARC-based lifetimes for a range of ODSs have been updated due to new analyses of observations and models and are included here; the largest differences in GWPs are found for CFC-11, CFC-115, halon-1301, halon-2402, and halon-1202. For hydrofluorocarbons (HFCs), some examples of the IPCC 100-year GWPs and the SPARC lifetime adjusted values are given below. The numbers in parentheses represent the effects of uncertainties in the SPARC lifetimes, radiative efficiency, and the AGWP for CO<sub>2</sub> based on 90% confidence. In addition, the IPCC (2013) stated uncertainties in the 100-year GWP for HFC-134a is ±35% (90% confidence) as representative for similar gases. The IPCC and updated GWPs that use the SPARC lifetimes are consistent within their uncertainties.

Substance	IPCC AR5 100-yr GWP	Updated 100-yr GWP (90% uncertainty range)
HFC-23	12,400	12,500 (8880–16,300)
HFC-32	677	704 (453–1070)
HFC-125	3170	3450 (2230–5140)
HFC-134a	1300	1360 (857–2050)
HFC-143a	4800	5080 (3460–7310)
HFC-152a	138	148 (96–211)

- **Global Temperature Potentials (GTPs) are discussed and values reported for the first time in a WMO-UNEP Ozone Assessment.** The GTP metric gives the relative temperature increase at a specified time horizon due to emissions of a greenhouse gas, relative to that caused by the same weight of CO<sub>2</sub> emissions. This metric may be useful as an alternative to GWPs. These metrics are different in construction and have both advantages and disadvantages. The revised SPARC-based lifetimes affect GTPs (relative to IPCC, 2013) in the same way as GWPs. The table below shows updated GTPs for the same HFCs listed above.

Substance	Updated 20-yr GTP	Updated 50-year GTP	Updated 100-year GTP
HFC-23	11,500	13,000	12,800
HFC-32	1440	154	98
HFC-125	6040	3350	1180
HFC-134a	3170	771	214
HFC-143a	7110	5390	2830
HFC-152a	191	26	21

- **The current direct radiative forcing (RF) from ODS halocarbons (CFCs, halons, and HCFCs) is about 0.33 W m<sup>-2</sup> and is near its expected peak.** The RF is projected to decrease to about 0.20 W m<sup>-2</sup> by about 2050, depending on the particular emission scenario adopted. By 2100, the radiative forcing from these halocarbons is projected to be near 0.10 W m<sup>-2</sup> independent of the specific emission scenarios considered here.
- **While HFCs currently constitute less than 1% of the radiative forcing on climate (0.02 W m<sup>-2</sup>), if the current mix of HFCs is unchanged, increasing demand could imply a radiative forcing for HFCs as high as 0.4 W m<sup>-2</sup> by 2050.** For all scenarios (Special Report on Emissions Scenarios (SRES) and Representative Concentration Pathway (RCP)) used in the recent IPCC Assessments, the HFC radiative forcing increases by 0.1 W m<sup>-2</sup> or less by 2050; however, these scenarios did not consider recent market trends. Scenarios based on projections of HFC markets yield radiative forcings that range from 0.16 W m<sup>-2</sup> to 0.4 W m<sup>-2</sup> by 2050.
- **Replacements of the current mix of high-GWP HFCs with low- or zero-ODP, low-GWP compounds, could lead to a decrease in the radiative forcing on climate over the coming decades, possibly by as much as 0.07 W m<sup>-2</sup> by 2030 relative to baseline scenarios assuming continued growth in high-GWP HFC production.** Such reductions are comparable to possible reductions in radiative forcing for some other non-CO<sub>2</sub> emissions (e.g., for black carbon emissions). Even by 2050, the RF from the low-GWP replacement compounds would be negligibly small. For the uses projected, such replacements are likely to have a negligible effect on stratospheric ozone, despite some replacements containing chlorine or bromine and having non-zero ODPs.
- **The impact of HFC mitigation on future climate change that only considers radiative forcing of HFCs through a particular year is underestimated if the future commitment to climate forcing in the HFC banks is neglected.** This bank size represents a substantially larger fraction of the cumulative HFC production and emission than was the case for CFCs in the 1980s; this is because current and projected applications for HFCs emit those HFCs much more slowly than applications historically did for CFCs.
- **Unsaturated HFCs (also known as hydrofluoro-olefins, HFOs) are replacement compounds for long-lived HCFCs and HFCs.** Unsaturated HFCs have short atmospheric lifetimes (days) and small GWPs (<10). Atmospheric degradation of one of these substances (HFC-1234yf) produces the persistent degradation product trifluoroacetic acid (TFA). While the environmental effects of TFA are considered negligible over the next decades, potential longer-term impacts could require future evaluations due to the environmental persistence of TFA and uncertainty in future uses of HFC-1234yf.

- **CFC-316c ((E)- and (Z)- isomers of cyclic 1,2-C<sub>4</sub>F<sub>6</sub>Cl<sub>2</sub>) are possible ODS replacement compounds, and have long lifetimes (75 and 114 years), with correspondingly high ODPs (0.46 and 0.54) and GWPs (4160 and 5400).**
- **Emissions of biogenically produced bromocarbons will likely increase as a result of changes in the management of their human-related production (e.g., marine aquaculture).** However, uncertainties in all natural emissions and in transport to the stratosphere are large, making it difficult to quantify their effects on ozone.
- **Current emissions from aviation and rockets have only a small effect on total ozone (<1%). However, new technologies and potential market growth in aviation and rockets will require further assessment as they could potentially lead to effects on ozone.**
- **Geoengineering the climate system via anthropogenic increases of stratospheric sulfate aerosols within the next few decades would be expected to deplete stratospheric ozone, with the largest effects in the polar regions.** The current level of understanding of how other possible geoengineering approaches would affect the stratosphere is limited.
- **The proposed cosmic-ray-driven breakdown of CFCs in ice particles is of negligible importance in polar ozone loss.**



## Appendix C

### Atmospheric Lifetimes for Selected Long-Lived Halocarbons

**Table 5-1. Atmospheric lifetimes and fractional halogen release factors relative to WMO (2011) for long-lived halocarbons.** In this Assessment, lifetimes are based on SPARC (2013). Fractional release factors (midlatitude conditions) used in this Assessment are based on the previous Assessment (WMO, 2011), but we also show in the table those for the 10 compounds updated in Laube et al. (2013) using a mean age of air of 3 years. Lifetime uncertainties are based on SPARC (2013) lifetimes as evaluated by Velders and Daniel (2014). Also see Chapter 1 for further discussion on atmospheric lifetimes.

Halocarbon	Atmospheric Lifetime (years)			Fractional Release Factors	
	WMO (2011)	This Assessment	Lifetime uncertainty (1 $\sigma$ ) <sup>c</sup>	This Assessment	Laube et al. (2013)
<b>Annex A-I</b>					
CFC-11	45	52	±22%	0.47	0.35
CFC-12	100	102	±15%	0.23	0.19
CFC-113	85	93	±17%	0.29	0.22
CFC-114	190	189	±12%	0.12	
CFC-115	1020	540	±17%	0.04	
<b>Annex A-II</b>					
halon-1301	65	72	±13%	0.28	0.26
halon-1211	16	16	±29%	0.62	0.52
halon-2402	20	28	±19%	0.65	
<b>Annex B-II</b>					
CCl <sub>4</sub>	26 <sup>a</sup>	26 <sup>a</sup>	±17%	0.56	0.42
<b>Annex B-III</b>					
CH <sub>3</sub> CCl <sub>3</sub>	5.0	5.0 <sup>a</sup>	±3%	0.67	0.61
<b>Annex C-I</b>					
HCFC-22	11.9	12	±16%	0.13	0.07
HCFC-123	1.3				
HCFC-124	5.9				
HCFC-141b	9.2	9.4	±15%	0.34	0.17
HCFC-142b	17.2	18	±14%	0.17	0.05
HCFC-225ca	1.9				
HCFC-225cb	5.9				
<b>Annex E</b>					
CH <sub>3</sub> Br	0.75 <sup>a,b</sup>	0.8 <sup>a</sup>	±17%	0.60	
<b>Others</b>					
halon-1202	2.9	2.5	±33%	0.62	
CH <sub>3</sub> Cl	1.0 <sup>a</sup>	0.9 <sup>a</sup>	±18%	0.44	

a) Losses due to oceanic and soil processes are taken into account using values from WMO (2011). The partial lifetime for CCl<sub>4</sub> is 44 years for atmospheric loss (from SPARC, 2013) and is assumed to be 95 years for oceanic loss and 195 years for soil loss for a total lifetime of 26 years. The partial lifetime for CH<sub>3</sub>CCl<sub>3</sub> is 5.0 years for atmospheric loss (from SPARC, 2013). The total lifetime for CH<sub>3</sub>Br is 1.5 years for atmospheric loss (from SPARC, 2013), 3.1 years for oceanic loss, and 3.3–3.4 years for soil loss. The partial lifetime for CH<sub>3</sub>Cl is 1.3 years for atmospheric loss (from SPARC, 2013) and 3 years for oceanic and soil loss.

b) In Table 5-1 a lifetime of 0.7 years is reported. In the scenarios calculations, however, a value of 0.75 years is used to be consistent with natural emission estimates from WMO (2011).

c) These are 1- $\sigma$  lifetimes, taken from Velders and Daniel (2014), which are calculated when only the uncertainties in the atmospheric loss rates (inverse of the atmospheric lifetime) from SPARC (2013) are taken into account. A 1- $\sigma$  uncertainty implies that there is an approximately 68% chance that the actual lifetime will fall within that range. The exclusion of other loss rate uncertainties is relevant for CCl<sub>4</sub>, for which the uncertainty could change if the uncertainty in the partial lifetime due to oceanic loss (82–191 years (WMO, 2011)) would be taken into account.

## Appendix D

### Ozone Depletion Potentials (ODPs) for Long-Lived Halocarbons

**Table 5-2. Ozone Depletion Potentials (ODPs) for long-lived halocarbons.** Shown are the ODP values assumed in the Montreal Protocol, the ODPs updated in the previous Assessment (WMO, 2011), and the values determined in this Assessment based on the atmospheric lifetimes from SPARC (2013). Values shown as 'This Assessment' are based on the fractional release factors from WMO (2011). The ODPs in parentheses are those using the fractional release factors from Laube et al. (2013). In general the derived ODP values in the Assessment are almost all smaller numerically (ranging from no change (for carbon tetrachloride, CCl<sub>4</sub>) to more than a factor of two smaller (for CFC-115), with most smaller by 10–30% than the values reported in WMO (2011), as expected given the longer lifetime for CFC-11. The one major exception is halon-2402, for which the lifetime in SPARC (2013) is appreciably longer than in WMO (2011).

Halocarbon	ODP in Montreal Protocol	Semi-Empirical ODP		Uncertainties (95% confidence interval) (from Velders and Daniel, 2014)	
		WMO (2011)	This Assessment	Possible (±)	Most Likely (±)
Annex A-I					
CFC-11	1.0	1.0	1.0		
CFC-12	1.0	0.82	0.73 (0.81)	34%	30%
CFC-113	0.8	0.85	0.81 (0.82)	34%	30%
CFC-114	1.0	0.58	0.50	37%	30%
CFC-115	0.6	0.57	0.26	34%	32%
Annex A-II					
halon-1301	10.0	15.9	15.2 (19.0)	61%	57%
halon-1211	3.0	7.9	6.9 (7.7)	90%	82%
halon-2402	6.0	13.0	15.7	80%	71%
Annex B-II					
CCl <sub>4</sub>	1.1	0.82	0.72 (0.72)	34%	30%
Annex B-III					
CH <sub>3</sub> CCl <sub>3</sub>	0.1	0.16	0.14 (0.17)	52%	36%
Annex C-I					
HCFC-22	0.055	0.04	0.034 (0.024)	69%	58%
HCFC-123	0.02	0.01			
HCFC-124	0.022				
HCFC-141b	0.11	0.12	0.102 (0.069)	68%	57%
HCFC-142b	0.065	0.06	0.057 (0.023)	67%	56%
HCFC-225ca	0.025				
HCFC-225cb	0.033				
Annex E					
CH <sub>3</sub> Br	0.6	0.66 <sup>a</sup>	0.57	78%	69%
Others					
halon-1202		*	1.7	96%	88%
CH <sub>3</sub> Cl		0.02	0.015		

a) This value was based on the lifetime of CH<sub>3</sub>Br of 0.8 year shown in the ODP table in WMO (2011).

\* The value of 2.2 in Velders and Daniel (2014) is attributed to WMO (2011); the value was not in Table 5-1 of WMO (2011) but can be inferred from the fractional release and lifetimes shown in that table.

## Appendix E

### Estimated Ozone Depletion Potentials (ODPs) for Short-Lived Halocarbons as a Function of Their Emissions Location

**Table 5-4. Estimated annual-mean Ozone Depletion Potentials (ODPs) for short-lived halocarbons (VSLS) as a function of specific emissions location.** The numbers in brackets show the seasonal variability. These semi-empirical ODP estimates are based on the Lagrangian model study of Brioude et al. (2010) (the numbers shown are from the Supplementary materials of the published paper). The ODP estimates for  $\text{CHBr}_3$  have been reduced by a factor of 3.1 compared with the Brioude et al. (2010) Supplement values owing to an error discovered after publication.

Species	North America	Europe	East Asia	Indian Subcontinent
$\text{C}_2\text{H}_5\text{Br}$	0.1300 [0.0780 – 0.2000]	0.1100 [0.0610 – 0.1700]	0.2100 [0.1000 – 0.3100]	0.4600 [0.3400 – 0.6300]
$\text{CH}_2\text{CBrCF}_3$	0.0035 [0.0008 – 0.0077]	0.0013 [0.0006 – 0.0024]	0.0052 [0.0011 – 0.0130]	0.0440 [0.0130 – 0.0830]
$\text{n-C}_3\text{H}_7\text{Br}$	0.0235 [0.0150 – 0.0320]	0.0150 [0.0070 – 0.0260]	0.0420 [0.0190 – 0.0600]	0.1700 [0.0790 – 0.1300]
$\text{C}_2\text{HCl}_3$	0.0004 [0.0001 – 0.0007]	0.0001 [0.0001 – 0.0002]	0.0006 [0.0002 – 0.0013]	0.0041 [0.0013 – 0.0079]
$\text{CCl}_3\text{CHO}$	0.0008 [0.0005 – 0.0010]	0.0004 [0.0002 – 0.0008]	0.0014 [0.0007 – 0.0022]	0.0062 [0.0026 – 0.0110]
$\text{CH}_3\text{I}$	0.0360 [0.0130 – 0.0650]	0.0140 [0.0072 – 0.0210]	0.0660 [0.0220 – 0.1500]	0.4200 [0.1300 – 0.8300]
$\text{CF}_3\text{I}$	0.0068 [0.0022 – 0.0120]	0.0034 [0.0013 – 0.0061]	0.0120 [0.0020 – 0.0310]	0.0940 [0.0290 – 0.1900]
$\text{C}_3\text{F}_7\text{I}$	0.0028 [0.0007 – 0.0064]	0.0015 [0.0005 – 0.0031]	0.0033 [0.0006 – 0.0100]	0.0390 [0.0140 – 0.0670]
$\text{CH}_2\text{ClI}$	0.0047 [0.0011 – 0.0110]	0.0024 [0.0007 – 0.0050]	0.0051 [0.0009 – 0.0150]	0.0660 [0.0240 – 0.1100]
$\text{CHBr}_3$	0.130 [0.094 – 0.201]	0.106 [0.074 – 0.158]	0.216 [0.123 – 0.310]	0.581 [0.387 – 0.806]

## Appendix F

### Global Warming Potentials (GWPs) and Global Temperature change Potentials (GTPs) of Various Halocarbons

**Table 5-5. GWPs and GTPs of various halocarbons based on the SPARC (2013) atmospheric lifetimes.** Except for the HFCs, the lifetimes are also found in Appendix C of this document (Chapter 5, Table 5-1).

Halocarbon	SPARC (2013)					
	Lifetime (years)	GWP 20-yr	GWP 100-yr	GTP 20-yr	GTP 50-yr	GTP 100-yr
<b>Annex A-I</b>						
CFC-11	52	7090	5160	7160	5480	2920
CFC-12	102	10800	10300	11300	11000	8590
CFC-113	93	6560	6080	6830	6510	4860
CFC-114	189	7710	8580	8180	9010	8530
CFC-115	540	5780	7310	6210	7500	8290
<b>Annex A-II</b>						
halon-1301	72	7930	6670	8160	7160	4700
halon-1211	16	4590	1750	3950	1130	297
halon-2402	28	3920	2030	3730	1900	615
<b>Annex B-II</b>						
CCl <sub>4</sub>	26	3480	1730	3280	1570	479
<b>Annex B-III</b>						
CH <sub>3</sub> CCl <sub>3</sub>	4.8	555	153	298	32	21
<b>Annex C-I</b>						
HCFC-22	12	5310	1780	4230	847	265
HCFC-141b	9.4	2590	800	1900	285	114
HCFC-142b	18	5140	2070	4530	1490	387
<b>Annex E</b>						
CH <sub>3</sub> Br	0.8	9	2	3	<1	<1
<b>Others</b>						
halon-1202	2.5	719	196	285	35	27
CH <sub>3</sub> Cl	0.9	40	11	13	2	2
HFC-23	228	10800	12500	11500	13000	12800
HFC-32	5.4	2530	704	1440	154	98
HFC-125	31	6280	3450	6040	3350	1180
HFC-134a	14	3810	1360	3170	771	214
HFC-143a	51	7050	5080	7110	5390	2830
HFC-152a	1.6	545	148	191	26	21
HFC-227ea	36	5250	3140	5140	3180	1260
HFC-245fa	7.9	2980	882	2040	259	124

## Appendix G

### Lifetime and Full Uncertainty Estimates of Global Warming Potentials (GWPs) of Selected Hydrofluorocarbons (HFCs)

**Table 5-6. For selected HFCs, lifetime and full uncertainty estimates of the 20- and 100-year GWPs using the SPARC (2013) lifetimes.** The number ranges represent the effects of only considering uncertainties in the SPARC lifetimes, while the “full uncertainty” ranges include also uncertainties in the radiative efficiency (10%, from Myhre et al., 2013) and the AGWP for CO<sub>2</sub> (from Joos et al., 2013). The GWP uncertainties are calculated as in Myhre et al. (2013) (see Supplementary Material Section 8.SM.12 in IPCC (2013) for details), except that new information about lifetime uncertainties from SPARC (2013) is included here. The uncertainty estimates are representative of a 5 to 95% (90%) confidence interval. In addition, note that the IPCC (2013) stated uncertainties in the 100-year GWP for HFC-134a is  $\pm 35\%$  (90% confidence) as representative for similar gases. The IPCC and updated GWPs that use the SPARC lifetimes are consistent within their uncertainties.

Halocarbon	SPARC (2013) Lifetime (years)	GWP 20-yr			GWP 100-yr		
		Best Estimate	Lifetime Uncertainty	Full Uncertainty	Best Estimate	Lifetime Uncertainty	Full Uncertainty
HFC-23	228	10800	10700–11100	8640–13100	12500	11800–14000	8880–16300
HFC-32	5.4	2530	2030–3530	1810–3650	704	551–1010	453–1070
HFC-125	31	6280	5840–7110	4930–7800	3450	2720–4830	2230–5140
HFC-134a	14	3810	3300–4690	2890–4980	1360	1040–1930	860–2050
HFC-143a	51	7050	6780–7690	5600–8620	5080	4340–6790	3460–7310
HFC-152a	1.6	545	431–718	386–750	148	117–195	96–211

## Appendix H

### Indirect Global Warming Potentials (GWPs) from Ozone Depletion

**Table 5-7. Indirect GWPs from ozone depletion (direct forcing from ODS, themselves, is not included) taken from IPCC (2013).** Approach is taken from Daniel et al., 1995, assuming a radiative forcing due to ozone depletion in 2011 of  $-0.15 \text{ W m}^{-2}$  (IPCC, 2013). Uncertainty in this radiative forcing leads to an uncertainty in these GWPs of  $\pm 100\%$ .

SUBSTANCE	GWP 100-yr
CFC-11	-2640
CFC-12	-2100
CFC-113	-2150
CFC-114	-914
CFC-115	-223
HCFC-22	-98
HCFC-123	-37
HCFC-124	-46
HCFC-141b	-261
HCFC-142b	-152
$\text{CH}_3\text{CCl}_3$	-319
$\text{CCl}_4$	-2110
$\text{CH}_3\text{Br}$	-1250
halon-1211	-19000
halon-1301	-44500
halon-2402	-32000
HCFC-225ca	-40
HCFC-225cb	-60

# APPENDIX I

## Mixing Ratios of the ODSs Considered in the Baseline (A1) Scenario

**Table A5-2. Mixing ratios (ppt) of the ODSs considered in the baseline (A1) scenario.** Values are for the beginning of the corresponding year (see Chapter 1). Potentially important short-lived substances that may currently contribute 5 (2–8) ppt of stratospheric bromine and 95 (50–145) ppt of stratospheric chlorine (see Chapter 1) are not shown in the table. Note: Areas are shaded for compounds in years when mixing ratio values are forced to equal global average estimates inferred from observations (see Chapter 1, Figure 1-1).

Year	CFC-11	CFC-12	CFC-113	CFC-114	CFC-115	CCl <sub>4</sub>	CH <sub>3</sub> CCl <sub>3</sub>	HCFC-22	HCFC-141b	HCFC-142b	halon 1211	halon 1202	halon 1301	halon 2402	CH <sub>3</sub> Br	CH <sub>3</sub> Cl
1955	3.3	14.3	1.3	2.6	0.0	42.3	0.1	1.0	0.0	0.0	0.0	0.0	0.0	0.0	6.3	491.3
1960	9.5	29.5	1.9	3.8	0.0	52.1	1.5	2.1	0.0	0.0	0.0	0.0	0.0	0.0	6.5	510.3
1965	23.5	58.8	3.1	5.0	0.0	64.4	4.7	4.9	0.0	0.0	0.0	0.0	0.0	0.0	6.7	528.1
1970	52.8	114.3	5.5	6.5	0.2	75.9	16.3	12.1	0.0	0.0	0.0	0.0	0.0	0.0	7.0	539.9
1975	106.1	203.1	10.4	8.3	0.6	85.5	40.0	23.8	0.0	0.2	0.12	0.01	0.04	0.06	7.4	545.8
1980	161.9	297.1	19.0	10.7	1.5	92.9	82.0	42.5	0.0	0.4	0.69	0.01	0.36	0.15	7.8	548.4
1981	170.5	312.1	21.5	11.1	1.7	94.3	89.0	46.6	0.0	0.5	0.81	0.01	0.43	0.17	7.9	548.6
1982	179.2	330.5	24.5	11.6	2.0	95.7	94.1	50.7	0.0	0.6	0.95	0.01	0.51	0.19	8.0	548.9
1983	187.6	346.4	28.0	12.0	2.3	97.0	98.0	54.8	0.0	0.6	1.09	0.02	0.60	0.21	8.0	549.1
1984	196.4	363.7	32.2	12.4	2.7	98.5	102.0	58.8	0.0	0.7	1.23	0.02	0.71	0.23	8.1	549.3
1985	206.2	378.5	36.7	12.9	3.0	99.9	106.5	62.7	0.0	0.7	1.40	0.02	0.85	0.26	8.2	549.4
1986	216.2	397.9	41.8	13.4	3.4	101.3	110.3	66.9	0.0	0.8	1.59	0.02	1.03	0.27	8.3	549.5
1987	227.2	416.4	47.5	14.0	3.9	103.0	113.5	71.5	0.0	0.8	1.77	0.02	1.24	0.29	8.4	549.6
1988	238.7	439.0	54.0	14.5	4.3	104.0	118.7	76.7	0.0	0.9	1.96	0.02	1.45	0.31	8.5	549.7
1989	248.8	459.3	60.9	15.0	4.7	104.8	123.5	82.5	0.0	1.1	2.14	0.02	1.64	0.34	8.6	549.8
1990	256.4	476.4	67.6	15.4	5.2	105.6	127.3	88.2	0.0	1.2	2.32	0.03	1.80	0.37	8.7	549.8
1991	262.0	489.7	73.3	15.7	5.6	105.9	130.8	93.7	0.0	1.8	2.52	0.03	1.95	0.39	8.8	549.9
1992	265.4	500.7	78.2	15.8	6.0	105.8	133.6	99.8	0.1	2.8	2.72	0.03	2.09	0.42	8.9	549.9
1993	267.8	510.0	81.2	16.0	6.4	105.3	130.3	103.9	0.4	3.9	2.92	0.03	2.23	0.44	9.0	549.9
1994	268.1	516.5	83.0	16.1	6.8	104.4	121.9	109.1	1.5	5.1	3.11	0.03	2.35	0.46	9.2	550.0
1995	267.8	523.0	83.8	16.1	7.1	103.7	110.5	113.6	2.8	6.2	3.35	0.04	2.45	0.47	9.2	555.2
1996	267.0	528.7	84.0	16.2	7.4	102.6	98.2	119.4	4.5	7.2	3.53	0.04	2.53	0.48	9.2	539.3
1997	266.0	532.9	83.8	16.3	7.7	101.6	84.1	124.2	6.4	8.3	3.69	0.05	2.61	0.49	9.1	529.6
1998	264.6	536.4	83.4	16.4	7.9	100.7	71.0	128.9	8.2	9.4	3.82	0.05	2.69	0.49	9.3	554.3
1999	263.3	539.3	82.9	16.4	8.0	99.6	59.4	134.3	10.1	10.4	3.96	0.04	2.76	0.49	9.3	555.5
2000	261.6	541.4	82.3	16.5	8.1	98.5	49.7	139.2	11.8	11.4	4.08	0.04	2.84	0.49	9.0	542.8
2001	260.0	542.8	81.7	16.5	8.2	97.5	41.5	144.7	13.5	12.5	4.18	0.03	2.88	0.49	8.5	534.8
2002	258.2	543.6	81.1	16.6	8.3	96.5	34.5	150.5	14.8	13.3	4.24	0.02	2.91	0.49	8.3	533.6



Year	CFC-11	CFC-12	CFC-113	CFC-114	CFC-115	CCl <sub>4</sub>	CH <sub>3</sub> CCl <sub>3</sub>	HCFC-22	HCFC-141b	HCFC-142b	halon 1211	halon 1202	halon 1301	halon 2402	CH <sub>3</sub> Br	CH <sub>3</sub> Cl
2003	256.0	543.6	80.4	16.6	8.3	95.5	28.8	155.4	16.1	13.9	4.28	0.02	2.97	0.49	8.2	539.6
2004	253.8	543.4	79.7	16.6	8.3	94.5	24.0	160.5	17.0	14.6	4.31	0.02	3.02	0.49	8.1	536.2
2005	251.5	542.5	79.0	16.6	8.4	93.5	20.0	165.7	17.5	15.2	4.34	0.01	3.05	0.49	7.9	539.9
2006	249.4	541.6	78.4	16.5	8.4	92.5	16.7	171.9	17.8	15.9	4.34	0.01	3.08	0.48	7.8	536.9
2007	247.2	539.6	77.7	16.5	8.4	91.4	14.0	179.1	18.5	16.9	4.32	0.01	3.11	0.48	7.6	543.7
2008	245.0	537.5	76.9	16.5	8.4	90.2	11.7	187.3	19.1	18.1	4.28	0.00	3.15	0.47	7.5	545.3
2009	243.0	535.3	76.2	16.5	8.4	88.9	9.8	195.2	19.6	19.3	4.22	0.00	3.17	0.47	7.3	541.0
2010	241.1	532.7	75.5	16.4	8.4	87.6	8.2	202.5	20.1	20.0	4.16	0.00	3.19	0.46	7.1	538.4
2011	239.0	530.1	74.8	16.4	8.4	86.5	6.9	210.0	20.9	20.8	4.08	0.00	3.22	0.45	7.1	533.5
2012	237.0	527.4	74.1	16.4	8.4	85.2	5.7	216.1	21.9	21.5	4.01	0.00	3.24	0.45	7.0	538.1
2013	234.7	525.0	73.4	16.3	8.4	84.1	4.8	221.5	22.9	21.9	3.91	0.00	3.26	0.44	7.0	539.5
2014	232.4	520.9	72.7	16.2	8.4	82.9	4.0	233.8	23.8	22.7	3.81	0.00	3.27	0.43	7.0	539.5
2015	229.9	516.7	71.9	16.1	8.4	81.6	3.3	244.8	24.6	23.5	3.71	0.00	3.28	0.43	7.0	539.5
2016	227.5	512.4	71.2	16.1	8.4	80.3	2.7	254.7	25.5	24.2	3.60	0.00	3.29	0.42	7.0	539.5
2017	224.9	508.0	70.5	16.0	8.4	78.9	2.2	262.9	26.4	24.8	3.49	0.00	3.30	0.41	7.0	539.5
2018	222.4	503.6	69.7	16.0	8.4	77.4	1.8	269.8	27.2	25.3	3.38	0.00	3.31	0.41	7.0	539.5
2019	219.9	499.1	69.0	15.9	8.4	75.9	1.5	275.5	28.0	25.8	3.27	0.00	3.31	0.40	7.0	539.5
2020	217.3	494.6	68.3	15.8	8.4	74.4	1.2	280.3	28.7	26.2	3.15	0.00	3.31	0.39	7.0	539.5
2025	204.2	472.0	64.7	15.5	8.4	66.6	0.4	282.2	31.5	27.0	2.60	0.00	3.29	0.35	7.0	539.5
2030	190.9	449.8	61.4	15.1	8.4	58.8	0.2	251.9	32.0	26.0	2.09	0.00	3.24	0.32	7.0	539.5
2035	177.8	428.5	58.2	14.7	8.3	51.3	0.1	198.8	29.8	23.3	1.66	0.00	3.16	0.28	7.0	539.5
2040	165.1	408.1	55.1	14.3	8.3	44.4	0.0	142.9	26.0	19.6	1.30	0.00	3.06	0.25	7.0	539.5
2045	152.8	388.6	52.3	14.0	8.3	38.1	0.0	98.7	21.7	16.0	1.01	0.00	2.95	0.22	7.0	539.5
2050	141.1	370.0	49.5	13.6	8.2	32.6	0.0	66.4	17.7	12.7	0.77	0.00	2.83	0.19	7.0	539.5
2055	130.0	352.3	46.9	13.3	8.2	27.7	0.0	44.2	14.1	9.9	0.59	0.00	2.71	0.17	7.0	539.5
2060	119.6	335.5	44.5	12.9	8.1	23.4	0.0	29.3	11.1	7.7	0.45	0.00	2.58	0.15	7.0	539.5
2065	109.8	319.4	42.1	12.6	8.0	19.8	0.0	19.3	8.6	5.9	0.34	0.00	2.45	0.13	7.0	539.5
2070	100.7	304.1	39.9	12.2	8.0	16.6	0.0	12.8	6.7	4.5	0.26	0.00	2.32	0.11	7.0	539.5
2075	92.3	289.6	37.9	11.9	7.9	14.0	0.0	8.4	5.1	3.4	0.19	0.00	2.20	0.10	7.0	539.5
2080	84.5	275.7	35.9	11.6	7.9	11.7	0.0	5.6	3.9	2.6	0.14	0.00	2.07	0.08	7.0	539.5
2085	77.2	262.5	34.0	11.3	7.8	9.8	0.0	3.7	3.0	2.0	0.11	0.00	1.96	0.07	7.0	539.5
2090	70.6	250.0	32.2	11.0	7.7	8.2	0.0	2.4	2.3	1.5	0.08	0.00	1.84	0.06	7.0	539.5
2095	64.4	238.0	30.5	10.7	7.7	6.8	0.0	1.6	1.7	1.2	0.06	0.00	1.73	0.05	7.0	539.5
2100	58.8	226.6	28.9	10.5	7.6	5.7	0.0	1.1	1.3	0.9	0.04	0.00	1.63	0.04	7.0	539.5

## APPENDIX J

### Acronyms

A1	baseline (or most likely) halocarbon scenario of the 2006 Ozone Assessment
A1B	scenario of the IPCC Special Report on Emissions Scenarios (SRES)
A5	Article 5 Parties of the Montreal Protocol
ADM	<i>Assessment for Decision-Makers</i>
AGTP	Absolute Global Temperature Potential
AGWP	Absolute Global Warming Potential
AR5	IPCC Fifth Assessment Report
BDC	Brewer-Dobson circulation
C	Celsius (unit of temperature)
CCM	chemistry-climate model
CCMVal	Chemistry-Climate Model (CCM) Validation Activity
CDM	Clean Development Mechanism
CFC	chlorofluorocarbon
CIRES	Cooperative Institute for Research in Environmental Sciences (United States)
CMIP5	Coupled Model Intercomparison Project-Phase 5
CONICET	Consejo de Investigaciones Científicas y Técnicas (Argentina)
CO <sub>2</sub> -eq	carbon dioxide equivalents
CTM	chemical transport model
CUE	critical-use exemption
DLR	Deutsches Zentrum für Luft- und Raumfahrt (Germany)
DU	Dobson unit
ECI	equivalent chlorine
EEAP	Environmental Effects Assessment Panel (UNEP)
EESC	Equivalent Effective Stratospheric Chlorine
eq	equivalent
ERF	Effective Radiative Forcing
ESRL	Earth System Research Laboratory (NOAA)
FRF	fractional release factor
Gg	gigagrams (10 <sup>9</sup> grams) (unit of mass)
GHG	greenhouse gas
GSFC	Goddard Space Flight Center (NASA)
Gt	gigatonnes
GtCO <sub>2</sub> -eq	gigatonnes of carbon dioxide equivalents
GTP	Global Temperature Potential or Global Temperature change Potential
GWP	Global Warming Potential
HCFC	hydrochlorofluorocarbon
HFC	hydrofluorocarbon
HFO	hydrofluoro-olefin
hPa	hectoPascal (10 <sup>2</sup> Pascal) (unit of pressure)
IPCC	Intergovernmental Panel on Climate Change
JMA	Japan Meteorological Agency (Japan)
K	Kelvin (unit of temperature)
kg	kilogram (10 <sup>3</sup> grams) (unit of mass)
km	kilometer (10 <sup>3</sup> meters) (unit of length)
NASA	National Aeronautics and Space Administration (United States)
NOAA	National Oceanic and Atmospheric Administration (United States)
nPB	n-propyl bromide
ODP	Ozone Depletion Potential
ODS	ozone-depleting substance

ppb	part per billion
ppbv	part per billion by volume
ppm	part per million
ppt	part per trillion
QPS	quarantine and pre-shipment
RCP	Representative Concentration Pathway
RF	radiative forcing
RIVM	National Institute for Public Health and the Environment (The Netherlands)
s	second
SAP	Scientific Assessment Panel (UNEP)
SPARC	Stratosphere-troposphere Processes And their Role in Climate (WCRP)
SRES	Special Report on Emissions Scenarios (IPCC)
2-D	two-dimensional
3-D	three-dimensional
TEAP	Technology and Economic Assessment Panel (UNEP)
TFA	trifluoroacetic acid
TTL	tropical tropopause layer
UK	United Kingdom
UNEP	United Nations Environment Programme
US, USA	United States of America
UV	ultraviolet
VSLs	very short-lived substance(s)
W m <sup>-2</sup>	watts per square meter
WMO	World Meteorological Organization
yr	year

## APPENDIX K

### Chemical Formulae

#### Halogen-Containing Species

Cl	atomic chlorine
Cl <sub>y</sub>	total inorganic chlorine
ClO	chlorine monoxide
ClONO <sub>2</sub> , ClNO <sub>3</sub>	chlorine nitrate
HCl	hydrogen chloride (hydrochloric acid)
HOCl	hypochlorous acid

Br	atomic bromine
Br <sub>y</sub>	total inorganic bromine
BrO	bromine monoxide
BrONO <sub>2</sub> , BrNO <sub>3</sub>	bromine nitrate
HBr	hydrogen bromide
HOBr	hypobromous acid

F	atomic fluorine
HF	hydrogen fluoride (hydrofluoric acid)
SF <sub>6</sub>	sulfur hexafluoride

FO <sub>x</sub>	F + FO (fluorine monoxide)
NF <sub>3</sub>	nitrogen trifluoride
SO <sub>2</sub> F <sub>2</sub>	sulfuryl fluoride

#### HALOCARBONS

##### Chlorofluorocarbons (CFCs)

CFC-11	CCl <sub>3</sub> F
CFC-12	CCl <sub>2</sub> F <sub>2</sub>
CFC-112	CCl <sub>2</sub> FCCl <sub>2</sub> F
CFC-112a	CCl <sub>3</sub> CClF <sub>2</sub>
CFC-113	CCl <sub>2</sub> FCClF <sub>2</sub>
CFC-113a	CCl <sub>3</sub>
CFC-114	CClF <sub>2</sub> CClF <sub>2</sub>
CFC-115	CClF <sub>2</sub> CF <sub>3</sub>
CFC-316c	1,2 C <sub>4</sub> F <sub>6</sub> Cl <sub>2</sub> (cyclic)

##### Hydrofluorocarbons (HFCs)

HFC-23	CHF <sub>3</sub>
HFC-32	CH <sub>2</sub> F <sub>2</sub>
HFC-125	CHF <sub>2</sub> CF <sub>3</sub>
HFC-134a	CH <sub>2</sub> FCF <sub>3</sub>
HFC-143a	CH <sub>3</sub> CF <sub>3</sub>
HFC-152a	CH <sub>3</sub> CHF <sub>2</sub>
HFC-227ea	CF <sub>3</sub> CHFCF <sub>3</sub>
HFC-245fa	CHF <sub>2</sub> CH <sub>2</sub> CF <sub>3</sub>

##### Chlorocarbons

CH <sub>3</sub> Cl	methyl chloride, chloromethane
CH <sub>2</sub> Cl <sub>2</sub>	dichloromethane, methylene chloride
CHCl <sub>3</sub>	chloroform, trichloromethane
CCl <sub>4</sub>	carbon tetrachloride
CCl <sub>2</sub> CCl <sub>2</sub>	tetrachloroethene, perchloroethene
CH <sub>3</sub> CCl <sub>3</sub>	methyl chloroform
CH <sub>2</sub> ClCH <sub>2</sub> Cl	1, 2 dichloroethane
CHClCCl <sub>2</sub>	trichloroethylene, trichloroethene
CCl <sub>3</sub> CHO	trichloroacetaldehyde, chloral

##### Hydrochlorofluorocarbons (HCFCs)

HCFC-22	CHClF <sub>2</sub>
HCFC-123	CHCl <sub>2</sub> CF <sub>3</sub>
HCFC-124	CHClFCF <sub>3</sub>
HCFC-133a	CH <sub>2</sub> ClCF <sub>3</sub>
HCFC-141b	CH <sub>3</sub> CCl <sub>2</sub> F
HCFC-142b	CH <sub>3</sub> CClF <sub>2</sub>
HCFC-225ca	CHCl <sub>2</sub> CF <sub>2</sub> CF <sub>3</sub>
HCFC-225cb	CHClFCF <sub>2</sub> CClF <sub>2</sub>
HCFC-1233zd	((E)-CHClCHCF <sub>3</sub> )

##### Halons

halon-1202	CBr <sub>2</sub> F <sub>2</sub>
halon-1211	CBrClF <sub>2</sub>
halon-1301	CBrF <sub>3</sub>
halon-2402	CBrF <sub>2</sub> CBrF <sub>2</sub>

##### Hydrofluoro-olefins

HFO-1234yf	CH <sub>2</sub> CFCF <sub>3</sub> (2,3,3,3 tetrafluoropropene)
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##### Bromocarbons

CH <sub>3</sub> Br	methyl bromide, bromomethane
CH <sub>2</sub> Br <sub>2</sub>	methylene bromide, dibromomethane
CHBr <sub>3</sub>	bromoform, tribromomethane
CH <sub>3</sub> CH <sub>2</sub> Br, C <sub>2</sub> H <sub>5</sub> Br	ethyl bromide, bromoethane
CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> Br, n-C <sub>3</sub> H <sub>7</sub> Br	n-propyl bromide, n-PB, 1-bromopropane

**Iodocarbons**

CH<sub>3</sub>I methyl iodide, iodomethane

**Others**

CHBr<sub>2</sub>Cl dibromochloromethane

CH<sub>2</sub>BrCl bromochloromethane

CHBrCl<sub>2</sub> bromodichloromethane

CH<sub>2</sub>CBrCF<sub>3</sub> bromotrifluoropropene

CH<sub>2</sub>CIl chloriodomethane

C<sub>3</sub>F<sub>7</sub>I perfluoropropyl iodide

CF<sub>3</sub>C(O)OH trifluoroacetic acid (TFA)

COCIF chlorofluorocarbonyl

COF<sub>2</sub> carbonyl fluoride

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**Other Chemical Species**

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O<sub>3</sub> ozone

OH hydroxyl radical

N<sub>2</sub>O nitrous oxide

NO<sub>x</sub> nitrogen oxides (NO + NO<sub>2</sub>)

NO<sub>y</sub> total reactive nitrogen (usually includes NO, NO<sub>2</sub>, NO<sub>3</sub>, N<sub>2</sub>O<sub>5</sub>, ClONO<sub>2</sub>, HNO<sub>4</sub>, HNO<sub>3</sub>)

HO<sub>x</sub> odd hydrogen (H, OH, HO<sub>2</sub>, H<sub>2</sub>O<sub>2</sub>)

ClONO<sub>2</sub> chlorine nitrate

C carbon atom

CH<sub>4</sub> methane

CO carbon monoxide

CO<sub>2</sub> carbon dioxide



